

THE EXPERT'S VOICE® IN SPRING

Spring REST

*REST AND WEB SERVICES DEVELOPMENT
USING SPRING*

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Introduction

Spring REST serves as a practical guide for designing and developing RESTful APIs using the popular Spring Framework. This book begins with a brief introduction to REST, HTTP, and Web infrastructure. It then provides detailed coverage of several Spring portfolio projects such as Spring Boot, Spring MVC, Spring Data JPA, and Spring Security. The book walks through the process of designing and building a REST application while taking a deeper look into design principles and best practices for versioning, security, documentation, error handling, paging, and sorting. It also discusses techniques for building clients that consume REST services. Finally, it covers Spring MVC test frameworks for creating unit and integration tests for REST API.

After reading the book, you will have learned:

- About REST fundamentals and Web infrastructure
- About Spring technologies such as Spring Boot and Spring Data JPA
- How to build REST applications with Spring technologies
- How to identify REST resources and design their representations
- Design principles for versioning REST services
- How to document REST services using Swagger
- Strategies for handling errors and communicating meaningful messages
- Techniques for handling large datasets using pagination
- Securing REST services using “Basic Auth” and “OAuth 2.0”
- How to build REST clients using RestTemplate
- How to test REST services using the Spring MVC Test framework

How Is This Book Structured?

Chapter 1 starts with an overview of REST. We cover REST fundamentals and abstractions such as resources and representations. We then discuss Web infrastructure such as URIs, HTTP methods, and HTTP response codes. We also cover Richardson’s Maturity Model, which provides a classification of REST services.

Chapter 2 provides detailed coverage of Spring WebMVC. We begin with a gentle introduction to the Spring Framework and cover its two important concepts—Dependency Injection and Aspect Oriented Programming. Then we take a deeper look at the different components that make up Spring Web MVC.

Chapter 3 introduces Spring Boot, a Spring project that simplifies the bootstrapping of Spring applications. We then use Spring Boot to build a Hello World REST application. Finally, we look at some tools that can be used to access REST applications.

Chapter 4 discusses the beginnings of a RESTful application named QuickPoll. We analyze the requirements and design resources and their representations. Using Spring MVC components, we implement a set of RESTful services.

Chapter 5 covers error handling in REST services. Well-designed, meaningful error responses play an important role in the adoption of REST services. We design a custom error response for QuickPoll and implement the design. We also add validation capabilities to the inputs provided by users. Finally, we look at techniques for externalizing the error messages to property files.

Chapter 6 begins with an overview of the Swagger specification and its associated tools/frameworks. We then implement Swagger in QuickPoll to generate interactive documentation. We also customize Swagger and Swagger UI to meet our application requirements.

Chapter 7 covers the different strategies for versioning a REST API. We then look at implementing versioning in QuickPoll using the URI versioning approach. We also review the different approaches for dealing with large datasets using pagination and sorting.

Chapter 8 begins with a discussion of different strategies for securing REST services. We provide a detailed treatment of OAuth 2 and review its different components. We then use the Spring Security framework to implement Basic Authentication and OAuth 2 in the QuickPoll application.

Chapter 9 covers building REST clients and testing REST APIs. We use Spring's RestTemplate features to build a REST client that works with different versions of the QuickPoll API. We then take a deeper look into the Spring MVC Test framework and examine its core classes. Finally, we write unit and integration tests to test the REST API.

Chapter 10 discusses the HATEOAS constraint that allows developers build flexible and loosely coupled REST services. It also covers the HAL hypermedia format. We then modify the QuickPoll application such that the Poll representations are generated following HATEOAS principles.

Appendix A provides step-by-step instructions for downloading and installing cURL on a Windows machine. Chapter 8 makes use of cURL for testing REST services.

Target Audience

Spring REST is intended for enterprise and Web developers using Java and Spring who want to build REST applications. The book requires a basic knowledge of Java, Spring, and the Web but no prior exposure to REST.

Downloading the Source Code

The source code for the examples in this book can be downloaded from www.apress.com. Detailed information regarding the source code with examples for this book can be downloaded from www.apress.com/9781484208243. The source code is also available on GitHub at <https://github.com/bava/springrest-book>.

The downloaded source code contains a number of folders named ChapterX, in which X represents the corresponding chapter number. Each ChapterX folder contains two subfolders: a starter folder and a final folder. The starter folder houses a QuickPoll project that you can use as a basis to follow along the solution described in the corresponding chapter. Even though each chapter builds on the previous one, the starter project allows you to skip around the book. For example, if you are interested in learning about security, you can simply load the QuickPoll application under the Chapter8\starter folder and follow the solution described in Chapter 8. As the name suggests, the final folder contains the expected end state for that chapter.

Chapters 1 and 2 don't have any associated code. Therefore, the corresponding ChapterX folders for those chapters contain empty `starter` and `final` folders. In Chapter 3, we build a Hello World application, so Chapter 3's `starter` and `final` folders contain the `hello-rest` application. Starting from Chapter 4, the `starter` and `final` folders contain QuickPoll project source code.

Contacting the Authors

We always welcome feedback from our readers. If you have any questions or suggestions regarding the contents of this book, you can contact the authors at Balaji@inflinx.com or Sudha@inflinx.com.

CHAPTER 1



Introduction to REST

In this chapter, we will learn:

- REST fundamentals
- REST resources and their representations
- HTTP methods and status codes
- Richardson's maturity model

Today, the Web has become an integral part of our lives—checking statuses on Facebook to ordering products online to communicating via email. The success and ubiquity of the Web has resulted in organizations applying the Web's architectural principles to building distributed applications. In this chapter, we will take a deep dive into REST, an architectural style that formalizes these principles.

What is REST?

REST stands for **RE**presentational **S**tate **T**ransfer and is an architectural style for designing distributed network applications. Roy Fielding coined the term REST in his PhD dissertation¹ and proposed the following six constraints or principles as its basis:

- **Client-Server**—Concerns should be separated between clients and servers. This enables client and server components to evolve independently and in turn allows the system to scale.
- **Stateless**—The communication between client and server should be stateless. The server need not remember the state of the client. Instead, clients must include all of the necessary information in the request so that server can understand and process it.
- **Layered System**—Multiple hierarchical layers such as gateways, firewalls, and proxies can exist between client and server. Layers can be added, modified, reordered, or removed transparently to improve scalability.
- **Cache**—Responses from the server must be declared as cacheable or noncacheable. This would allow the client or its intermediary components to cache responses and reuse them for later requests. This reduces the load on the server and helps improve the performance.

¹<https://www.ics.uci.edu/~fielding/pubs/dissertation/top.htm>.

- **Uniform Interface**— All interactions between client, server, and intermediary components are based on the uniformity of their interfaces. This simplifies the overall architecture as components can evolve independently as long as they implement the agreed-on contract. The uniform interface constraint is further broken down into four subconstraints—resource identification, resource representations, self-descriptive messages, and hypermedia as the engine of application state or HATEOAS. We will examine some of these guiding principles in the later sections of this chapter.
- **Code on demand**—Clients can extend their functionality by downloading and executing code on demand. Examples include JavaScript scripts, Java applets, Silverlight, and so on. This is an optional constraint.

Applications that adhere to these constraints are considered to be RESTful. As you might have noticed, these constraints don't dictate the actual technology to be used for developing applications. Instead, adherence to these guidelines and best practices would make an application scalable, visible, portable, reliable, and able to perform better. In theory, it is possible for a RESTful application to be built using any networking infrastructure or transport protocol. In practice, RESTful applications leverage features and capabilities of the Web and use HTTP as the transport protocol.

The Uniform Interface constraint is a key feature that distinguishes REST applications from other network-based applications. Uniform Interface in a REST application is achieved through abstractions such as resources, representations, URIs, and HTTP methods. In the next sections, we will look at these important REST abstractions.

Understanding Resources

"The key abstraction of information in REST is a resource."

—Roy Fielding

Fundamental to REST is the concept of resource. A resource is anything that can be accessed or manipulated. Examples of resources include "videos," "blog entries," "user profiles," "images," and even tangible things such as persons or devices. Resources are typically related to other resources. For example, in an ecommerce application, a customer can place an order for any number of products. In this scenario, the product resources are related to the corresponding order resource. It is also possible for a resource to be grouped into collections. Using the same ecommerce example, "orders" is a collection of individual "order" resources.

Identifying Resources

Before we can interact and use a resource, we must be able to identify it. The Web provides the Uniform Resource Identifier, or URI, for uniquely identifying resources. The syntax of a URI is:

scheme:scheme-specific-part

The scheme and the scheme-specific-part are separated using a semicolon. Examples of a scheme include `http` or `ftp` or `mailto` and are used to define the semantics and interpretation of the rest of the URI. Take the example of the URI—<http://www.apress.com/9781484208427>. The `http` portion of the example is the scheme; it indicates that a HTTP scheme should be used for interpreting the rest of the URI. The HTTP scheme, defined as part of RFC 7230,² indicates that the resource identified by our example URI is located on a machine with host name `apress.com`.

Table 1-1 shows examples of URIs and the different resources they represent.

Table 1-1. URI and resource description

URI	Resource Description
<code>http://blog.example.com/posts</code>	Represents a collection of blog post resources
<code>http://blog.example.com/posts/1</code>	Represents a blog post resource with identifier “1”; such resources are called singleton resources
<code>http://blog.example.com/posts/1/comments</code>	Represents a collection of comments associated with the blog entry identified by “1”; collections such as these that reside under a resource are referred to as subcollections
<code>http://blog.example.com/posts/1/comments/245</code>	Represents the comment resource identified by “245”

Even though a URI uniquely identifies a resource, it is possible for a resource to have more than one URI. For example, Facebook can be accessed using URIs <https://www.facebook.com> and <https://www.fb.com>. The term *URI aliases* is used to refer to such URIs that identify the same resources. URI aliases provide flexibility and added convenience such as having to type fewer characters to get to the resource.

URI Templates

When working with REST and a REST API, there will be times where you need to represent the structure of a URI rather than the URI itself. For example, in a blog application, the URI `http://blog.example.com/2014/posts` would retrieve all the blog posts created in the year 2014. Similarly, the URIs `http://blog.example.com/2013/posts`, `http://blog.example.com/2012/posts`, and so forth would return blog posts corresponding to the years 2013, 2012, and so on. In this scenario, it would be convenient for a consuming client to know the URI structure `http://blog.example.com/year/posts` that describes the range of URIs rather than individual URIs.

URI templates, defined in RFC 6570 (<http://tools.ietf.org/html/rfc6570>), provide a standardized mechanism for describing URI structure. The standardized URI template for this scenario could be:

```
http://blog.example.com/{year}/posts
```

The curly braces `{ }` indicate that the year portion of the template is a variable, often referred to as a path variable. Consuming clients can take this URI template as input, substitute the year variable with the right value, and retrieve the corresponding year’s blog posts. On the server side, URL templates allow the server code to parse and retrieve the values of the variables or selected portions of URI easily.

²<http://tools.ietf.org/html/rfc7230>.

Representation

RESTful resources are abstract entities. The data and metadata that make a RESTful resource needs to be serialized into a representation before it gets sent to a client. This representation can be viewed as a *snapshot* of a resource’s state at a given point in time. Consider a database table in an ecommerce application that stores information about all the available products. When an online shopper uses their browser to buy a product and requests its details, the application would provide the product details as a Web page in HTML. Now, when a developer writing a native mobile application requests product details, the ecommerce application might return those details in XML or JSON format. In both scenarios, the clients didn’t interact with the actual resource—the database record-holding product details. Instead, they dealt with its representation.

■ **Note** REST components interact with a resource by transferring its representations back and forth. They never directly interact with the resource.

As noted in this product example, the same resource can have several representations. These representations can range from text-based HTML, XML, and JSON formats to binary formats such as PDFs, JPEGs, and MP4s. It is possible for the client to request a particular representation and this process is termed as **content negotiation**. Here are the two possible content negotiation strategies:

- Postfixing the URI with the desired representation—In this strategy, a client requesting product details in JSON format would use the URI `http://www.example.com/products/143.json`. A different client might use the URI `http://www.example.com/products/143.xml` to get product details in XML format.
- Using the Accept header—Clients can populate the HTTP Accept header with the desired representation and send it along with the request. The application handling the resource would use the Accept header value to serialize the requested representation. The RFC 2616³ provides a detailed set of rules for specifying one or more formats and their priorities.

■ **Note** JSON has become the de facto standard for REST services. All of the examples in this book use JSON as the data format for requests and responses.

HTTP Methods

The “Uniform Interface” constraint restricts the interactions between client and server through a handful of standardized operations or *verbs*. On the Web, the HTTP standard⁴ provides eight HTTP methods that allow clients to interact and manipulate resources. Some of the commonly used methods are GET, POST, PUT, and DELETE. Before we delve deep in to HTTP methods, let’s review their two important characteristics—safety and idempotency.

³<http://www.w3.org/Protocols/rfc2616/rfc2616-sec14.html#sec14.1>.

⁴<https://www.ietf.org/rfc/rfc2616.txt>.

■ **Note** The HTTP specification uses the term *method* to denote HTTP actions such as GET, PUT, and POST. However, the term HTTP verb is also used interchangeably.

Safety

A HTTP method is said to be safe if it doesn't cause any changes to the server state. Consider methods such as GET or HEAD, which are used to retrieve information/resources from the server. These requests are typically implemented as read-only operations without causing any changes to the server's state and, hence, considered safe.

Safe methods are used to retrieve resources. However, safety doesn't mean that the method must return the same value every time. For example, a GET request to retrieve Google stock might result in a different value for each call. But as long as it didn't alter any state, it is still considered safe.

In real-world implementations, there may still be side effects with a safe operation. Consider the implementation in which each request for stock prices gets logged in a database. From a purist perspective we are changing the state of the entire system. However, from a practical standpoint, because these side effects were the sole responsibility of the server implementation, the operation is still considered to be safe.

Idempotency

An operation is considered to be idempotent if it produces the same server state whether we apply it once or any number of times. HTTP methods such as GET, HEAD (which are also safe), PUT, and DELETE are considered to be idempotent, guaranteeing that clients can repeat a request and expect the same effect as making the request once. The second and subsequent requests leave the resource state in exactly the same state as the first request did.

Consider the scenario in which you are deleting an order in an ecommerce application. On successful completion of the request, the order no longer exists on the server. Hence, any future requests to delete that order would still result in the same server state. By contrast, consider the scenario in which you are creating an order using a POST request. On successful completion of the request, a new order gets created. If you were to re-POST the same request, the server simply honors the request and creates a new order. Because a repeated POST request can result in unforeseen side effects, POST is not considered to be idempotent.

GET

The GET method is used to retrieve a resource's representation. For example, a GET on the URI `http://blog.example.com/posts/1` returns the representation of the blog post identified by 1. By contrast, a GET on the URI `http://blog.example.com/posts` retrieves a collection of blog posts. Because GET requests don't modify server state, they are considered to be safe and idempotent.

A hypothetical GET request to `http://blog.example.com/posts/1` and the corresponding response are shown here.

```
GET      /posts/1 HTTP/1.1
Accept:   text/html,application/xhtml+xml,application/xml;q=0.9,*/*;q=0.8
Accept-Encoding: gzip, deflate
Accept-Language: en-US,en;q=0.5
Connection: keep-alive
Host:    blog.example.com
```

```

Content-Type: text/html; charset=UTF-8
Date: Sat, 10 Jan 2015 20:16:58 GMT
Server: Apache
<!DOCTYPE html PUBLIC "-//W3C//DTD XHTML 1.1//EN"
"http://www.w3.org/TR/xhtml11/DTD/xhtml11.dtd">
<html xmlns="http://www.w3.org/1999/xhtml">
  <head>
    <title>First Post</title>
  </head>
  <body>
    <h3>Hello World!!</h3>
  </body>
</html>

```

In addition to the representation, the response to GET requests includes metadata associated with the resource. This metadata is represented as a sequence of key value pairs called HTTP headers. Content-Type and Server are examples of the headers that you see in this response. Because the GET method is safe, responses to GET requests can be cached.

The simplicity of the GET method is often abused and it is used to perform operations such as deleting or updating a resource's representation. Such usage violates standard HTTP semantics and is highly discouraged.

HEAD

On occasions, a client would like to check if a particular resource exists and doesn't really care about the actual representation. In another scenario, the client would like to know if a newer version of the resource is available before it downloads it. In both cases, a GET request could be "heavyweight" in terms of bandwidth and resources. Instead, a HEAD method is more appropriate.

The HEAD method allows a client to only retrieve the metadata associated with a resource. No resource representation gets sent to the client. This metadata represented as HTTP headers will be identical to the information sent in response to a GET request. The client uses this metadata to determine resource accessibility and recent modifications. Here is a hypothetical HEAD request and the response.

```

HEAD /posts/1 HTTP/1.1
Accept: text/html,application/xhtml+xml,application/xml;q=0.9,*/*;q=0.8
Accept-Encoding: gzip, deflate
Accept-Language: en-US,en;q=0.5
Connection: keep-alive
Host: blog.example.com

```

```

Connection: Keep-Alive
Content-Type: text/html; charset=UTF-8
Date: Sat, 10 Jan 2015 20:16:58 GMT
Server: Apache

```

Like GET, the HEAD method is also safe and idempotent and responses can be cached on the client.

DELETE

The DELETE method, as the name suggests, *requests* a resource to be deleted. On receiving the request, a server deletes the resource. For resources that might take a long time to delete, the server typically sends a confirmation that it has received the request and will work on it. Depending on the service implementation, the resource may or may not be physically deleted.

On successful deletion, future GET requests on that resource would yield a “Resource Not Found” error via HTTP status code 404. We will be covering status codes in just a minute.

In this example, the client requests a post identified by 1 to be deleted. On completion, the server could return a status code 200 (OK) or 204 (No Content), indicating that the request was successfully processed.

Delete /posts/1 HTTP/1.1

```
Content-Length: 0
Content-Type: application/json
Host: blog.example.com
```

Similarly, in this example, all comments associated with post #2 get deleted.

Delete /posts/2/comments HTTP/1.1

```
Content-Length: 0
Content-Type: application/json
Host: blog.example.com
```

Because DELETE method modifies the state of the system, it is not considered to be safe. However, the DELETE method is considered idempotent; subsequent DELETE requests would still leave the resource and the system in the same state.

PUT

The PUT method allows a client to modify a resource state. A client modifies the state of a resource and sends the updated representation to the server using a PUT method. On receiving the request, the server replaces the resource’s state with the new state.

In this example, we are sending a PUT request to update a post identified by 1. The request contains an updated blog post’s body along with all of the other fields that make up the blog post. The server, on successful processing, would return a status code 200, indicating that the request was processed successfully.

PUT /posts/1 HTTP/1.1

```
Accept: */*
Content-Type: application/json
Content-Length: 65
Host: blog.example.com
```

BODY

```
{"title": "First Post", "body": "Updated Hello World!!"}
```

Consider the case in which we just wanted to update the blog post title. The HTTP semantics dictate that as part of the PUT request we send the full resource representation, which includes the updated title as well as other attributes such as blog post body and so on that didn't change. However, this approach would require that the client has the complete resource representation, which might not be possible if the resource is very big or has a lot of relationships. Additionally, this would require higher bandwidth for data transfers. So, for practical reasons, it is acceptable to design your API that tends to accept partial representations as part of a PUT request.

■ **Note** To support partial updates, a new method called PATCH has been added as part of RFC 5789 (<http://www.ietf.org/rfc/rfc5789.txt>). We will be looking at the PATCH method later in this chapter.

Clients can also use PUT method to create a new resource. However, it will only be possible when the client knows the URI of the new resource. In a blogging application, for example, a client can upload an image associated with a blog post. In that scenario, the client decides the URL for the image as shown in this example:

```
PUT http://blog.example.com/posts/1/images/author.jpg
```

PUT is not a safe operation, as it changes the system state. However, it is considered idempotent, as putting the same resource once or more than once would produce the same result.

POST

The POST method is used to create resources. Typically, it is used to create resources under subcollections—resource collections that exist under a parent resource. For example, the POST method can be used to create a new blog entry in a blogging application. Here, “posts” is a subcollection of blog post resources that reside under a blog parent resource.

POST /posts HTTP/1.1

```
Accept: */*
Content-Type: application/json
Content-Length: 63
Host: blog.example.com
```

BODY

```
{"title": "Second Post","body": "Another Blog Post."}
```

Content-Type: application/json

```
Location: posts/12345
Server: Apache
```

Unlike PUT, a POST request doesn't need to know the URI of the resource. The server is responsible for assigning an ID to the resource and deciding the URI where the resource is going to reside. In the previous example, the blogging application will process the POST request and create a new resource under <http://blog.example.com/posts/12345>, where “12345” is the server generated id. The Location header in the response contains the URL of the newly created resource.

The POST method is very flexible and is often used when no other HTTP method seems appropriate. Consider the scenario in which you would like to generate a thumbnail for a JPEG or PNG image. Here we ask the server to perform an action on the image binary data that we are submitting. HTTP methods such as GET and PUT don't really fit here, as we are dealing with an RPC-style operation. Such scenarios are handled using the POST method.

■ **Note** The term “controller resource” has been used to describe executable resources that take inputs, perform some action, and return outputs. Although these types of resources don't fit the true REST resource definition, they are very convenient to expose complex operations.

The POST method is not considered safe, as it changes system state. Also, multiple POST invocations would result in multiple resources being generated, making it nonidempotent.

PATCH

As we discussed earlier, the HTTP specification requires the client to send the entire resource representation as part of a PUT request. The PATCH method proposed as part of RFC 5789 (<http://tools.ietf.org/html/rfc5789>) is used to perform partial resource updates. It is neither safe nor idempotent. Here is an example that uses PATCH method to update a blog post title.

PATCH /posts/1 HTTP/1.1

```
Accept: */*
Content-Type: application/json
Content-Length: 59
Host: blog.example.com
```

BODY

```
{"replace": "title", "value": "New Awesome title"}
```

The request body contains a description of changes that need to be performed on the resource. In the example, the request body uses the “replace” command to indicate that the value of the “title” field needs to be replaced.

There is no standardized format for describing the changes to the server as part of a PATCH request. A different implementation might use the following format to describe the same change:

```
{"change" : "name", "from" : "Post Title", "to" : "New Awesome Title"}
```

Currently, there is a work in progress (<http://tools.ietf.org/html/draft-ietf-appsawg-json-patch>) for defining a PATCH format for JSON. This lack of standard has resulted in implementations that describe change sets in a simpler format, as shown here:

```
{"name" : "New Awesome Title"}
```

CRUD AND HTTP VERBS

Data-driven applications typically use the term CRUD to indicate four basic persistence functions—Create, Read, Update, and Delete. Some developers building REST applications have mistakenly associated the four popular HTTP verbs GET, POST, PUT, and DELETE with CRUD semantics. The typical association often seen is:

Create -> POST
Update -> PUT
Read -> GET
Delete -> DELETE

These correlations are true for Read and Delete operations. However, it is not as straightforward for Create/Update and POST/PUT. As you have seen earlier in this chapter, PUT can be used to create a resource as long as idempotency constraint is met. In the same way it was never considered non-RESTful if POST is used for update (<http://roy.gbiv.com/untangled/2009/it-is-okay-to-use-post>). It is also possible for a client to use PATCH for updating a resource.

Therefore, it is important for API designers to use the right verbs for a given operation than simply using a 1-1 mapping with CRUD.

HTTP Status Codes

The HTTP Status codes allow a server to communicate the results of processing a client's request. These status codes are grouped into the following categories:

- **Informational Codes**—Status codes indicating that the server has received the request but hasn't completed processing it. These intermediate response codes are in the 100 series.
- **Success Codes**—Status codes indicating that the request has been successfully received and processed. These codes are in the 200 series.
- **Redirection Codes**—Status codes indicating that the request has been processed, but the client must perform an additional action to complete the request. These actions typically involve redirecting to a different location to get the resource. These codes are in the 300 series.
- **Client Error Codes**—Status codes indicating that there was an error or a problem with client's request. These codes are in the 400 series.
- **Server Error Codes**—Status codes indicating that there was an error on the server while processing the client's request. These codes are in the 500 series.

The HTTP Status codes play an important role in REST API design as meaningful codes help communicate the right status, enabling the client to react appropriately. Table 1-2 shows some of the important status codes into which you typically run.

Table 1-2. HTTP status codes and their descriptions

Status Code	Description
100 (Continue)	Indicates that the server has received the first part of the request and the rest of the request should be sent.
200 (OK)	Indicates that all went well with the request.
201 (Created)	Indicates that request was completed and a new resource got created.
202 (Accepted)	Indicates that request has been accepted but is still being processed.
204 (No Content)	Indicates that the server has completed the request and has no entity body to send to the client.
301 (Moved Permanently)	Indicates that the requested resource has been moved to a new location and a new URI needs to be used to access the resource.
400 (Bad Request)	Indicates that the request is malformed and the server is not able to understand the request.
401 (Unauthorized)	Indicates that the client needs to authenticate before accessing the resource. If the request already contains client's credentials, then a 401 indicates invalid credentials (e.g., bad password).
403 (Forbidden)	Indicates that the server understood the request but is refusing to fulfill it. This could be because the resource is being accessed from a blacklisted IP address or outside the approved time window.
404 (Not Found)	Indicates that the resource at the requested URI doesn't exist.
406 (Not Acceptable)	Indicates that the server is capable of processing the request; however, the generated response may not be acceptable to the client. This happens when the client becomes too picky with its accept headers.
500 (Internal Server Error)	Indicates that there was an error on the server while processing the request and that the request can't be completed.
503 (Service Unavailable)	Indicates that the request can't be completed, as the server is overloaded or going through scheduled maintenance.

Richardson's Maturity Model

The Richardson's Maturity Model (RMM), developed by Leonard Richardson, classifies REST-based Web services on how well they adhere to REST principles. Figure 1-1 shows the four levels of this classification.

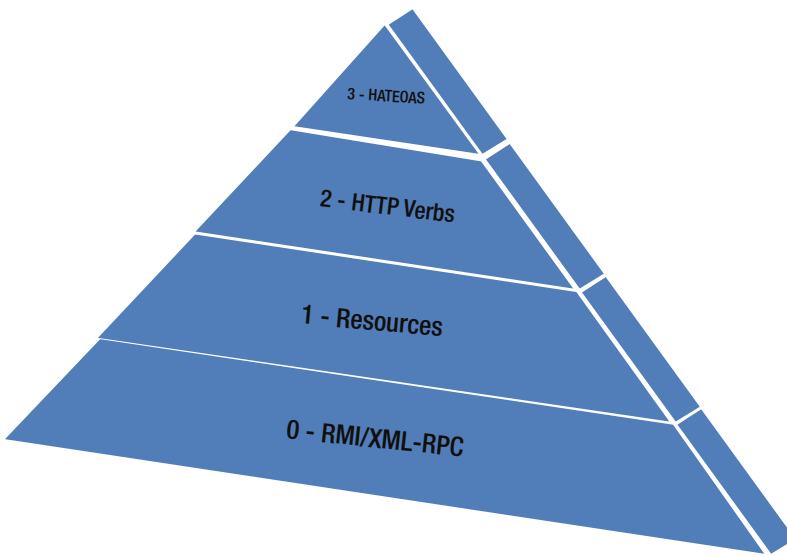


Figure 1-1. RMM levels

RMM can be valuable in understanding the different styles of Web service, their designs, benefits, and tradeoffs.

Level Zero

This is the most rudimentary maturity level for a service. Services in this level use HTTP as the transport mechanism and perform remote procedure calls on a single URI. Typically, POST or GET HTTP methods are employed for service calls. SOAP- and XML-RPC-based Web services fall under this level.

Level One

The next level adheres to the REST principles more closely and introduces multiple URIs, one per resource. Complex functionality of a large service endpoint is broken down into multiple resources. However, services in this layer use one HTTP verb, typically POST, to perform all of the operations.

Level Two

Services in this level leverage HTTP protocol and make the right use of HTTP verbs and status codes available in the protocol. Web services implementing CRUD operations are good examples of Level 2 services.

Level Three

This is the most mature level for a service and is built around the notion of Hypermedia as the Engine of Application State, or HATEOAS. Services in this level allow discoverability by providing responses that contain links to other related resources and controls that tell the client what to do next.

Building a RESTful API

Designing and implementing a beautiful RESTful API is no less than an art. It takes time, effort, and several iterations. A well-designed RESTful API allows your end users to consume the API easily and makes its adoption easier. At a high level, here are the steps involved in building a RESTful API:

1. **Identify Resources**—Central to REST are resources. We start modeling different resources that are of interest to our consumers. Often, these resources can be the application's domain or entities. However, a one-to-one mapping is not always required.
2. **Identify Endpoints**—The next step is to design URIs that map resources to endpoints. In Chapter 4, we will look at best practices for designing and naming endpoints.
3. **Identify Actions**—Identify the HTTP methods that can be used to perform operations on the resources.
4. **Identify Responses**—Identify the supported resource representation for the request and response along with the right status codes to be returned.

In the rest of the book, we will look at best practices for designing a RESTful API and implementing it using Spring technologies.

Summary

REST has become the de facto standard for building services today. In this chapter, we covered the fundamentals of REST and abstractions such as resources, representations, URIs, and HTTP methods that make up REST's Uniform Interface. We also looked at RMM, which provides a classification of REST services.

In the next chapter, we will take a deep dive into Spring and its related technologies that simplify REST service development.

CHAPTER 2



Spring Web MVC Primer

In this chapter, we will discuss:

- Spring and its features
- The Model View Controller Pattern
- Spring Web MVC and its components

The Java ecosystem is filled with frameworks such as Jersey and RestEasy, which allow you to develop REST applications. Spring Web MVC is one such popular web framework that simplifies Web and REST application development. We begin this chapter with an overview of the Spring framework and take a deep dive into Spring Web MVC and its components.

■ **Note** This book doesn't give a comprehensive overview of Spring and Spring Web MVC. Refer to *Pro Spring* and *Pro Spring MVC and WebFlow* (both published by Apress) for detailed treatment of these concepts.

Spring Overview

The Spring Framework has become the de facto standard for building Java/Java EE-based enterprise applications. Originally written by Rod Johnson in 2002, the Spring Framework is one of the suite of projects owned and maintained by Pivotal Software Inc. (<http://spring.io>). Among many other things, the Spring Framework provides a dependency injection model¹ that reduces plumbing code for application development, supports aspect oriented programming (AOP) for implementing crosscutting concerns, and makes it easy to integrate with other frameworks and technologies. The Spring Framework is made up of different modules that offer services such as data access, instrumentation, messaging, testing, and Web integration. The different Spring Framework modules and their groupings are shown in Figure 2-1.

¹<http://martinfowler.com/articles/injection.html>.

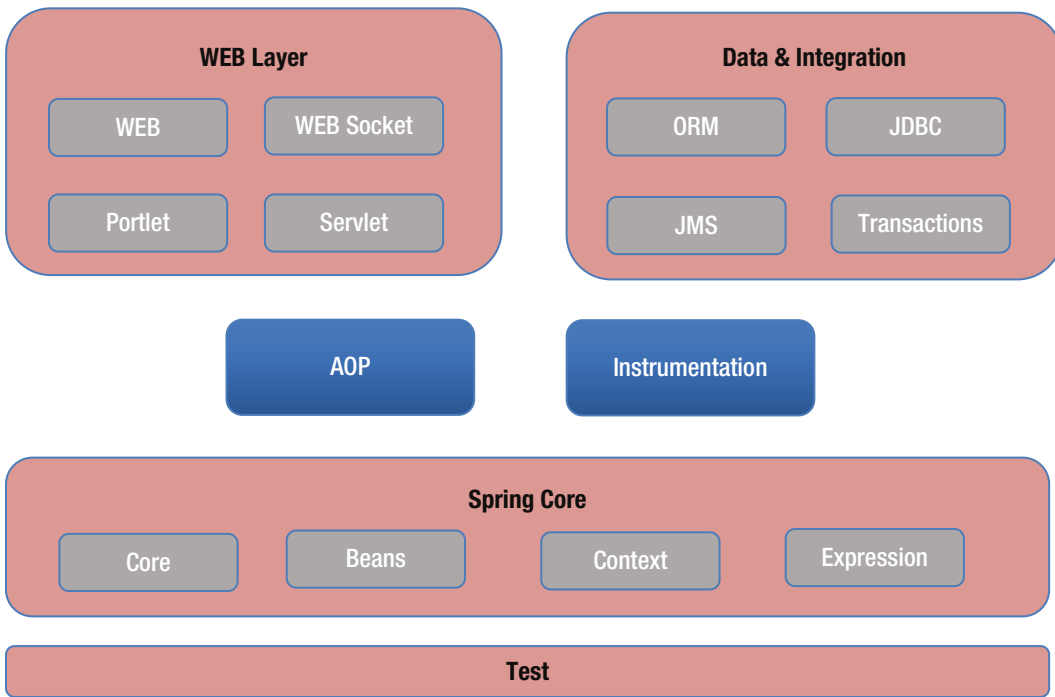


Figure 2-1. *Spring Framework modules*

As a developer, you are not forced to use everything that the Spring Framework has to offer. The modularity of the Spring Framework allows you to pick and choose the modules based on your application needs. In this book, we will be focusing on the Web module for developing REST services. Additionally, we will be using a few other Spring portfolio projects such as Spring Data, Spring Security, and Spring Boot. These projects are built on top of the infrastructure provided by the Spring Framework modules and are intended to simplify data access, authentication/authorization, and Spring application creation.

Developing Spring-based applications requires a thorough understanding of two core concepts—Dependency Injection and Aspect Oriented Programming.

Dependency Injection

At the heart of the Spring Framework lies Dependency Injection (DI). As the name suggests, Dependency Injection allows dependencies to be *injected* into components that need them. This relieves those components from having to create or locate their dependencies, allowing them to be loosely coupled.

To better understand DI, consider the scenario of purchasing a product in an online retail store. Completing a purchase is typically implemented using a component such as an `OrderService`. The `OrderService` itself would interact with an `OrderRepository` that would create order details in a database and a `NotificationComponent` that would send out the order confirmation to the customer. In a traditional implementation, the `OrderService` creates (typically in its constructor) instances of `OrderRepository` and `NotificationComponent` and uses them. Even though there is nothing wrong with this approach, it can lead to hard-to-maintain, hard-to-test, and highly coupled code.

DI, by contrast, allows us to take a different approach when dealing with dependencies. With DI, you let an external process such as Spring create dependencies, manage dependencies, and inject those dependencies into the objects that need them. So, with DI, Spring would create the `OrderRepository` and `NotificationComponent` and then hand over those dependencies to the `OrderService`. This decouples `OrderService` from having to deal with `OrderRepository/NotificationComponent` creation, making it easier to test. It allows each component to evolve independently, making development and maintenance easier. Also, it makes it easier to swap these dependencies with different implementations or use these components in a different context.

Aspect Oriented Programming

Aspect Oriented Programming (AOP) is a programming model that implements crosscutting logic or concerns. Logging, transactions, metrics, and security are some examples of concerns that span (crosscut) different parts of an application. These concerns don't deal with business logic and are often duplicated across the application. AOP provides a standardized mechanism called an *aspect* for encapsulating such concerns in a single location. The aspects are then *woven* into other objects so that the crosscutting logic is automatically applied across the entire application.

Spring provides a pure Java-based AOP implementation through its Spring AOP module. Spring AOP does not require any special compilation nor changes to the class loader hierarchy. Instead, Spring AOP uses proxies for weaving aspects into Spring beans at runtime. Figure 2-2 provides a representation of this behavior. When a method on the target bean gets called, the proxy intercepts the call. It then applies the aspect logic and invokes the target bean method.

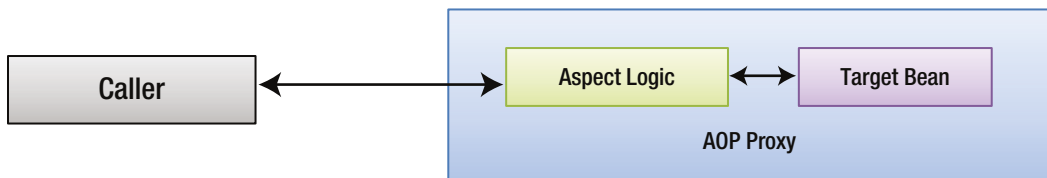


Figure 2-2. Spring AOP Proxy

Spring provides two-proxy implementations—JDK dynamic proxy and CGLIB proxy. If the target bean implements an interface, Spring will use JDK dynamic proxy to create the AOP proxy. If the class doesn't implement an interface, Spring uses CGLIB to create a proxy.

Spring Web MVC Overview

Spring Web MVC, part of the Spring Framework's Web module, is a popular technology for building Web-based applications. It is based on the model-view-controller architecture and provides a rich set of annotations and components. Over the years, the framework has evolved; it currently provides a rich set of configuration annotations and features such as flexible view resolution and powerful data binding.

Model View Controller Pattern

The Model View Controller, or MVC, is an architectural pattern for building decoupled Web applications. This pattern decomposes the UI layer into the following three components:

Model—The model represents data or state. In a Web-based banking application, information representing accounts, transactions, and statements are examples of the model.

View—Provides a visual representation of the model. This is what the user interacts with by providing inputs and viewing the output. In our banking application, Web pages showing accounts and transactions are examples of views.

Controller—The controller is responsible for handling user actions such as button clicks. It then interacts with services or repositories to prepare the model and hands the prepared model over to an appropriate view.

Each component has specific responsibility. The interaction between them is shown in Figure 2-3. The interaction begins with the Controller preparing the model and selecting an appropriate view to be rendered. The View uses the data from the model for rendering. Further interactions with the View are sent to the Controller, which starts the process all over again.

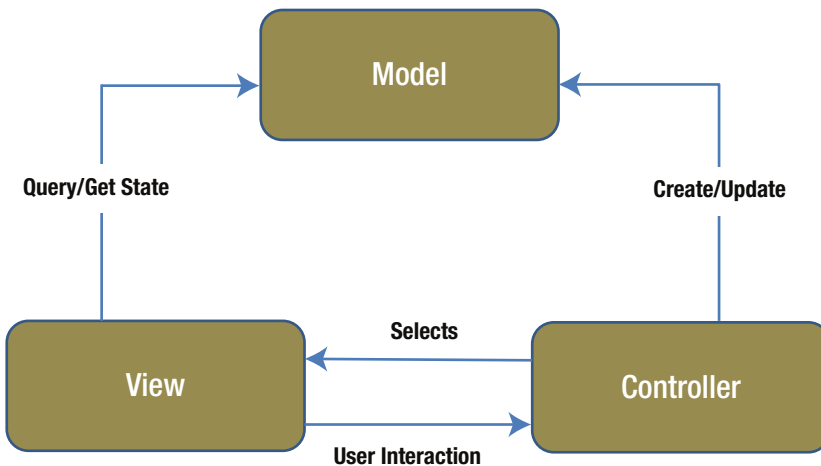


Figure 2-3. Model View Controller interaction

Spring Web MVC Architecture

Spring's Web MVC implementation revolves around the `DispatcherServlet`—an implementation of the FrontController Pattern² that acts as an entry point for handling requests. Spring Web MVC's architecture is shown in Figure 2-4.

²<http://www.oracle.com/technetwork/java/frontcontroller-135648.html>.

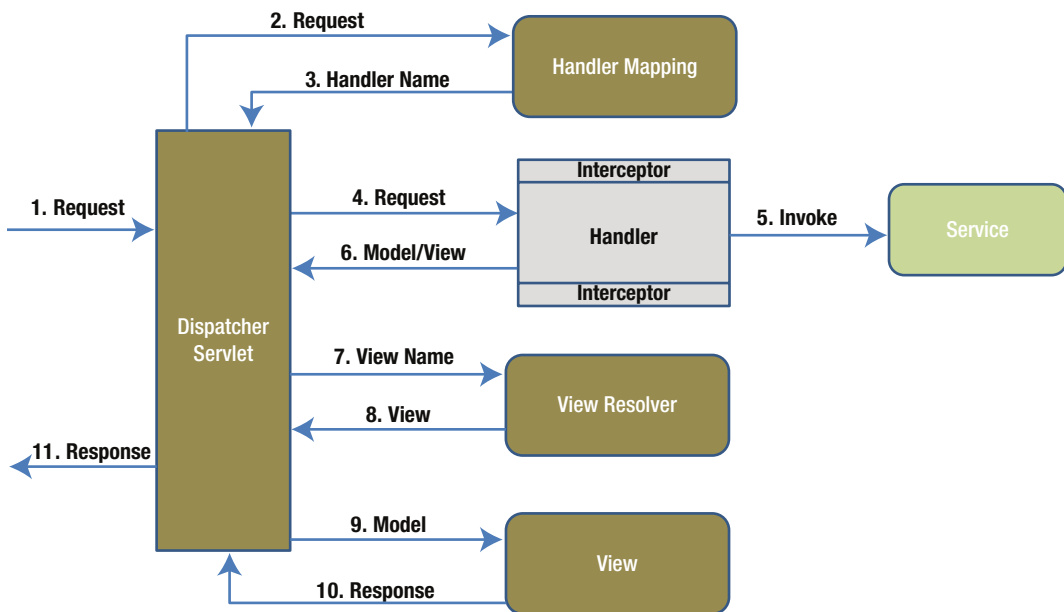


Figure 2-4. Spring Web MVC's architecture

The different components in Figure 2-4 and their interactions include:

1. The interaction begins with the DispatcherServlet receiving the request from the client.
2. DispatcherServlet queries one or more HandlerMapping to figure out a Handler that can service the request. A Handler is a generic way of addressing a Controller and other HTTP-based endpoints that Spring Web MVC supports.
3. The HandlerMapping component uses the request path to determine the right Handler and passes it to the DispatcherServlet. The HandlerMapping also determines a list of Interceptors that need to get executed before (Pre-) and after (Post-) Handler execution.
4. The DispatcherServlet then executes the Pre-Process Interceptors if any are appropriate and passes the control to the Handler.
5. The Handler interacts with any Service(s) needed and prepares the model.
6. The Handler also determines the name of the view that needs to get rendered in the output and sends it to DispatcherServlet. The Post-Process Interceptors then get executed.
7. This is followed by the DispatcherServlet passing the logical View name to a ViewResolver, which determines and passes the actual View implementation.
8. The DispatcherServlet then passes the control and model to the View, which generates response. This ViewResolver and View abstraction allows the DispatcherServlet to be decoupled from a particular View implementation.
9. The DispatcherServlet returns the generated response over to the client.

Spring Web MVC Components

In the previous section, you were introduced to Spring Web MVC components such as `HandlerMapping` and `ViewResolver`. In this section, we will take a deeper look at those as well as additional Spring Web MVC components.

■ **Note** In this book we will be using Java Configuration for creating Spring beans. Contrary to XML-based configuration, Java configuration provides compile time safety, flexibility, and added power/control.

Controller

Controllers in Spring Web MVC are declared using the stereotype `org.springframework.stereotype.Controller`. A stereotype in Spring designates roles or responsibilities of a class or an interface. Listing 2-1 shows a basic controller.

Listing 2-1. HomeController implementation

```
@Controller
public class HomeController {
    @RequestMapping("/home.html")
    public String showHomePage() {
        return "home";
    }
}
```

The `@Controller` annotation designates the `HomeController` class as a MVC controller. The `@RequestMapping` annotation maps Web requests to handler classes and handler methods. In this case, the `@RequestMapping` indicates that when a request for `home.html` is made, the `showHomePage` method should get executed. The `showHomePage` method has a tiny implementation and simply returns the logical view name `home`. This controller did not prepare any model in this example.

Model

Spring provides the `org.springframework.ui.Model` interface that serves as holder for model attributes. Listing 2-2 shows the `Model` interface with the available methods. As the names suggest, the `addAttribute` and `addAttributes` methods can be used to add attributes to the model object.

Listing 2-2. Model interface

```
public interface Model {

    Model addAttribute(String attributeName, Object attributeValue);

    Model addAttribute(Object attributeValue);

    Model addAllAttributes(Collection<?> attributeValues);

    Model addAllAttributes(Map<String, ?> attributes);
}
```

```

    Model mergeAttributes(Map<String, ?> attributes);

    boolean containsAttribute(String attributeName);

    Map<String, Object> asMap();
}

```

The easiest way for a controller to work with a model object is by declaring it as a method parameter. Listing 2-3 shows the `showHomePage` method with the `Model` parameter. In the method implementation, we are adding the `currentDate` attribute to the model object.

Listing 2-3. `showHomePage` with `Model` attribute

```

@RequestMapping("/home.html")
public String showHomePage(Model model) {
    model.addAttribute("currentDate", new Date());
    return "home";
}

```

The Spring Framework strives to decouple our applications from the framework's classes. So, a popular approach for working with model objects is to use a `java.util.Map` instance as shown in Listing 2-4. Spring would use the passed in `Map` parameter instance to enrich the model that gets exposed to the view.

Listing 2-4. `showHomePage` with `Map` attribute

```

@RequestMapping("/home.html")
public String showHomePage(Map model) {
    model.put("currentDate", new Date());
    return "home";
}

```

View

Spring Web MVC supports a variety of view technologies such as JSP, Velocity, Freemarker, and XSLT. Spring Web MVC uses the `org.springframework.web.servlet.View` interface to accomplish this. The `View` interface has two methods, as shown in Listing 2-5.

Listing 2-5. `View` Interface API

```

public interface View
{
    String getContentType();

    void render(Map<String, ?> model, HttpServletRequest request, HttpServletResponse
response) throws Exception;
}

```

Concrete implementations of the `View` interface are responsible for rendering the response. This is accomplished by overriding the `render` method. The `getContentType` method returns the generated view's content type. Table 2-1 shows important `View` implementations that Spring Web MVC provides out of the box. You will notice that all of these implementations reside inside the `org.springframework.web.servlet.view` package.

Table 2-1. Spring Web MVC View Implementations

Class Name	Description
org.springframework.web.servlet.view.json.MappingJackson2JsonView	View implementation that encodes model attributes and returns JSON.
org.springframework.web.servlet.view.xslt.XsltView	View implementation that performs XSLT transformation and returns the response.
org.springframework.web.servlet.view.InternalResourceView	View implementation that delegates the request to a JSP page inside the web application.
org.springframework.web.servlet.view.tiles2.TilesView	View implementation that uses Apache Tiles configuration for Tile definition and rendering.
org.springframework.web.servlet.view.JstlView	Specialized implementation of InternalResourceView that supports JSP pages using JSTL.
org.springframework.web.servlet.view.RedirectView	View implementation that redirects to a different (absolute or relative) URL.

Listing 2-6 shows the reimplementations of the HomeController that we looked at earlier. Here we are creating an instance of JstlView and setting the JSP page that we need to be rendered.

Listing 2-6. HomeController View implementation

```
@Controller
public class HomeController {
    @RequestMapping("/home.html")
    public View showHomePage() {
        JstlView view = new JstlView();
        view.setUrl("/WEB-INF/pages/home.jsp");
        return view;
    }
}
```

Controller implementations typically don't deal with view instances. Instead, they return logical view names, as shown in Listing 2-1, and let view resolvers determine and create view instances. This decouples the controllers from tying to a specific view implementation and makes it easy to swap view implementations. Also, the controllers no longer need to know intricacies such as the location of the views.

@RequestParam

The @RequestParam annotation is used to bind Servlet request parameters to handler/controller method parameters. The request parameter values are automatically converted to the specified method parameter type using type conversion. Listing 2-7 shows two usages of @RequestParam. In the first usage, Spring looks for a request parameter named query and maps its value to the method parameter query. In the second usage, Spring looks for a request parameter named page, converts its value to an integer, and maps it to the pageNumber method parameter.

Listing 2-7. RequestParam Usage

```

@RequestMapping("/search.html")
public String search(@RequestParam String query, @RequestParam("page") int pageNumber) {
    model.put("currentDate", new Date());
    return "home";
}

```

When a method parameter is annotated using `@RequestParam`, the specified request parameter must be available in the client request. If the parameter is missing, Spring will throw a `MissingServletRequestParameterException` exception. One way to address this is to set the `required` attribute to `false`, as shown in Listing 2-8. The other option is to use the `defaultValue` attribute to specify a default value.

Listing 2-8. Making a request parameter not required

```

@RequestMapping("/search.html")
public String search(@RequestParam String query, @RequestParam(value="page", required=false)
int pageNumber) {
    model.put("currentDate", new Date());
    return "home";
}

```

@RequestMapping

As we learned in the “Controller” section, the `@RequestMapping` annotation is used to map a Web request to a handler class or handler method. `@RequestMapping` provides several attributes that can be used to narrow down these mappings. Table 2-2 shows the different elements along with their descriptions.

Table 2-2. RequestMapping Elements

Element Name	Description
Method	Restricts a mapping to a specific HTTP method such as GET, POST, HEAD, OPTIONS, PUT, PATCH, DELETE, TRACE
Produces	Narrows mapping to media type that is produced by the method
Consumes	Narrows mapping to media type that the method consumes
Headers	Narrows mapping to the headers that should be present
name	Allows you to assign a name to the mapping
params	Restricts a mapping to the supplied parameter name and value

The default HTTP method mapped by `@RequestMapping` is GET. This behavior can be changed using the `method` element shown in Listing 2-9. Spring invokes the `saveUser` method only when a POST operation is performed. A GET request on `saveUser` will result in an exception thrown. Spring provides a handy `RequestMethod` enumeration with the list of HTTP methods available.

Listing 2-9. POST method example

```
@RequestMapping(value="/saveuser.html", method=RequestMethod.POST)
public String saveUser(@RequestParam String username, @RequestParam String password) {
    // Save User logic
    return "success";
}
```

The `produces` element indicates the media type, such as JSON or XML or HTML, produced by the mapped method. The `produces` element can take a single media type or multiple media types as its value. Listing 2-10 shows the search method with the `produces` element added. The `MediaType.TEXT_HTML` value indicates that when a GET request is performed on `search.html`, the method returns an HTML response.

Listing 2-10. Produces element example

```
@RequestMapping(value="/search.html", method=RequestMethod.GET, produces="MediaType.
TEXT_HTML")
public String search(@RequestParam String query, @RequestParam(value="page", required=false)
int pageNumber) {
    model.put("currentDate", new Date());
    return "home";
}
```

It is possible for the client to perform a GET request on `/search.html` but send an `Accept` header with value `application/JSON`. In that scenario, Spring will not invoke the search method. Instead, it will return a 404 error. The `produces` element provides a convenient way to restrict mappings to content types that the controller can serve. In the same fashion, the `consumes` element is used to indicate the media type that the annotated method consumes.

ACCEPT AND CONTENT-TYPE HEADER

As discussed in Chapter 1, REST resources can have multiple representations. REST clients typically use the `Accept` and `Content-Type` headers to work with these representations.

REST clients use the `Accept` header to indicate the representations that they accept. The HTTP specification allows a client to send a prioritized list of different media types that it will accept as responses. On receiving the request, the server will send the representation with the highest priority. To understand this, consider the default `Accept` header for Firefox browser:

```
text/html,application/xhtml+xml,application/xml;q=0.9,*/*;q=0.8
```

The `q` parameter, also known as relative quality parameter, indicates the degree of preference and has values ranging from 0 to 1. From the string, we can infer that the HTML and XHTML will have a priority of 1 because they don't have an associated `q` value. The XML media type has priority 0.9 and the rest of the representations have a priority of 0.8. On receiving this request, the server would try to send a HTML/XHTML representation because it has the highest priority.

In a similar fashion, REST clients use the `Content-type` header to indicate the media type of the request being sent to the server. This allows the server to properly interpret the request and parse the contents correctly. If the server is unable to parse the content, it will send a 415 `Unsupported Media Type` error status code.

Spring Web MVC allows flexible signatures for methods annotated with `@RequestMapping`. This includes variable method parameters and method return types. Table 2-3 lists the important arguments allowed. For a detailed list of allowed arguments, refer to Spring's Javadocs at <http://docs.spring.io/spring/docs/current/javadoc-api/org/springframework/web/bind/annotation/RequestMapping.html>.

Table 2-3. *Method arguments and descriptions*

Method Argument	Description
<code>HttpServletRequest/HttpServletResponse</code>	HTTP Servlet request and response objects. Allows raw access to client's data, such as request parameters and headers.
<code>HttpSession</code>	Instance representing a user's HTTP session.
Command Object	A POJO or model object that Spring populates/binds with the user submitted data. The command object can be annotated with <code>@ModelAttribute</code> .
<code>BindingResult</code>	Instance representing a command object's validation and binding. This parameter must immediately precede the command object.
<code>HttpEntity<?></code>	Instance representing a HTTP request. Each <code>HttpEntity</code> is composed of request body and a set of headers.
Principal	A <code>java.security.Principal</code> instance that represents the authenticated user.

The different return types supported in methods annotated with `@RequestMapping` are shown in Table 2-4.

Table 2-4. *Return types and descriptions*

Return Type	Description
String	Represents the logical view name. Registered view resolvers are employed to resolve the physical view and a response is generated.
View	Instance representing a view. In this case, no view resolution is performed and the view object is responsible for generating the response. Examples include <code>JstlView</code> , <code>VelocityView</code> , <code>RedirectView</code> , and so on.
<code>HttpEntity<?></code>	Instance representing a HTTP response. Each <code>HttpEntity</code> is composed of response body and a set of headers.
<code>HttpHeaders</code>	Instance capturing the headers to be returned. Response will have an empty body.
Pojo	Java object that is considered to be a model attribute. A specialized <code>RequestToViewNameTranslator</code> is used to determine the appropriate logical view name.

Path Variables

The `@RequestMapping` annotation supports dynamic URIs via URI templates. As discussed in Chapter 1, URI templates are URIs with placeholders or variables. The `@PathVariable` annotation allows you to access and use these placeholders via method parameters. Listing 2-11 gives an example of `@PathVariable`. In this scenario, the `getUser` method is designed to serve user information associated with the path variable `{username}`. The client would perform a GET on the URL `/users/jdoe` to retrieve user information associated with username `jdoe`.

Listing 2-11. PathVariable example

```
@RequestMapping("/users/{username}")
public User getUser(@PathVariable("username") String username) {
    User user = null;
    // Code to construct user object using username
    return user;
}
```

View Resolver

As discussed in the previous sections, a Spring Web MVC controller can return an `org.springframework.web.servlet.View` instance or a logical view name. When a logical view name is returned, a `ViewResolver` is employed to resolve the view to a `View` implementation. If this process fails for some reason, a `javax.servlet.ServletException` is thrown. The `ViewResolver` interface has a single method and is shown in Listing 2-12.

Listing 2-12. ViewResolver Interface

```
public interface ViewResolver
{
    View resolveViewName(String viewName, Locale locale) throws Exception;
}
```

Table 2-5 lists some of the `ViewResolver` implementations provided by Spring Web MVC.

Table 2-5. *ViewResolver implementations and descriptions*

Return Type	Description
BeanNameViewResolver	ViewResolver implementation that looks for a bean with an id that matches the logical view name in the ApplicationContext. If it doesn't find the bean in the ApplicationContext, a null is returned.
InternalResourceViewResolver	ViewResolver that looks for an internal resource that has the logical view name. The location of the internal resource is typically computed by prefixing and suffixing the logical name with path and extension information.
ContentNegotiatingViewResolver	ViewResolver that delegates the view resolution to other view resolvers. The choice of the view resolver is based on the requested media type, which itself is determined using an Accept header or file extension or URL parameter.
TilesViewResolver	ViewResolver that looks for a template in the Tiles configuration that matches the logical view name.

As you might have noticed, the different view resolvers in Table 2-5 mimic the different types of views we looked at earlier. Listing 2-13 shows the code required for creating an `InternalViewResolver`.

Listing 2-13. `InternalViewResolver` example

```
@Bean
public ViewResolver viewResolver() {
    InternalResourceViewResolver viewResolver = new InternalResourceViewResolver();
    viewResolver.setPrefix("/WEB-INF/jsp/");
    viewResolver.setSuffix(".jsp");
    return viewResolver;
}
```

Exception Handler

Exceptions are part of any application and Spring provides the `HandlerExceptionResolver` mechanism for handling those unexpected exceptions. The `HandlerExceptionResolver` abstraction is similar to the `ViewResolver` and is used to resolve exceptions to error views. Listing 2-14 shows the `HandlerExceptionResolver` API.

Listing 2-14. `HandlerExceptionResolver` API

```
public interface HandlerExceptionResolver {
    ModelAndView resolveException(HttpServletRequest request, HttpServletResponse response,
    Object handler, Exception ex);
}
```

Spring provides several out-of-the-box implementations of `HandlerExceptionResolver`, as shown in Table 2-6.

Table 2-6. *HandlerExceptionResolver implementations and descriptions*

Resolver Implementation	Description
<code>org.springframework.web.servlet.handler.SimpleMappingExceptionResolver</code>	Exception resolver implementation that maps exception class names to view names.
<code>org.springframework.web.servlet.mvc.support.DefaultHandlerExceptionResolver</code>	Exception resolver implementation that translates standard Spring exceptions to HTTP status codes.
<code>org.springframework.web.servlet.mvc.annotation.ResponseStatusExceptionHandler</code>	Custom exceptions in Spring applications can be annotated with <code>@ResponseStatus</code> , which takes a HTTP status code as its value. This exception resolver translates the exceptions to its mapped HTTP status codes.
<code>org.springframework.web.servlet.mvc.method.annotation.ExceptionHandlerExceptionHandler</code>	Exception resolver implementation that resolves exceptions using annotated <code>@ExceptionHandler</code> methods.

The `SimpleMappingExceptionResolver` has been around for a really long time. Spring 3 introduced a new way of handling exceptions using the `@ExceptionHandler` strategy. This provides a mechanism for handling errors in REST-based services where there is really no view to show but, rather, return data. Listing 2-15 shows a controller with an exception handler. Any methods that now throw a `SQLException` in the `HomeController` will get handled in the `handleSQLException` method. The `handleSQLException` simply creates a `ResponseEntity` instance and returns it. However, additional operations such as logging, returning additional diagnostic data, and so on can be performed.

Listing 2-15. *ExceptionHandler example*

```
@Controller
public class HomeController {
    @ExceptionHandler(SQLException.class)
    public ResponseEntity handleSQLException() {
        ResponseEntity responseEntity = new ResponseEntity(HttpStatus.INTERNAL_SERVER_ERROR);
        return responseEntity;
    }

    @RequestMapping("/stream")
    public void streamMovie(HttpServletRequest response) throws SQLException {
    }
}
```

The `@ExceptionHandler` annotated methods can only handle exceptions that occur in the controller or its subclasses. So, if we need to handle SQL exceptions in other controllers, then we need to copy and paste the `handleSQLException` method in all of those controllers. This approach can pose severe limitations, as exception handling is truly a crosscutting concern and should be centralized.

To address this, Spring provides the `@ControllerAdvice` annotation. Methods in classes annotated with `@ControllerAdvice` get applied to all the `@RequestMapping` methods. Listing 2-16 shows the `GlobalExceptionHandler` with the `handleSQLException` method. As you can see, the `GlobalExceptionHandler` extends Spring's `ResponseEntityExceptionHandler`, which converts default Spring Web MVC exceptions to a `ResponseEntity` with HTTP status codes.

Listing 2-16. GlobalExceptionHandler example

```

@ControllerAdvice
public class GlobalExceptionHandler extends ResponseEntityExceptionHandler {

    @ExceptionHandler(SQLException.class)
    public ResponseEntity handleSQLException() {
ResponseEntity responseEntity = new ResponseEntity(HttpStatus.INTERNAL_SERVER_ERROR);
        return responseEntity;
    }
}

```

Interceptors

Spring Web MVC provides the notion of interceptors to implement concerns that crosscut across different handlers. Consider the scenario in which you want to prevent unauthenticated access to a set of controllers. An interceptor allows you to centralize this access logic without you having to copy and paste the code in every controller. As the name suggests, interceptors intercept a request; they do so at the following three points:

- Before the controller gets executed. This allows the interceptor to decide if it needs to continue the execution chain or return with an exception or custom response.
- After the controller gets executed but before the response is sent out. This allows the interceptor to provide any additional model objects to the view.
- After the response is sent out allowing any resource cleanup.

■ **Note** Spring Web MVC interceptors are similar to HTTP servlet filters. Both can be used to intercept a request and execute common concerns. However, there are a few differences between them that are worth noting. Filters have the capability to wrap or even swap the `HttpServletRequest` and `HttpServletResponse` objects. Interceptors can't decorate or swap those objects. Interceptors are Spring-managed beans, and we can easily inject other spring beans in them. Filters are container-managed instances; they don't provide a straightforward mechanism for injecting Spring-managed beans.

Spring Web MVC provides the `HandlerInterceptor` interface for implementing interceptors. Listing 2-17 gives the `HandlerInterceptor` interface. As you can see, the three methods correspond to the three interceptor features that we just discussed.

Listing 2-17. HandlerInterceptor API

```

public interface HandlerInterceptor{
    void afterCompletion(HttpServletRequest request, HttpServletResponse response, Object
handler, Exception ex);
    void postHandle(HttpServletRequest request, HttpServletResponse response, Object
handler, ModelAndView modelAndView);
    boolean preHandle(HttpServletRequest request, HttpServletResponse response, Object
handler);
}

```

Listing 2-18 gives a simple interceptor implementation. As you can see, the `SimpleInterceptor` class extends `HandlerInterceptorAdapter`. The `HandlerInterceptorAdapter` is a convenient abstract class that implements the `HandlerInterceptor` interface and provides default implementations of its methods.

Listing 2-18. Spring Web MVC Interceptor example

```
public class SimpleInterceptor extends HandlerInterceptorAdapter {
    private static final Logger logger = Logger.getLogger(SimpleInterceptor.class);

    public boolean preHandle(HttpServletRequest request, HttpServletResponse response,
        Object handler) throws Exception {
        logger.info("Inside the prehandle");

        return false;
    }
}
```

Interceptors can be registered in a Spring Web application using the `InterceptorRegistry` strategy. When using Java Configuration, this is typically achieved by creating a configuration class that extends `WebMvcConfigurerAdapter`. Spring Web MVC's `WebMvcConfigurerAdapter` class provides the `addInterceptors` method that can be used to access the `InterceptorRegistry`. Listing 2-19 shows the code registering two interceptors: `LocalInterceptor` that comes out of the box with Spring and our `SimpleInterceptor`.

Listing 2-19. Example registering interceptors

```
@Configuration
@EnableWebMvc
@ComponentScan(basePackages = { "com.apress.springrest.web" })
public class WebConfig extends WebMvcConfigurerAdapter {

    @Override
    public void addInterceptors(InterceptorRegistry registry) {
        registry.addInterceptor(new LocaleChangeInterceptor());
        registry.addInterceptor(new SimpleInterceptor()).addPathPatterns("/auth/**");
    }
}
```

When an interceptor is added to the interceptor registry, the interceptor gets applied to all of the handler mappings. So, the `LocaleChangeInterceptor` in Listing 2-19 gets applied to all the handler mappings. However, it is also possible to restrict the interceptor to certain URLs. This is demonstrated in Listing 2-19 using the `addPathPatterns` method. Here we are indicating that the `SimpleInterceptor` should be applied to only the URLs that are under the `auth` path.

Summary

In this chapter, we have looked at the basics of the Spring Framework and different components of a Spring Web MVC. In the next chapter, we will bring things together and look at building our first RESTful application using Spring Boot.

CHAPTER 3



RESTful Spring

In this chapter, we will discuss:

- The basics of Spring Boot
- Building a Hello World REST application
- Tools for accessing REST applications

One of the Spring Framework's goals is to reduce plumbing code so that developers can focus their efforts on implementing core business logic. However, as the Spring Framework evolved and added several subprojects to its portfolio, developers ended up spending a considerable amount of time setting up projects, finding project dependencies, and writing boiler plate code and configuration.

Spring Boot, a Spring portfolio project aims at simplifying Spring application bootstrapping by providing a set of starter project templates. These would pull all the proper dependencies that are needed based on project capabilities. For example, if you enable JPA capability, it automatically includes all the dependent JPA, Hibernate, and Spring JAR files.

Spring Boot also takes an opinionated approach and provides default configuration that simplifies application development quite a bit. For example, if Spring Boot finds JPA and MySQL JARs in the classpath, it would automatically configure a JPA Persistence Unit. It also enables creation of standalone Spring applications with embedded Jetty/Tomcat servers, making them easy to deploy on any machine with just Java installed. Additionally, it provides production-ready features such as metrics and health checks. Throughout this book, we will be exploring and learning these and additional features of Spring Boot.

■ **Note** Spring Roo is another Spring portfolio project that attempts to provide rapid Spring application development. It provides a command-line tool that enables easy project bootstrapping and generates code for components such as JPA entities, Web controllers, test scripts, and necessary configuration. Although there was a lot of initial interest in the project, Spring Roo never really became mainstream. AspectJ Code generation and a steep learning curve coupled with its attempt to take over your project are some reasons for lack of its adoption. Spring Boot, by contrast, takes a different approach; it focuses on jump starting the project and providing clever, sensible, default configuration. It doesn't generate any code and can easily be removed.

Generating a Spring Boot Project

It is possible to create a Spring Boot project from scratch. However, Spring Boot provides the following options to generate a new project:

- Use Spring Boot's starter website (<http://start.spring.io>)
- Use the Spring Tool Suite (STS) IDE
- Use the Boot command line interface (CLI)

We will explore all three alternatives in this chapter. However, for the rest of the book we will be opting for the Boot CLI to generate new projects. Before we start with project generation, it is important that Java is installed on your machine. Spring Boot requires that you have Java SDK 1.6 or higher installed. In this book we will be using Java 1.7.

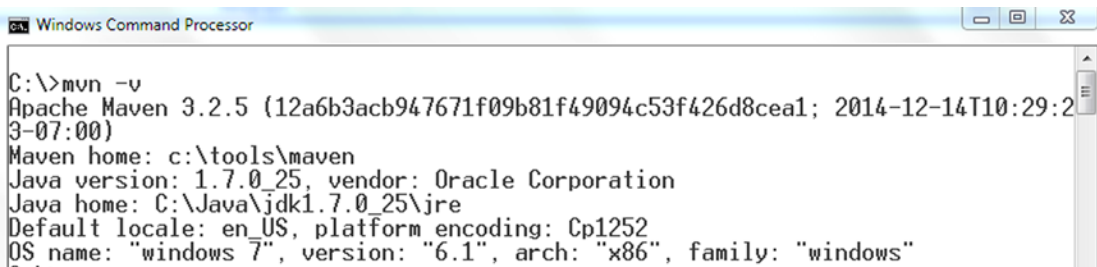
Installing a Build Tool

Spring Boot supports the two most popular build systems: Maven and Gradle. In this book we will be using Maven as our build tool. Spring Boot requires Maven version 3.2 or higher. The steps to download and configure Maven on your Windows machine are given here. Similar instructions for Mac and other operating systems can be found on Maven's download page (<http://maven.apache.com/download.cgi>):

1. Download the latest Maven binary from <http://maven.apache.org/download.cgi>. At the time of writing this book, the current version of Maven was 3.2.5. For Windows, download the `apache-maven-3.2.5-bin.zip` file.
2. Unzip the contents of the zip file under `C:\tools\maven`.
3. Add an Environment variable `M2_HOME` with value `C:\tools\maven\apache-maven-3.2.5-bin\apache-maven-3.2.5`. This tells Maven and other tools where Maven is installed. Also make sure that the `JAVA_HOME` variable is pointing to the installed JDK.
4. Append the value `%M2_HOME%\bin` to the Path environment variable. This allows you to run Maven commands from the command line.
5. Open a new command line and type the following:

```
mvn -v
```

You should see an output similar to Figure 3-1, indicating that Maven was successfully installed.



```

C:\>mvn -v
Apache Maven 3.2.5 (12a6b3acb947671f09b81f49094c53f426d8cea1; 2014-12-14T10:29:23-07:00)
Maven home: c:\tools\maven
Java version: 1.7.0_25, vendor: Oracle Corporation
Java home: C:\Java\jdk1.7.0_25\jre
Default locale: en_US, platform encoding: Cp1252
OS name: "windows 7", version: "6.1", arch: "x86", family: "windows"

```

Figure 3-1. Maven installation verification

■ **Note** To learn more about Maven, refer to *Introducing Maven*, published by Apress (<http://www.apress.com/9781484208427>).

Generating a Project using start.spring.io

Spring Boot hosts an Initializr application at <http://start.spring.io>. The Initializr provides a Web interface that allows you to enter project information, pick the capabilities needed for your project, and voilà—it generates the project as a zip file. Follow these steps to generate our Hello World REST application:

1. Launch the <http://start.spring.io> website in your browser and enter the information shown in Figure 3-2.

The screenshot shows a web browser window titled "Spring Initializr" with the address bar displaying "start.spring.io". The page is divided into two main sections: "Project metadata" and "Project dependencies".

Project metadata

- Group:** com.apress
- Artifact:** hello-rest
- Name:** Hello World REST
- Description:** Hello World REST Application Using Spring Boot
- Package Name:** com.apress.hellorest
- Type:** Maven Project
- Packaging:** Jar
- Java Version:** 1.7
- Language:** (empty field)

Project dependencies

- Core:**
 - Security
 - AOP
- Data:**
 - JDBC
 - JPA
 - MongoDB
 - Redis
 - Gemfire
 - Solr
 - Elasticsearch
- I/O:**
 - Batch
 - Integration
 - JMS
 - AMQP
- Web:**
 - Web
 - WebSocket
 - WS
 - Rest Repositories
 - Mobile
- Template Engines:**
 - Freemarker
 - Velocity
 - Groovy Templates
 - Thymeleaf
- Social:**
 - Facebook
 - LinkedIn
 - Twitter
- Ops:**
 - Actuator

Figure 3-2. start.spring.io website

2. Under Project dependencies ► Web, select the option “Web” and indicate that you would like Spring Boot to include Web project infrastructure and dependencies.
3. Then hit the “Generate Project” button. This will begin the `hello-rest.zip` file download.

On completion of the download, extract the contents of the zip file. You will see the `hello-rest` folder generated. Figure 3-3 shows the contents of the generated folder.

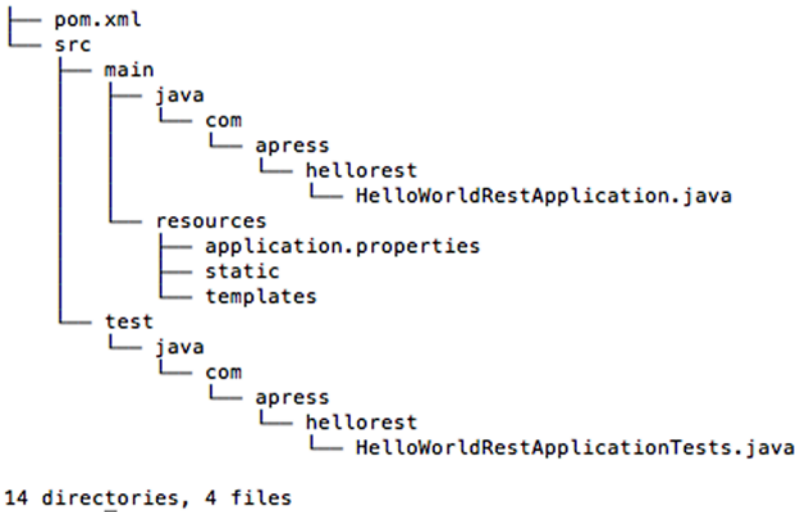


Figure 3-3. *hello-rest application contents*

A quick look at the `hello-rest` contents shows that we have a standard Maven-based Java project layout. We have the `src/main/java` folder, which houses Java source code; `src/main/resources`, which contains property files; static content, such as HTML\CSS\JS files; and the `src/test/java` folder, which contains the test cases. On running a Maven build, this project generates a JAR artifact. Now, this might be little confusing for the first-timer who is used to WAR artifacts for deploying Web applications. By default, Spring Boot creates standalone applications in which everything gets packaged into a JAR file. These applications will have embedded servlet containers such as Tomcat and are executed using a good old `main()` method.

■ **Note** Spring Boot also allows you to work with WAR artifacts that can be deployed to external Web and application containers.

Listing 3-1 gives the contents of the hello-rest application's pom.xml file.

Listing 3-1. hello-rest pom.xml file contents

```
<?xml version="1.0" encoding="UTF-8"?>
<project xmlns="http://maven.apache.org/POM/4.0.0" xmlns:xsi="http://www.w3.org/2001/
XMLSchema-instance"
  xsi:schemaLocation="http://maven.apache.org/POM/4.0.0 http://maven.apache.org/xsd/
maven-4.0.0.xsd">
  <modelVersion>4.0.0</modelVersion>
  <groupId>com.apress</groupId>
  <artifactId>hello-rest</artifactId>
  <version>0.0.1-SNAPSHOT</version>
  <packaging>jar</packaging>
  <name>Hello World REST</name>
  <description>Hello World REST Application Using Spring Boot</description>

  <parent>
    <groupId>org.springframework.boot</groupId>
    <artifactId>spring-boot-starter-parent</artifactId>
    <version>1.2.1.RELEASE</version>
    <relativePath/> <!-- lookup parent from repository -->
  </parent>

  <properties>
    <project.build.sourceEncoding>UTF-8</project.build.sourceEncoding>
    <start-class>com.apress.hellorest.HelloWorldRestApplication</start-class>
    <java.version>1.7</java.version>
  </properties>

  <dependencies>
    <dependency>
      <groupId>org.springframework.boot</groupId>
      <artifactId>spring-boot-starter-web</artifactId>
    </dependency>
    <dependency>
      <groupId>org.springframework.boot</groupId>
      <artifactId>spring-boot-starter-test</artifactId>
      <scope>test</scope>
    </dependency>
  </dependencies>
  <build>
    <plugins>
      <plugin>
        <groupId>org.springframework.boot</groupId>
        <artifactId>spring-boot-maven-plugin</artifactId>
      </plugin>
    </plugins>
  </build>
</project>
```


The `groupId`, `artifactId`, and `version` elements in the `pom.xml` file correspond to Maven's standard GAV coordinates describing our project. The `parent` tag indicates that we will be inheriting from the `spring-boot-starter-parent` POM. This ensures that our project inherits Spring Boot's default dependencies and versions. The `dependencies` element lists two POM file dependencies: `spring-boot-starter-web` and `spring-boot-starter-test`. Spring Boot uses the term **starter POMs** to describe such POM files.

These starter POMs are used to pull other dependencies and don't actually contain any code of their own. For example, the `spring-boot-starter-web` pulls Spring MVC dependencies, Tomcat-embedded container dependencies, and a Jackson dependency for JSON processing. These starter modules play an important role in providing needed dependencies and simplifying the application's POM file to just a few lines. Table 3-1 lists some of the commonly used starter modules.

Table 3-1. *Spring Boot Starter Modules*

Starter POM Dependency	Use
<code>spring-boot-starter</code>	Starter that brings in core dependencies necessary for functions such as auto-configuration support and logging
<code>spring-boot-starter-aop</code>	Starter that brings in support for aspect-oriented programming and AspectJ
<code>spring-boot-starter-test</code>	Starter that brings in dependencies such as JUnit, Mockito, and <code>spring-test</code> necessary for testing
<code>spring-boot-starter-web</code>	Starter that brings in MVC dependencies (<code>spring-webmvc</code>) and embedded servlet container support
<code>spring-boot-starter-data-jpa</code>	Starter that adds Java Persistence API support by bringing in <code>spring-data-jpa</code> , <code>spring-orm</code> and Hibernate dependencies
<code>spring-boot-starter-data-rest</code>	Starter that brings in <code>spring-data-rest-webmvc</code> to expose repositories as REST API
<code>spring-boot-starter-hateoas</code>	Starter that brings in <code>spring-hateoas</code> dependencies for HATEOAS REST services
<code>spring-boot-starter-jdbc</code>	Starter for supporting JDBC databases

Finally, the `spring-boot-maven-plugin` contains goals for packaging the application as an executable JAR/WAR and running it.

The `HelloWorldRestApplication.java` class serves as the main class for our application and contains the `main()` method. Listing 3-2 shows the contents of the `HelloWorldRestApplication.java` class. The `@SpringBootApplication` annotation is a convenient annotation and is equivalent to declaring the following three annotations:

- `@Configuration`—Marks the annotated class as containing one or more Spring bean declarations. Spring processes these classes to create bean definitions and instances.
- `@ComponentScan`—This class tells Spring to scan and look for classes annotated with `@Configuration`, `@Service`, `@Repository`, and so on. By default, Spring scans all the classes in the package where the `@ComponentScan` annotated class resides.
- `@EnableAutoConfiguration`—Enables Spring Boot's auto-configuration behavior. Based on the dependencies and configuration found in the classpath, Spring Boot intelligently guesses and creates bean configurations.

Typical Spring Boot applications always use these three annotations. In addition to providing a nice alternative in those scenarios, the `@SpringBootApplication` annotation correctly denotes the class's intent.

Listing 3-2. HelloWorldRestApplication contents

```
package com.apress.hellorest;

import org.springframework.boot.SpringApplication;
import org.springframework.boot.autoconfigure.SpringBootApplication;

@SpringBootApplication
public class HelloWorldRestApplication {

    public static void main(String[] args) {
        SpringApplication.run(HelloWorldRestApplication.class, args);
    }
}
```

The `main()` method simply delegates the application bootstrapping to `SpringApplication`'s `run()` method. `run()` takes a `HelloWorldRestApplication.class` as its argument and instructs Spring to read annotation metadata from `HelloWorldRestApplication` and populate `ApplicationContext` from it.

Now that we have looked at the generated project, let's create a REST endpoint that simply returns "Hello REST". Ideally, we would create this endpoint in a separate controller Java class. However, to keep things simple, we will create the endpoint in `HelloWorldRestApplication`, as shown in Listing 3-3. We start by adding the `@RestController`, indicating that `HelloWorldRestApplication` has possible REST endpoints. We then create the `helloGreeting()` method, which simply returns the greeting "Hello REST". Finally, we use the `RequestMapping` annotation to map Web requests for "/greet" path to `helloGreeting()` handler method.

Listing 3-3. Hello REST Endpoint

```
package com.apress.hellorest;

import org.springframework.boot.SpringApplication;
import org.springframework.boot.autoconfigure.SpringBootApplication;
import org.springframework.web.bind.annotation.RestController;
import org.springframework.web.bind.annotation.RequestMapping;

@SpringBootApplication
@RestController
public class HelloWorldRestApplication {

    public static void main(String[] args) {
        SpringApplication.run(HelloWorldRestApplication.class, args);
    }

    @RequestMapping("/greet")
    public String helloGreeting() {
        return "Hello REST";
    }
}
```

The next step is to launch and run our application. To do this, open a command line, navigate to the `hello-rest` folder, and run the following command:

```
mvn spring-boot:run
```

You will see Maven downloading the necessary plugins and dependencies, and then it will launch the application, as shown here:

```

 .
 / \  /  \  /  \
(  ) \  \  /  \  /  \
 \  \  /  \  /  \  /  \
  '  |__| .  |__| |__| |__| , // // //
=====|_|=====|_|// // //
:: Spring Boot ::           (v1.2.1.RELEASE)

2015-01-25 22:13:19.094 INFO 1468 --- [lication.main()]
ationConfigEmbeddedWebApplicationContext : Refreshing org.springframework.boot.context.
embedded.AnnotationConfigEmbeddedWebApplicationContext@36d8bb0f7: startup date [Sun Jan 25
22:13:19 MST 2015]; root of context hierarchy
2015-01-25 22:13:20.315 INFO 1468 --- [lication.main()] s.b.c.e.t.TomcatEmbeddedServletCont
ainer : Tomcat initialized with port(s): 8080 (http)
2015-01-25 22:13:20.672 INFO 1468 --- [lication.main()] o.apache.catalina.core.
StandardService : Starting service Tomcat
2015-01-25 22:13:20.673 INFO 1468 --- [lication.main()] org.apache.catalina.core.
StandardEngine : Starting Servlet Engine: Apache Tomcat/8.0.15
2015-01-25 22:13:20.753 INFO 1468 --- [ost-startStop-1] o.a.c.c.C.[Tomcat].[localhost].[/]
: Initializing Spring embedded WebApplicationContext
2015-01-25 22:13:20.754 INFO 1468 --- [ost-startStop-1] o.s.web.context.ContextLoader
: Root WebApplicationContext: initialization completed in 1660 ms
2015-01-25 22:13:21.895 INFO 1468 --- [lication.main()] c.a.hellorest.
HelloWorldRestApplication : Started HelloWorldRestApplication in 3.081 seconds
(JVM running for 11.783)

```

To test our running application, launch a browser and navigate to `http://localhost:8080/greet`. Notice that Spring Boot launches the application as the `ROOT` context and not the `hello-world` context. You should see a screen similar to that in Figure 3-4.

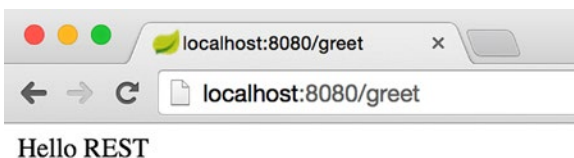


Figure 3-4. Hello REST greeting

SPRING INITIALIZR

The Spring Initializr application hosted at <http://start.spring.io> itself is built using Spring Boot. You can find the source code of this application on GitHub at <https://github.com/spring-io/initializr>. It is also possible for you to build and host your own instances of the Initializr application.

In addition to providing a Web interface, the Initializr provides a HTTP endpoint that provides similar project generation capability. In fact, Spring Boot's CLI and IDEs such as STS use this HTTP endpoint behind the scenes for generating projects.

The HTTP endpoint can also be invoked from the command line using curl. For example, the following command would generate the `hello-rest` project zip file using curl. The `-d` options are used to provide data that gets passed as request parameters:

```
curl https://start.spring.io/starter.zip -d style=web -d name=hello-rest
```

Generating a Project using STS

Spring Tool Suite or STS is a free Eclipse-based development environment that provides great tooling support for developing Spring-based applications. You can download and install the latest version of STS from Pivotal's website at: <https://spring.io/tools/sts/all>. At the time of writing this book, the current version of STS was 3.6.3.

STS provides a user interface similar to Initializr's web interface for generating Boot starter projects. Here are the steps for generating a Spring Boot project:

1. Launch STS if you haven't already done so. Go to File ► New and click on Spring Starter Project, as shown in Figure 3-5.

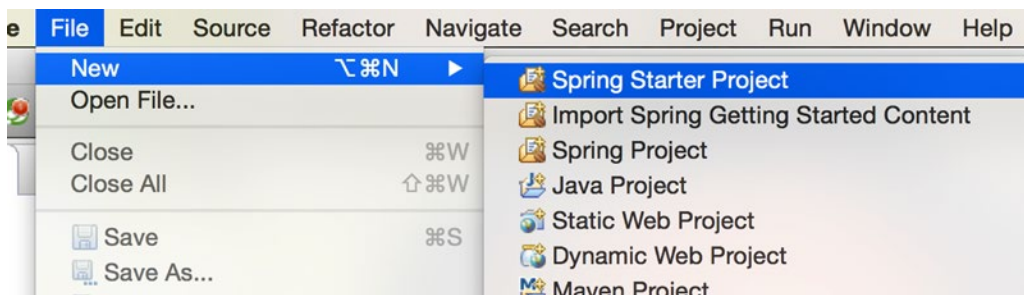


Figure 3-5. STS Spring starter project

2. In following screen, enter the information as shown in Figure 3-6. In addition to entering Maven's GAV information, select the Web starter option. Hit Next.

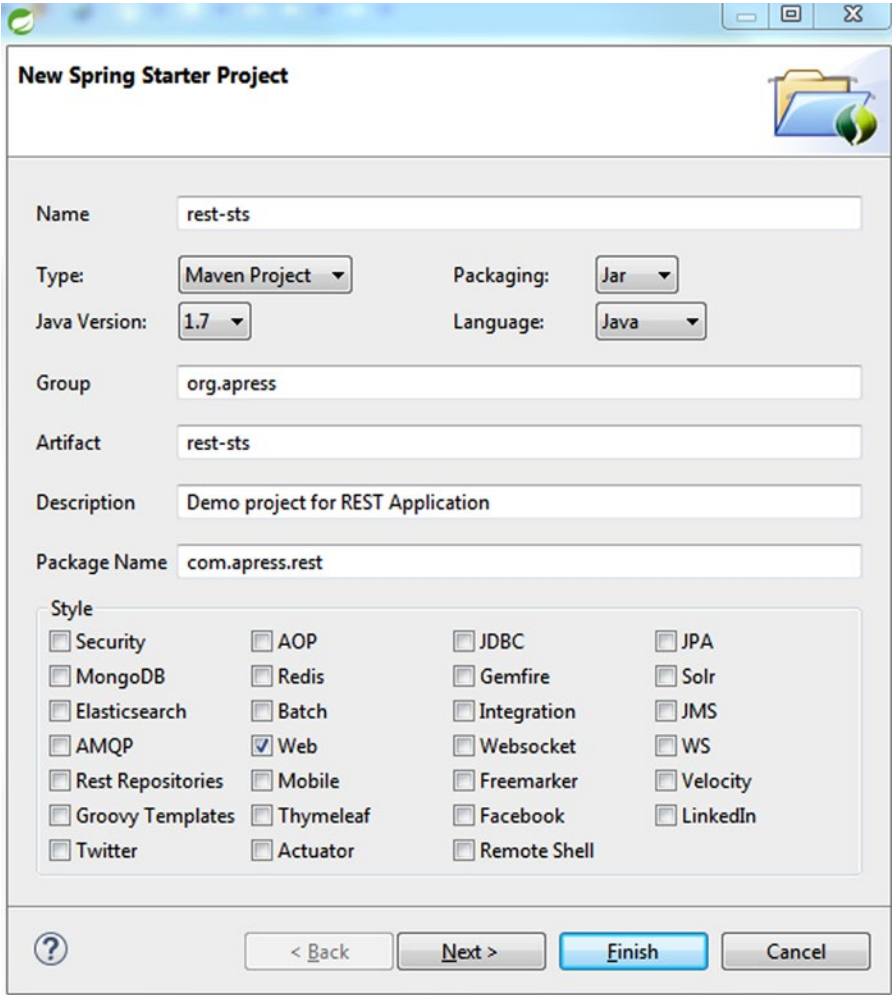


Figure 3-6. Starter project options

3. On the following screen, change the location where you would like to store the project. The “Full Url” area shows the HTTP REST endpoint along with the options that you selected (see Figure 3-7).

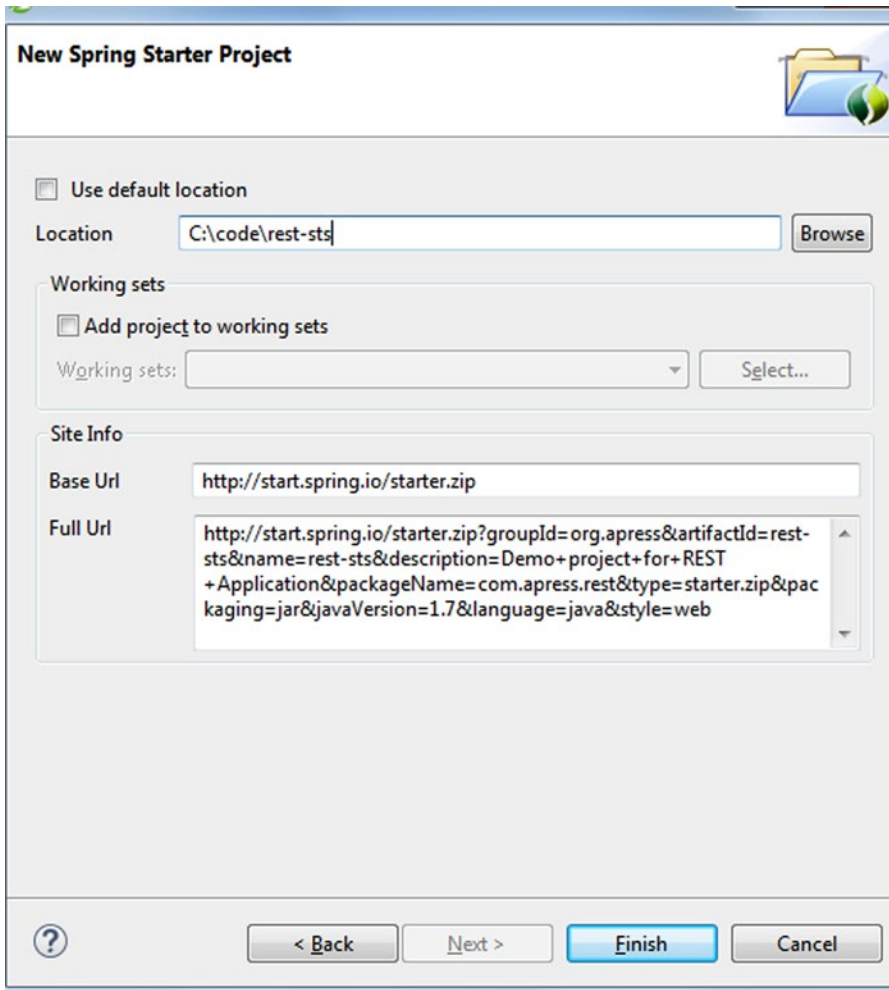


Figure 3-7. Starter project location

4. Hit the Finish button and you will see the new project created in STS. The contents of the project are similar to the project that we created earlier (see Figure 3-8).

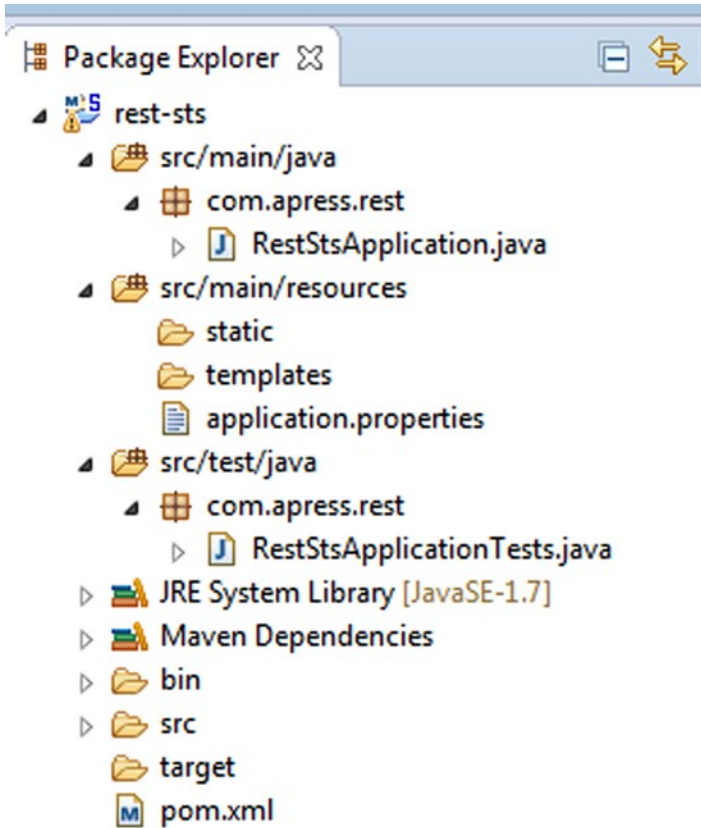


Figure 3-8. STS Spring starter project resources

STS's starter project wizard provides a convenient way to generate new Spring Boot projects. The newly created project automatically gets imported into the IDE and is immediately available for development.

Generating a Project Using the CLI

Spring Boot provides a command line interface (CLI) for generating projects, prototyping, and running Groovy scripts. Before we can start using the CLI, we need to install it. Here are the steps for installing the Boot CLI on a Windows machine:

1. Download the latest version of the CLI ZIP distribution from Spring's website at <http://repo.spring.io/release/org/springframework/boot/spring-boot-cli>. At the time of writing this book, the current version of CLI was 1.2.1. This version can be downloaded directly from <http://repo.spring.io/release/org/springframework/boot/spring-boot-cli/1.2.1.RELEASE/spring-boot-cli-1.2.1.RELEASE-bin.zip>.
2. Extract the zip file and place its contents (folders such as `bin` and `lib`) under `C:\tools\springbootcli`, as shown in Figure 3-9.

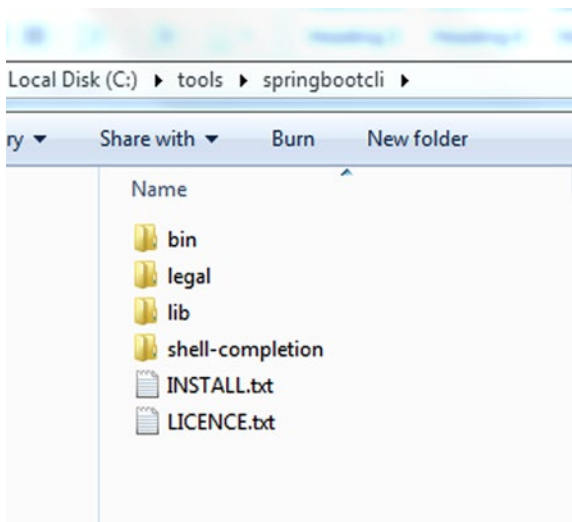


Figure 3-9. Spring Boot CLI contents

3. Add a new environment variable `SPRING_HOME` with value `c:\tools\springbootcli`.
4. Edit the Path environment variable and add the `%SPRING_HOME%/bin` value to its end.
5. Open a new command line and verify the installation running the following command:

```
spring --version
```


You should see an output similar to that shown in Figure 3-10.

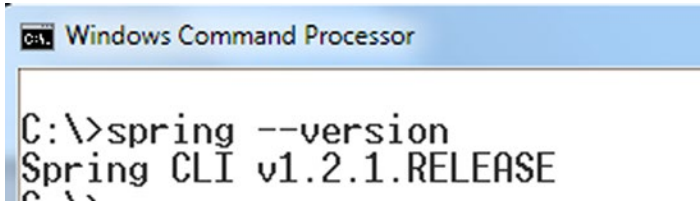


Figure 3-10. Spring Boot CLI installation

Now that we have the Boot CLI installed, generating a new project simply involves running the following command at the command line:

```
spring init --dependencies web rest-cli
```

The command creates a new `rest-cli` project with Web capability. The output of running the command is shown in Listing 3-4.

Listing 3-4. Boot CLI Output

```
C:\test>spring init --dependencies web rest-cli
Using service at https://start.spring.io
Project extracted to 'C:\test\rest-cli'
```

Accessing REST Applications

There are several free and commercial tools that allow you to access and experiment with REST API/applications. In this section we will look at some of the popular tools that allow you to quickly test a request and inspect the response.

Postman

Postman is a Chrome browser extension for making HTTP requests. It offers a plethora of features that makes it easy to develop, test, and document a REST API. A Chrome app version of Postman is also available that provides additional features such as bulk uploading that are not available in the browser extension.

Postman can be downloaded and installed from the Chrome Web Store. To install Postman, simply launch the Chrome browser and navigate to <https://chrome.google.com/webstore/detail/postman-rest-client/fdmmgilgnpjigdojojpjoooidkmcomcm>. You might be asked to log in to your Google Chrome account and confirm using the “New app” installation dialog. On completion of the installation, you should be able to locate and launch Postman using the “Apps icon” in the Bookmarks bar or by typing `chrome://apps/shortcut`. Figure 3-10 shows Postman launched in the Chrome browser.

Postman provides a clean intuitive user interface for composing an HTTP request, sending it to a server, and viewing the HTTP response. It also automatically saves the requests, which are readily available for future runs. Figure 3-11 shows a HTTP GET request made to our Greet service and its response. You can also see the request saved in the History section of the left sidebar.

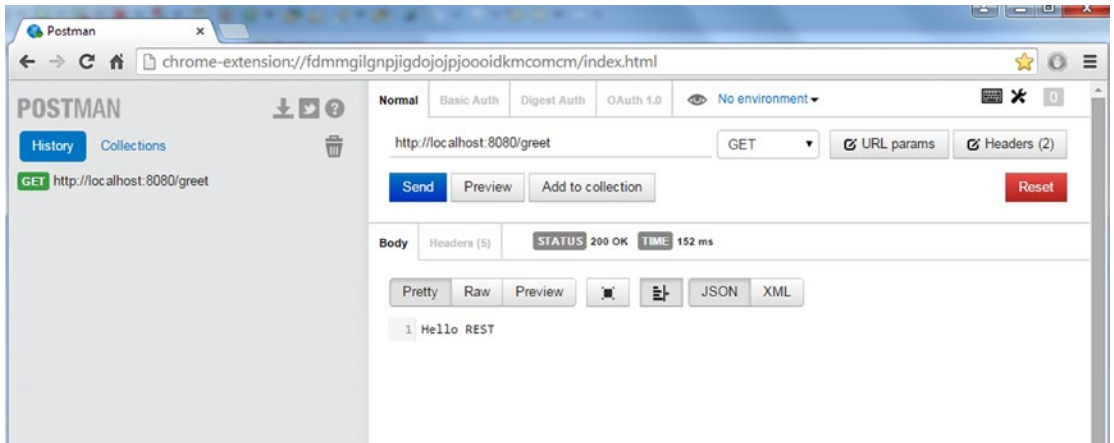


Figure 3-11. Postman browser extension

Postman makes it easy to logically group related API calls into **collections**, as shown in Figure 3-12. It is possible to have subcollections of requests under a collection.

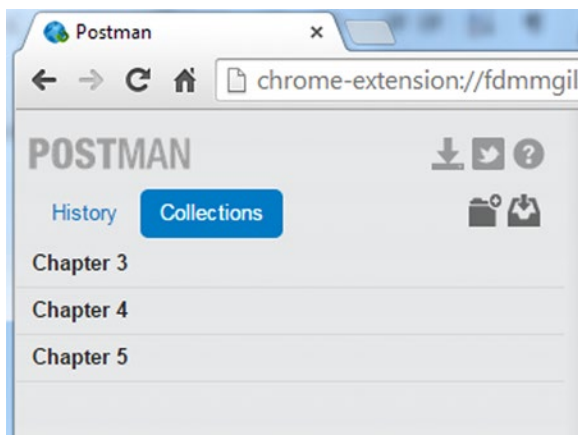



Figure 3-12. Postman collections

RESTClient

RESTClient is a Firefox extension for accessing REST APIs and applications. Unlike Postman, RESTClient doesn't have a lot of bells and whistles, but it provides basic functionality to quickly test a REST API. To install RESTClient, launch the Firefox browser and navigate to the URL <https://addons.mozilla.org/en-US/firefox/addon/restclient/>. Then click the “+ Add to Firefox” button and in the following “Software Installation” dialog click the “Install Now” button.

On completion of the installation, you can launch RESTClient using the RESTClient icon  on the top right corner of the browser. Figure 3-13 shows the RESTClient application with a request to our Greet service and the corresponding response.

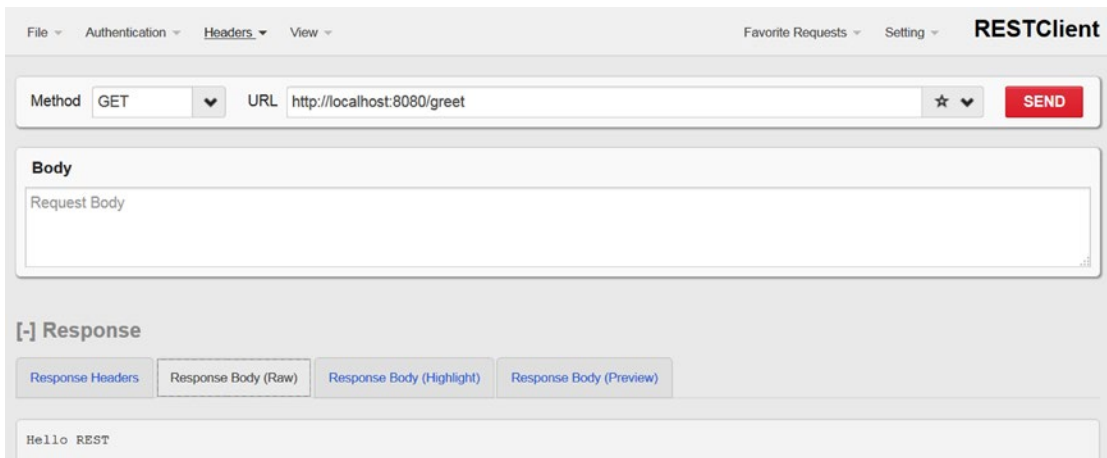


Figure 3-13. RESTClient

Summary

Spring Boot provides an opinionated approach to building Spring-based applications. In this chapter, we looked at Spring Boot's features and used it to build a Hello World REST application. We also looked at the Postman and RESTClient tools for testing and exploring the REST API.

In the next chapter, we will begin work on a more complex REST application and discuss the process of identifying and designing resources.

CHAPTER 4



Beginning QuickPoll Application

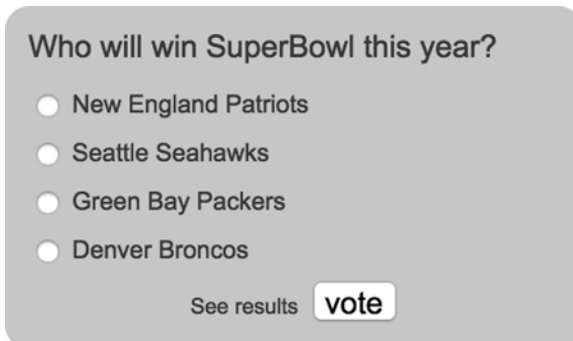
In this chapter we will discuss:

- Analyzing the requirements for QuickPoll
- Identifying QuickPoll resources
- Designing representations
- Implementing QuickPoll

Up to this point, we have looked at the fundamentals of REST and reviewed our technology choice of implementation—Spring MVC. Now it's time to develop a more complex application. In this chapter, we will introduce you to the beginnings of an application that we will be working on throughout this book. We will call it QuickPoll. We will go through the process of analyzing the requirements, identifying resources, designing their representation, and, finally, provide an implementation to a subset of features. In upcoming chapters, we will continue our design and implementation by adding new features, documentation, security, and versioning.

Introducing QuickPoll

Polls have become a popular option for soliciting views and opinions from the community on many websites these days. There are a couple of variations between online polls, but a poll typically has a question and a list of answers, as shown in Figure 4-1.



Who will win SuperBowl this year?

- New England Patriots
- Seattle Seahawks
- Green Bay Packers
- Denver Broncos

See results

Figure 4-1. Web poll example

Participants vote and communicate their opinion by selecting one or more responses. Many polls also allow participants to view the poll results, as shown in Figure 4-2.

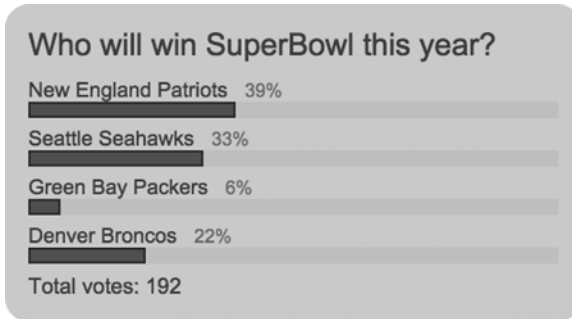


Figure 4-2. Web poll results

Imagine being part of QuickPoll Inc., a budding Software as a Service, or SaaS, provider that allows users to create, manipulate, and vote on polls. We plan to launch our services to a small audience, but we intend to become a global enterprise. In addition to the Web, QuickPoll would also like to target native iOS and Android platforms. To achieve these lofty goals, we have chosen to implement our application using REST principles and Web technologies.

We begin the development process by analyzing and understanding requirements. Our QuickPoll application has the following requirements:

- Users interact with QuickPoll services to create new polls
- Each poll contains a set of options that are provided during poll creation
- Options inside a poll can be updated at a later point
- To keep things simple, QuickPoll restricts voting on a single option
- Participants can cast any number of votes
- Results of a poll can be viewed by anyone

We have started with a simple set of requirements for QuickPoll. As with any other application, these requirements will evolve and change. We will address those changes in upcoming chapters.

Designing QuickPoll

As discussed in Chapter 1, designing a RESTful application typically involves the following steps:

1. Resource Identification
2. Resource Representation
3. Endpoint Identification
4. Verb/Action Identification

Resource Identification

We begin the resource identification process by analyzing requirements and extracting *nouns*. At a high level, the QuickPoll application has *users* that create and interact with *polls*. From the previous statement, you can identify User and Poll as nouns and classify them as resources. Similarly, users can vote on polls and view the voting results, making Vote another resource. This resource modeling process is similar to database modeling in that it is used to identify entities or object-oriented design that identifies domain objects.

It is important to remember that all nouns identified need not be exposed as resources. For example, a poll contains several options, making Option another candidate for resource. Making Poll Option a resource would require a client to make two GET requests. The first request will obtain a Poll representation; the second request will obtain an associated Options representation. However, this approach makes the API chatty and might overload servers. An alternative approach is to include the options inside a Poll representation, thereby hiding Option as a resource. This would make Poll a coarse-grained resource, but clients would get poll-related data in one call. Additionally, the second approach can enforce business rules such as requiring at least two options for a poll to be created.

This *noun* approach allows us to identify collection resources. Now, consider the scenario in which you want to retrieve all of the votes for a given poll. To handle this, you need a “votes” collection resource. You can perform a GET request and obtain the entire collection. Similarly, we need a “polls” collection resource, which allows us to query groups of polls and create new ones.

Finally, we need to address the scenario in which we count all of the votes for a poll and return the computed results to the client. This involves looping through all the votes for a poll, grouping those votes based on options, and then counting them. Such processing operations are typically implemented using a “controller” resource, which we introduced in Chapter 1. In this case, we model a **ComputeResult** resource, which performs this counting operation. Table 4-1 shows the identified resources and their collection resource counterparts.

Table 4-1. Resources for QuickPoll application

Resource	Description
User	Singleton User Resource
Users	Collection User Resource
Poll	Singleton Poll Resource
Polls	Collection Poll Resource
Vote	Singleton Vote Resource
Votes	Collection Vote Resource
ComputeResult	Count Processing Resource

Resource Representation

The next step in the REST API design process is defining resource representations and representation formats. REST APIs typically support multiple formats such as HTML, JSON, and XML. The choice of the format largely depends on the API audience. For example, a REST service that is internal to the company might only support JSON format, whereas a public REST API might speak XML and JSON formats. In this chapter and in the rest of the book, JSON will be the preferred format for our operations.

JSON FORMAT

The JavaScript Object Notation, or JSON, is a lightweight format for exchanging information. Information in JSON is organized around two structures: objects and arrays.

A JSON object is a collection of name/value pairs. Each name/value pair consists of a field name in double quotes followed by a colon (:), followed by a field value. JSON supports several types of values such as Boolean (true or false), number (int or float), String, null, arrays, and object. Examples of name/value pairs include:

```
"country" : "US"
"age" : 31
"isRequired" : true
"email" : null
```

JSON objects are surrounded by curly braces ({}), and each name/value pair is separated using a comma (,). Here is an example of a person JSON object:

```
{ "firstName": "John", "lastName": "Doe", "age" : 26, "active" : true }
```

The other JSON structure, an array, is an ordered collection of values. Each array is surrounded by square brackets ([]), with values separated by a comma. Here is an example of an array of locations:

```
[ "Salt Lake City", "New York", "Las Vegas", "Dallas" ]
```

JSON arrays can also contain objects as their values:

```
[
  { "firstName": "Jojn", "lastName": "Doe", "age": 26, "active": true },
  { "firstName": "Jane", "lastName": "Doe", "age": 22, "active": true },
  { "firstName": "Jonnie", "lastName": "Doe", "age": 30, "active": false }
]
```

Resources are made up of set of attributes that can be identified using process similar to Object Oriented design. A Poll resource, for example, has a question attribute, containing a Poll question, and an id attribute, which uniquely identifies the Poll. It also contains a set of options; each option is made up of a value and an id. Listing 4-1 shows a representation of a Poll with sample data.

Listing 4-1. Poll representation

```
{
  "id": 2,
  "question": "How will win SuperBowl this year?",
  "options": [{"id": 45, "value": "New England Patriots"}, {"id": 49,
"value": "Seattle Seahawks"}, {"id": 51, "value": "Green Bay Packers"},
{"id": 54, "value": "Denver Broncos"}]
}
```

■ **Note** We are intentionally excluding a user from Poll representation in this chapter. In Chapter 8, we will discuss User representation along with its associations to Poll and Vote resources.

The representation of a Poll collection resource contains a collection of individual polls. Listing 4-2 gives the representation of a Polls collection resource with dummy data.

Listing 4-2. List of Polls representation

```
[
  {
    "id": 5,
    "question": "q1",
    "options": [{"id": 6, "value": "X"}, {"id": 9, "value": "Y"},
               {"id": 10, "value": "Z"}]
  },
  {
    "id": 2,
    "question": "q10",
    "options": [{"id": 15, "value": "Yes"}, {"id": 16, "value": "No"}]
  }
  .....
]
```

The Vote resource contains the option for which the vote was cast and a unique identifier. Listing 4-3 shows the Vote resource representation with dummy data.

Listing 4-3. Vote representation

```
{
  "id": 245,
  "option": {"id": 45, "value": "New England Patriots"}
}
```

Listing 4-4 gives the Votes collection resource representation with dummy data.

Listing 4-4. List of Votes representation

```
[
  {
    "id": 245,
    "option": {"id": 5, "value": "X"}
  },
  {
    "id": 110,
    "option": {"id": 7, "value": "Y"}
  },
  .....
]
```


The `ComputeResult` resource representation should include the total number of votes and Poll options along with the vote count associated with each option. Listing 4-5 shows this representation with sample data. We use the `totalVotes` attribute to hold the cast votes and the `results` attribute to hold the option id and the associated votes.

Listing 4-5. `ComputeResult` representation

```
{
  totalVotes: 100,
  "results" : [
    { "id" : 1, "count" : 10 },
    { "id" : 2, "count" : 8 },
    { "id" : 3, "count" : 6 },
    { "id" : 4, "count" : 4 }
  ]
}
```

Now that we have defined our resource representation, we will move on to identifying endpoints for those resources.

Endpoint Identification

REST resources are identified using URI endpoints. Well-designed REST APIs should have endpoints that are understandable, intuitive, and easy to use. Remember that we build REST APIs for our consumers to use. Hence, the names and the hierarchy that we choose for our endpoints should be unambiguous to consumers.

We design the endpoints for our service using best practices and conventions widely used in the industry. The first convention is to use a base URI for our REST service. The base URI provides an entry point for accessing the REST API. Public REST API providers typically use a subdomain such as <http://api.domain.com> or <http://dev.domain.com> as their base URI. Popular examples include GitHub's <https://api.github.com> and Twitter's <https://api.twitter.com>. By creating a separate subdomain, you prevent any possible name collisions with webpages. It also allows you to enforce security policies that are different from the regular website. To keep things simple, we will be using <http://localhost:8080> as our base URI in this book.

The second convention is to name resource endpoints using plural nouns. In our QuickPoll application, this would result in an endpoint <http://localhost:8080/polls> for accessing the Poll collection resource. Individual Poll resources will be accessed using a URI such as <http://localhost:8080/polls/1234> and <http://localhost:8080/polls/3456>. We can generalize access to individual Poll resources using the URI template <http://localhost:8080/polls/{pollId}>. Similarly, the endpoints <http://localhost:8080/users> and <http://localhost:8080/users/{userId}> are used for accessing collection and individual User resources.

The third convention advises using a URI hierarchy to represent resources that are related to each other. In our QuickPoll application, each Vote resource is related to a Poll resource. Because we typically cast votes for a Poll, a hierarchical endpoint <http://localhost:8080/polls/{pollId}/votes> is recommended for obtaining or manipulating all the votes associated with a given Poll. In the same way, the endpoint <http://localhost:8080/polls/{pollId}/votes/{voteId}> would return an individual vote that was cast for the Poll.

Finally, the endpoint `http://localhost:8080/computeresult` can be used to access the `ComputeResult` resource. For this resource to function properly and count the votes, a poll id is required. Because the `ComputeResult` works with `Vote`, `Poll`, and `Option` resources, we can't use the third approach for designing a URI that is hierarchal in nature. For use cases like these that require data to perform computation, the fourth convention recommends using a query parameter. For example, a client can invoke the endpoint `http://localhost:8080/computeresult?pollId=1234` to count all of the votes for the `Poll` with id 1234. Query parameters are an excellent vehicle for providing additional information to a resource.

In this section, we have identified the endpoints for the resources in our `QuickPoll` application. The next step is to identify the actions that are allowed on these resources, along with the expected responses.

Action Identification

HTTP Verbs allow clients to interact and access resources using their endpoints. In our `QuickPoll` application, the clients must be able to perform one or more CRUD operations on resources such as `Poll` and `Vote`. Analyzing the use cases from the “Introducing `QuickPoll`” section, Table 4-2 shows the operations allowed on `Poll/Polls` collection resources along with the success and error responses. Notice that on the `Poll` collection resource we allow `GET` and `POST` operations but deny `PUT` and `Delete` operations. A `POST` on the collection resource allows the client to create new polls. Similarly, we allow `GET`, `PUT`, and `Delete` operations on a given `Poll` resource but deny `POST` operation. The service returns a 404 status code for any `GET`, `PUT`, and `DELETE` operation on a `Poll` resource that doesn't exist. Similarly, any server errors would result in a status code of 500 sent to the client.

Table 4-2. Allowed operations on a `Poll` resource

HTTP Method	Resource Endpoint	Input	Success Response	Error Response	Description
GET	/polls	Body: Empty	Status: 200 Body: Poll List	Status: 500	Retrieves all available polls
POST	/polls	Body: New Poll data	Status: 201 Body: Newly created poll id	Status: 500	Creates a new poll
PUT	/polls	N/A	N/A	Status: 400	Forbidden action
Delete	/polls	N/A	N/A	Status: 400	Forbidden action
GET	/polls/{pollId}	Body: Empty	Status: 200 Body: Poll data	Status: 404 or 500	Retrieves an existing poll
POST	/polls/{pollId}	N/A	N/A	Status: 400	Forbidden
PUT	/polls/{pollId}	Body: Poll data with updates	Status: 200 Body: Empty	Status: 404 or 500	Updates an existing poll
Delete	/polls/{pollId}	Body: Empty	Status: 200	Status: 404 or 500	Deletes an existing poll

In the same fashion, Table 4-3 shows the operations allowed on Vote/Votes collection resources.

Table 4-3. Allowed operations on Vote resource

HTTP Method	Resource Endpoint	Input	Success Response	Error Response	Description
GET	/polls/{pollId}/votes	Body: Empty	Status: 200 Body: Votes List	Status: 500	Retrieves all available votes for a given poll
POST	/polls/{pollId}/votes	Body: New Vote	Status: 201 Body: Newly created vote id	Status: 500	Creates a new vote
PUT	/polls/{pollId}/votes	N/A	N/A	Status: 400	Forbidden action
Delete	/polls/{pollId}/votes	N/A	N/A	Status: 400	Forbidden action
GET	/polls/{pollId}/votes/{voteId}	Body: Empty	Status: 200 Body: Vote data	Status: 404 or 500	Retrieves an existing vote
POST	/polls/{pollId}/votes/{voteId}	N/A	N/A	Status: 400	Forbidden
PUT	/polls/{pollId}/votes/{voteId}	N/A	N/A	Status: 400	Forbidden as a casted vote can't be updated according to our requirements
Delete	/polls/{pollId}/votes/{voteId}	N/A	N/A	Status: 400	Forbidden as a casted vote can't be deleted according to our requirements

Finally, Table 4-4 shows the operations allowed on the ComputeResult resource.

Table 4-4. Allowed operations on ComputeResult resource

HTTP Method	Resource Endpoint	Input	Success Response	Error Response	Description
GET	/computeresult	Body: Empty Param: pollId	Status: 200 Body: Vote count	Status: 500	Returns the vote count for the given poll

This concludes the design for the QuickPoll REST service. Before we start our implementation, we will review QuickPoll's high-level architecture.

QuickPoll Architecture

The QuickPoll application will be made of a Web or REST API layer and a Repository layer with a domain layer crosscutting those two, as depicted in Figure 4-3. A layered approach provides a clear separation of concerns, making applications easy to build and maintain. Each layer interacts with the layer below using a well-defined contract. As long as the contract is maintained, it is possible to swap out underlying implementations without any impact to the overall system.

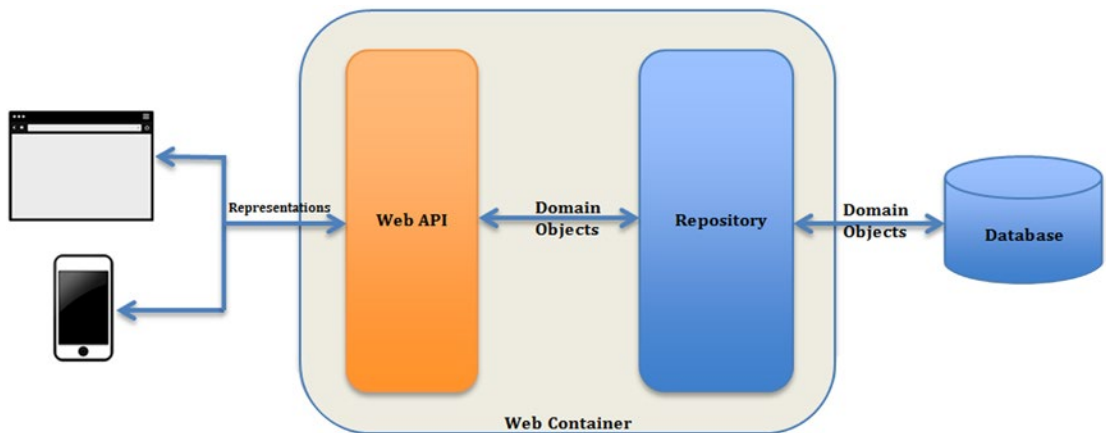


Figure 4-3. QuickPoll architecture

The Web API layer is responsible for receiving client requests, validating user input, interacting with a service or a repository layer, and generating a response. Using HTTP protocol, resource representations are exchanged between clients and the Web API layer. This layer contains Controllers/Handlers and is typically very lightweight as it delegates most of the work to layers beneath it.

The domain layer is considered to be the “heart” of an application. Domain objects in this layer contain business rules and business data. These objects are modeled after the nouns in the system. For example, a Poll object in our QuickPoll application would be considered a domain object.

The repository or data access layer is responsible for interacting with a datastore such as a database or LDAP or a legacy system. It typically provides CRUD operations for storing and retrieving objects from/to a datastore.

■ **Note** Observant readers will notice that the QuickPoll architecture is missing a service layer. Service layer typically sits between the API/Presentation layer and Repository layer. It contains coarse-grained API with methods that fulfill one or more use cases. It is also responsible for managing transactions and other crosscutting concerns such as security.

Because we are not dealing with any complex use cases for QuickPoll application in this book, we will not be introducing service layers into our architecture.

Implementing QuickPoll

We begin QuickPoll implementation by generating a Spring Boot project using STS. Follow the steps discussed in the “Generating Project using STS” section of Chapter 3, and create a project named quick-poll. Figure 4-4 gives the configuration information used during project generation. Notice that we have selected the “JPA” and “Web” options.

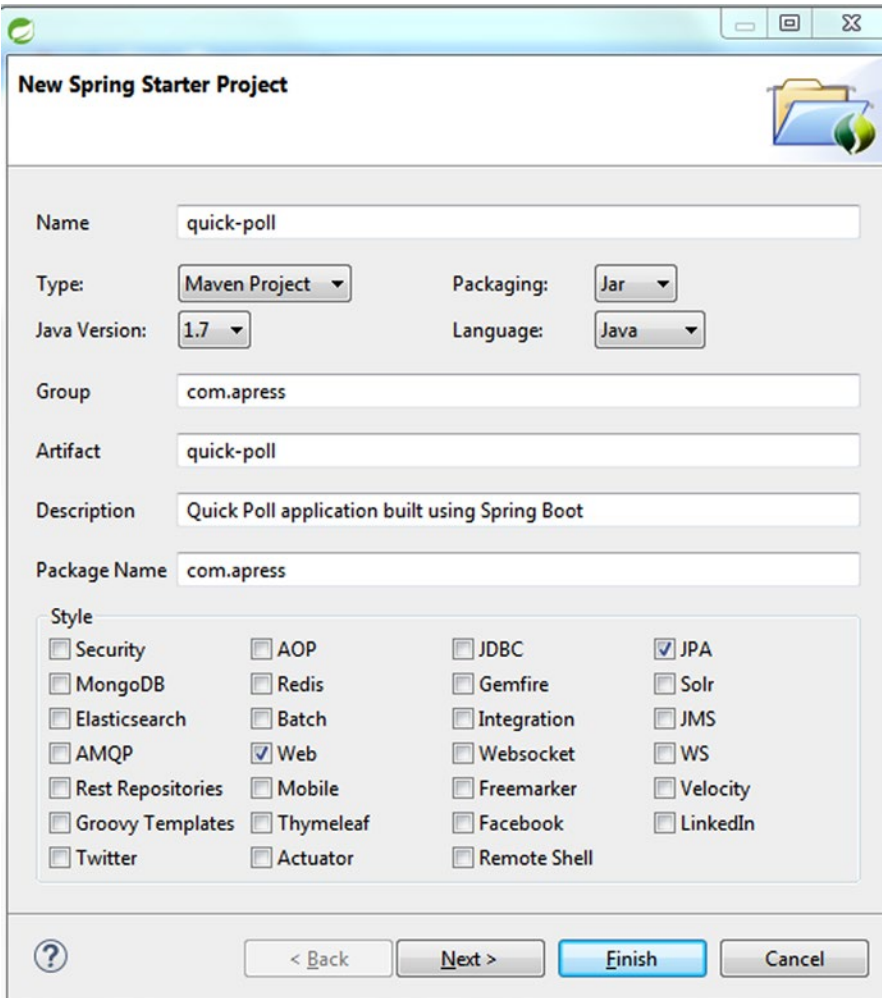


Figure 4-4. QuickPoll project configuration

Alternatively, you can import the QuickPoll project into your STS IDE from the downloaded source code for this book. The downloaded source code contains a number of folders named ChapterX, in which X represents the corresponding chapter number. Each ChapterX folder further contains two subfolders: a starter folder and a final folder. The starter folder houses a QuickPoll project that you can use to follow along with the solution described in this chapter.

Even though each chapter builds on the previous chapter's work, the starter project allows you to skip around in the book. For example, if you are interested in learning about security, you can simply load the QuickPoll application under the `Chapter8\starter` folder and follow the solution as described in Chapter 8.

As the name suggests, the `final` folder contains the completed solution/code for each chapter. To minimize code in the chapter text, I have omitted getters/setters methods, imports and package declarations in some of the code listings. Please refer to the QuickPoll code under the `final` folder for complete code listings.

By default, Spring Boot applications run on port 8080. So, if you intend to run two versions of QuickPoll, simply use the command line option `-Dserver.port`:

```
mvn spring-boot:run -Dserver.port=8181
```

■ **Note** Java Persistence API, or JPA, is a standards-based API for accessing, storing, and managing data between Java objects and relational database. Like JDBC, JPA is a purely a specification and many commercial and open source products such as Hibernate and TopLink provide JPA implementations. A formal overview of JPA is beyond the scope of this book. Please refer to Pro JPA 2 (<http://www.apress.com/9781430219569/>) to learn more.

Domain Implementation

The domain objects typically act as a backbone for any application. So, the next step in our implementation process is to create domain objects. Figure 4-5 shows a UML Class diagram representing the three domain objects in our QuickPoll application and their relationships.

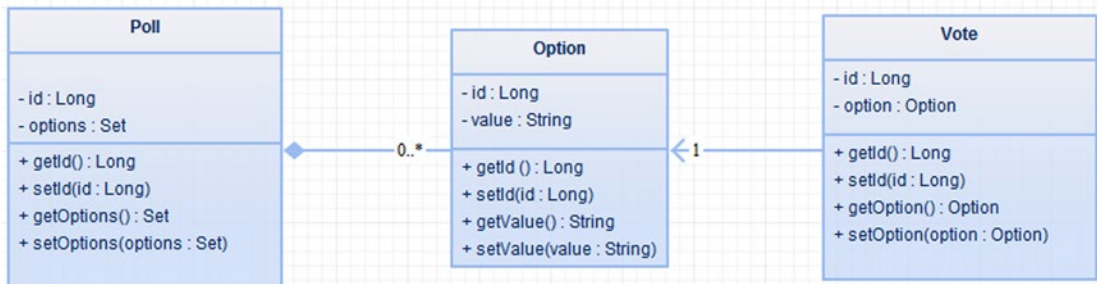


Figure 4-5. QuickPoll domain objects

Inside the quick-poll project, create a `com.apress.domain` subpackage under the `/src/main/java` folder and create Java classes corresponding to the domain objects that we identified. Listing 4-6 gives the implementation of the `Option` class. As you can see, the `Option` class has two fields: `id`, to hold the identity, and `value`, corresponding to the option value. Additionally, you will see that we have annotated this class with JPA annotations such as `@Entity` and `@Id`. This allows instances of the `Option` class to be easily persisted and retrieved using JPA technology.

Listing 4-6. Option class

```

package com.apress.domain;

import javax.persistence.Column;
import javax.persistence.Entity;
import javax.persistence.GeneratedValue;
import javax.persistence.Id;

@Entity
public class Option {

    @Id
    @GeneratedValue
    @Column(name="OPTION_ID")
    private Long id;

    @Column(name="OPTION_VALUE")
    private String value;

    // Getters and Setters omitted for brevity
}

```

Next, we create a Poll class, as shown in Listing 4-7, along with corresponding JPA annotations. The Poll class has a question field to store the poll question. The @OneToMany annotation, as the name suggests, indicates that a Poll instance can contain zero or more Option instances. The CascadeType.All indicates that any database operations such as persist, remove, or merge on a Poll instance needs to be propagated to all related Option instances. For example, when a Poll instance gets deleted, all of the related Option instances will be deleted from the database.

Listing 4-7. Poll class

```

package com.apress.domain;

import java.util.Set;
import javax.persistence.CascadeType;
import javax.persistence.Column;
import javax.persistence.Entity;
import javax.persistence.GeneratedValue;
import javax.persistence.Id;
import javax.persistence.JoinColumn;
import javax.persistence.OneToMany;
import javax.persistence.OrderBy ;

@Entity
public class Poll {

    @Id
    @GeneratedValue
    @Column(name="POLL_ID")
    private Long id;

```

```

@Column(name="QUESTION")
private String question;

@OneToMany(cascade=CascadeType.ALL)
@JoinColumn(name="POLL_ID")
@OrderBy
private Set<Option> options;

// Getters and Setters omitted for brevity
}

```

Finally, we create the `Vote` class, as shown in Listing 4-8. The `@ManyToOne` annotation indicates that an `Option` instance can have zero or more `Vote` instances associated with it.

Listing 4-8. Poll class

```

package com.apress.domain;

import javax.persistence.Column;
import javax.persistence.Entity;
import javax.persistence.GeneratedValue;
import javax.persistence.Id;
import javax.persistence.JoinColumn;
import javax.persistence.ManyToOne;

@Entity
public class Vote {

    @Id
    @GeneratedValue
    @Column(name="VOTE_ID")
    private Long id;

    @ManyToOne
    @JoinColumn(name="OPTION_ID")
    private Option option;

    // Getters and Setters omitted for brevity
}

```

Repository Implementation

Repositories, or Data Access Objects (DAO), provide an abstraction for interacting with datastores. Repositories traditionally include an interface that provides a set of finder methods such as `findById`, `findAll` for retrieving data, and methods to persist and delete data. Repositories also include a class that implements this interface using datastore-specific technologies. For example, a repository dealing with a database uses technology such as JDBC or JPA, and a repository dealing with LDAP would use JNDI. It is also customary to have one Repository per domain object.

Although this has been a popular approach, there is a lot of boilerplate code that gets duplicated in each repository implementation. Developers attempt to abstract common functionality into a generic interface and generic implementation (<http://www.ibm.com/developerworks/library/j-genericdao/>). However, they are still required to create a pair of repository interfaces and classes for each domain object. Often these interfaces and classes are empty and just result in more maintenance.

The Spring Data project aims at addressing this problem by completely eliminating the need to write any repository implementations. With Spring Data, all you need is a repository interface to automatically generate its implementation at runtime. The only requirement is that application repository interfaces should extend one of the many available Spring Data marker interfaces. Because we will be persisting our QuickPoll domain objects into a relational database using JPA, we will be using Spring Data JPA subproject's `org.springframework.data.repository.CrudRepository` marker interface. As you can see from Listing 4-9, the `CrudRepository` interface takes the type of domain object that it manipulates and the type of domain object's identifier field as its generic parameters `T` and `ID`.

Listing 4-9. `CrudRepository` API

```
public interface CrudRepository<T, ID extends Serializable> extends Repository<T, ID> {

    // Create and Update Methods
    <S extends T> S save(S entity);
    <S extends T> Iterable<S> save(Iterable<S> entities);

    // Finder Methods
    T findOne(ID id);
    Iterable<T> findAll();
    Iterable<T> findAll(Iterable<ID> ids);

    // Delete Methods
    void delete(ID id);
    void delete(T entity);
    void delete(Iterable<? extends T> entities);
    void deleteAll();

    // Utility Methods
    long count();
    boolean exists(ID id);
}
```

We begin our repository implementation by creating a `com.apress.repository` package under the `src/main/java` folder. Then, we create an `OptionRepository` interface as shown in Listing 4-10. As discussed earlier, the `OptionRepository` extends Spring Data's `CrudRepository` and thereby inherits all of its CRUD methods. Because the `OptionRepository` works with the `Option` domain object, it passes `Option` and `Long` as generic parameter values.

Listing 4-10. `OptionRepository` interface

```
package com.apress.repository;

import org.springframework.data.repository.CrudRepository;
import com.apress.domain.Option;

public interface OptionRepository extends CrudRepository<Option, Long> {

}
```

Taking the same approach, we then create `PollRepository` and `VoteRepository` interfaces, as shown in Listings 4-11 and 4-12.

Listing 4-11. `PollRepository` interface

```
public interface PollRepository extends CrudRepository<Poll, Long> {
}

```

Listing 4-12. `OptionRepository` interface

```
public interface VoteRepository extends CrudRepository<Vote, Long> {
}

```

Embedded Database

In the previous section, we created repositories, but we need a relational database for persisting data. The relational database market is full of options ranging from commercial databases such as Oracle, SQL Server to open source databases such as MySQL, and PostgreSQL. To speed up our QuickPoll application development, we will be using HSQLDB, a popular in-memory database. In-memory, aka embedded, databases don't require any additional installations and can simply run as a JVM process. Their quick startup and shutdown capabilities make them ideal candidates for prototyping and integration testing. At the same time, they don't usually provide a persistent storage and the application needs to seed the database every time it bootstraps.

Spring Boot provides excellent support for HSQLDB-, H2-, and Derby-embedded databases. The only requirement is to include a build dependency in the `pom.xml` file. Spring Boot takes care of starting the database during deployment and stopping it during application shutdown. There is no need to provide any database connection URLs or username and password. Listing 4-13 shows the dependency information that needs to be added to QuickPoll's `pom.xml` file.

Listing 4-13. HSQLDB POM.XML dependency

```
<dependency>
  <groupId>org.hsqldb</groupId>
  <artifactId>hsqldb</artifactId>
  <scope>runtime</scope>
</dependency>

```

API Implementation

In this section, we will create Spring MVC controllers and implement our REST API endpoints. We begin by creating the `com.apress.controller` package under `src\main\java` to house all of the controllers.

PollController Implementation

The `PollController` provides all of the necessary endpoints to access and manipulate the Poll and Polls resources. Listing 4-14 shows a barebones `PollController` class.

Listing 4-14. PollController class

```

package com.apress.controller;

import javax.inject.Inject;
import org.springframework.web.bind.annotation.RestController;
import com.apress.repository.PollRepository;

@RestController
public class PollController {

    @Inject
    private PollRepository pollRepository;

}

```

The `PollController` class is annotated with an `@RestController` annotation. The `@RestController` is a convenient yet meaningful annotation and has the same effect as adding both `@Controller` and `@ResponseBody` annotations. Because we need to read and store `Poll` instances, we use the `@Inject` annotation to inject an instance of `PollRepository` into our controller. The `javax.inject.Inject` annotation introduced as part of Java EE 6 provides a standard mechanism for declaring dependencies. We use this annotation in favor of Spring's proprietary `@Autowired` annotation to be more compliant. In order to use the `@Inject` annotation, we need to add the dependency shown in Listing 4-15 to the `pom.xml` file.

Listing 4-15. Inject dependency in POM file

```

<dependency>
    <groupId>javax.inject</groupId>
    <artifactId>javax.inject</artifactId>
    <version>1</version>
</dependency>

```

A GET request on the `/polls` endpoint provides a collection of all of the polls available in the `QuickPolls` application. Listing 4-16 shows the necessary code for implementing this functionality. The `@RequestMapping` annotation declares the URI and the allowed HTTP method. The `getAllPolls` method used `ResponseEntity` as its return type, indicating that the return value is the complete HTTP response. `ResponseEntity` gives you full control over the HTTP response, including the response body and response headers. The method implementation begins with reading all of the polls using the `PollRepository`. We then create an instance of `ResponseEntity` and pass in `Poll` data and the `HttpStatus.OK` status value. The `Poll` data becomes part of the response body and `OK` (code 200) becomes the response status code.

Listing 4-16. GET verb implementation for `/polls`

```

@RequestMapping(value="/polls", method=RequestMethod.GET)
public ResponseEntity<Iterable<Poll>> getAllPolls() {
    Iterable<Poll> allPolls = pollRepository.findAll();
    return new ResponseEntity<>(pollRepository.findAll(), HttpStatus.OK);
}

```

Let's quickly test our implementation by running the `QuickPoll` application. In a command line, navigate to the `quick-poll` project directory and run the following command:

```
mvn spring-boot:run
```

Launch the Postman app in your Chrome browser and enter the URL `http://localhost:8080/polls`, as shown in Figure 4-6, and hit Send. Because we don't have any polls created yet, this command would result in an empty collection.

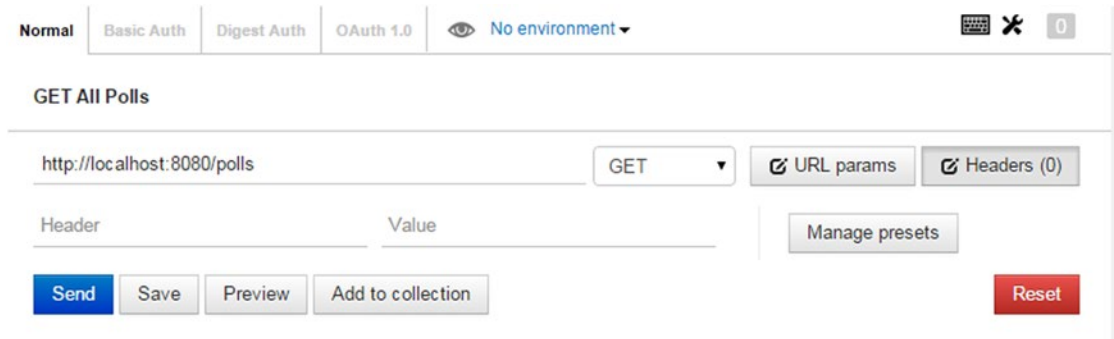


Figure 4-6. Get All Polls request

■ **Note** The downloaded source code contains an exported Postman collection with requests that can be used to run tests in this chapter. Simply import this collection into your Postman application and start using it.

The next stop for us is to implement capability to add new polls to the `PollController`. We accomplish this by implementing the `POST` verb functionality, as shown in Listing 4-17. The `createPoll` method takes a parameter of the type `Poll`. The `@RequestBody` annotation tells Spring that the entire request body needs to be converted to an instance of `Poll`. Spring uses the incoming `Content-Type` header to identify a proper message converter and delegates the actual conversion to it. Spring Boot comes with message converters that support JSON and XML resource representations. Inside the method, we simply delegate the `Poll` persistence to `PollRepository`'s `save` method. We then create a new `ResponseEntity` with status `CREATED` (201) and return it.

Listing 4-17. Implementation to create new poll

```
@RequestMapping(value="/polls", method=RequestMethod.POST)
public ResponseEntity<?> createPoll(@RequestBody Poll poll) {
    poll = pollRepository.save(poll);
    return new ResponseEntity<>(null, HttpStatus.CREATED);
}
```

Although this implementation fulfills the request, the client has no way of knowing the URI of the newly created `Poll`. For example, if the client wants to share the newly created `Poll` to a social networking site, the current implementation will not suffice. A best practice is to convey the URI to the newly created resource using the `Location` HTTP header. Building the URI would require us to inspect the `HttpServletRequest` object to obtain information such as `Root URI` and `context`. Spring makes the URI generation process easy via its `ServletUriComponentsBuilder` utility class:

```
URI newPollUri = ServletUriComponentsBuilder
    .fromCurrentRequest()
    .path("/{id}")
    .buildAndExpand(poll.getId())
    .toUri();
```

The `fromCurrentRequest` method prepares the builder by copying information such as host, schema, port, and so on from the `HttpServletRequest`. The `path` method appends the passed-in path parameter to the existing path in the builder. In the case of the `createPoll` method, this would result in `http://localhost:8080/polls/{id}`. The `buildAndExpand` method would build an `UriComponents` instance and replaces any path variables (`{id}` in our case) with passed-in value. Finally, we invoke the `toUri` method on the `UriComponents` class to generate the final URI. The complete implementation of the `createPoll` method is shown in Listing 4-18.

Listing 4-18. Complete implementation of Create Poll

```
@RequestMapping(value="/polls", method=RequestMethod.POST)
public ResponseEntity<?> createPoll(@RequestBody Poll poll) {

    poll = pollRepository.save(poll);

    // Set the location header for the newly created resource
    HttpHeaders responseHeaders = new HttpHeaders();
    URI newPollUri = ServletUriComponentsBuilder
        .fromCurrentRequest()
        .path("/{id}")
        .buildAndExpand(poll.getId())
        .toUri();
    responseHeaders.setLocation(newPollUri);

    return new ResponseEntity<>(null, responseHeaders, HttpStatus.CREATED);
}
```

To test our newly added functionality, start the QuickPoll application. If you have the application already running, you need to terminate the process and restart it. Enter the information in Postman as shown in Figure 4-7 and hit Send. Make sure that you have added the Content-Type header with value `application/json`. The JSON used in the body is shown here:

```
{
  "question": "Who will win SuperBowl this year?",
  "options": [
    {"value": "New England Patriots"},
    {"value": "Seattle Seahawks"},
    {"value": "Green Bay Packers"},
    {"value": "Denver Broncos"}]
}
```

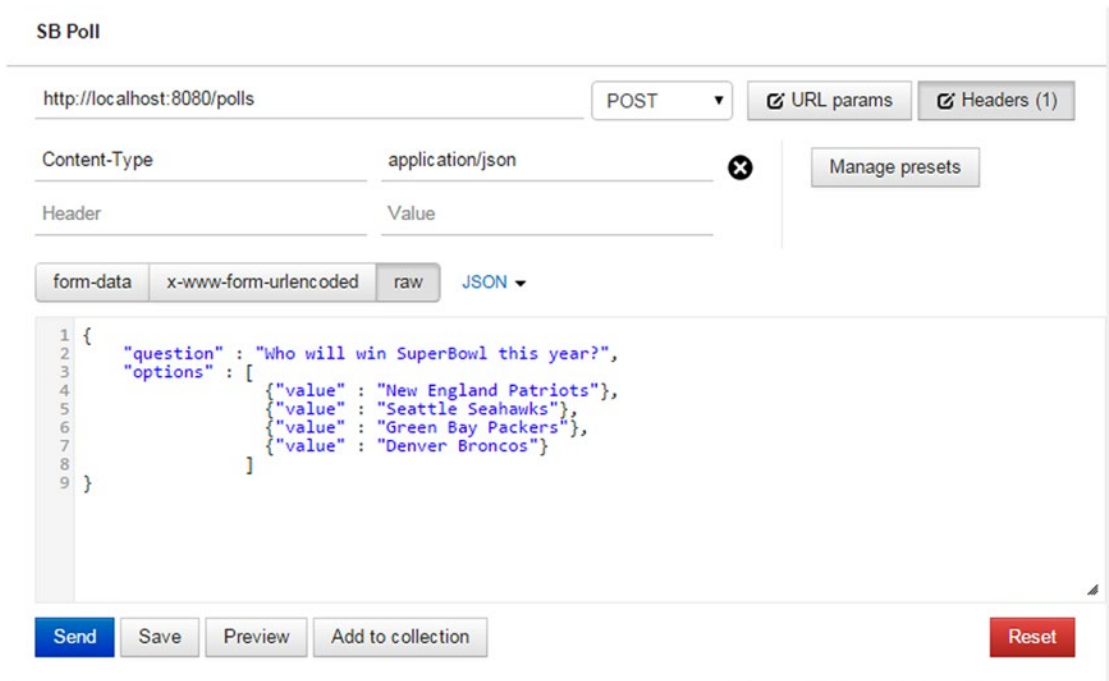


Figure 4-7. Create Poll Postman example

On completion of the request, you will see a Status 201 Created message and headers:

```

Content-Length → 0
Date → Mon, 23 Feb 2015 00:05:11 GMT
Location → http://localhost:8080/polls/1
Server → Apache-Coyote/1.1

```

Now let's turn our attention to accessing an individual poll. Listing 4-19 gives the necessary code. The `value` attribute in the `RequestMapping` takes a URI template `/polls/{pollId}`. The placeholder `{pollId}` along with the `@PathVariable` annotation allows Spring to examine the request URI path and extract the `pollId` parameter value. Inside the method, we use the `PollRepository`'s `findOne` finder method to read the poll and pass it as part of a `ResponseEntity`.

Listing 4-19. Retrieving an individual poll

```

@RequestMapping(value="/polls/{pollId}", method=RequestMethod.GET)
public ResponseEntity<?> getPoll(@PathVariable Long pollId) {
    Poll p = pollRepository.findOne(pollId);
    return new ResponseEntity<> (p, HttpStatus.OK);
}

```

In the same fashion, we implement the functionality to update and delete a Poll, as shown in Listing 4-20.

Listing 4-20. Update and delete a poll

```
@RequestMapping(value="/polls/{pollId}", method=RequestMethod.PUT)
public ResponseEntity<?> updatePoll(@RequestBody Poll poll, @PathVariable Long pollId) {
    // Save the entity
    Poll p = pollRepository.save(poll);
    return new ResponseEntity<>(HttpStatus.OK);
}

@RequestMapping(value="/polls/{pollId}", method=RequestMethod.DELETE)
public ResponseEntity<?> deletePoll(@PathVariable Long pollId) {
    pollRepository.delete(pollId);
    return new ResponseEntity<>(HttpStatus.OK);
}
```

Once you have this code added to the PollController, restart the QuickPoll application and execute the Postman request as shown in Figure 4-7 to create a new poll. Then input the information in Figure 4-8 to create a new Postman request and update the poll. Notice that the PUT request contains the entire Poll representation along with IDs.

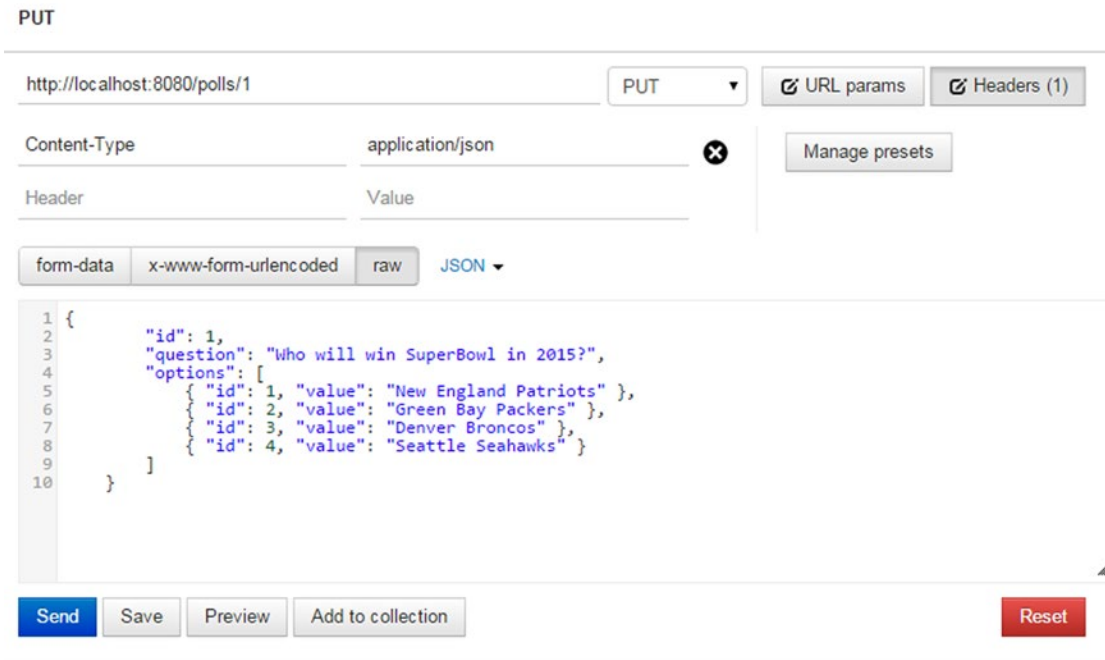


Figure 4-8. Update poll

This concludes the implementation of the PostController.

VoteController Implementation

Following the principles used to create `PollController`, we implement the `VoteController` class. Listing 4-21 gives the code for the `VoteController` class along with the functionality to create a vote. The `VoteController` uses an injected instance of `VoteRepository` to perform CRUD operations on `Vote` instances.

Listing 4-21. `VoteController` implementation

```
@RestController
public class VoteController {
    @Inject
    private VoteRepository voteRepository;

    @RequestMapping(value="/polls/{pollId}/votes", method=RequestMethod.POST)
    public ResponseEntity<?> createVote(@PathVariable Long pollId, @RequestBody Vote
    vote) {
        vote = voteRepository.save(vote);

        // Set the headers for the newly created resource
        HttpHeaders responseHeaders = new HttpHeaders();
        responseHeaders.setLocation(ServletUriComponentsBuilder.
        fromCurrentRequest().path("/{id}").buildAndExpand(vote.getId()).toUri());

        return new ResponseEntity<>(null, responseHeaders, HttpStatus.CREATED);
    }
}
```

To test the voting capabilities, POST a new `Vote` to the `/polls/1/votes` endpoint with an option in the request body, as shown in Figure 4-9. On successful request execution, you will see a `Location` response header with value `http://localhost:8080/polls/1/votes/1`.

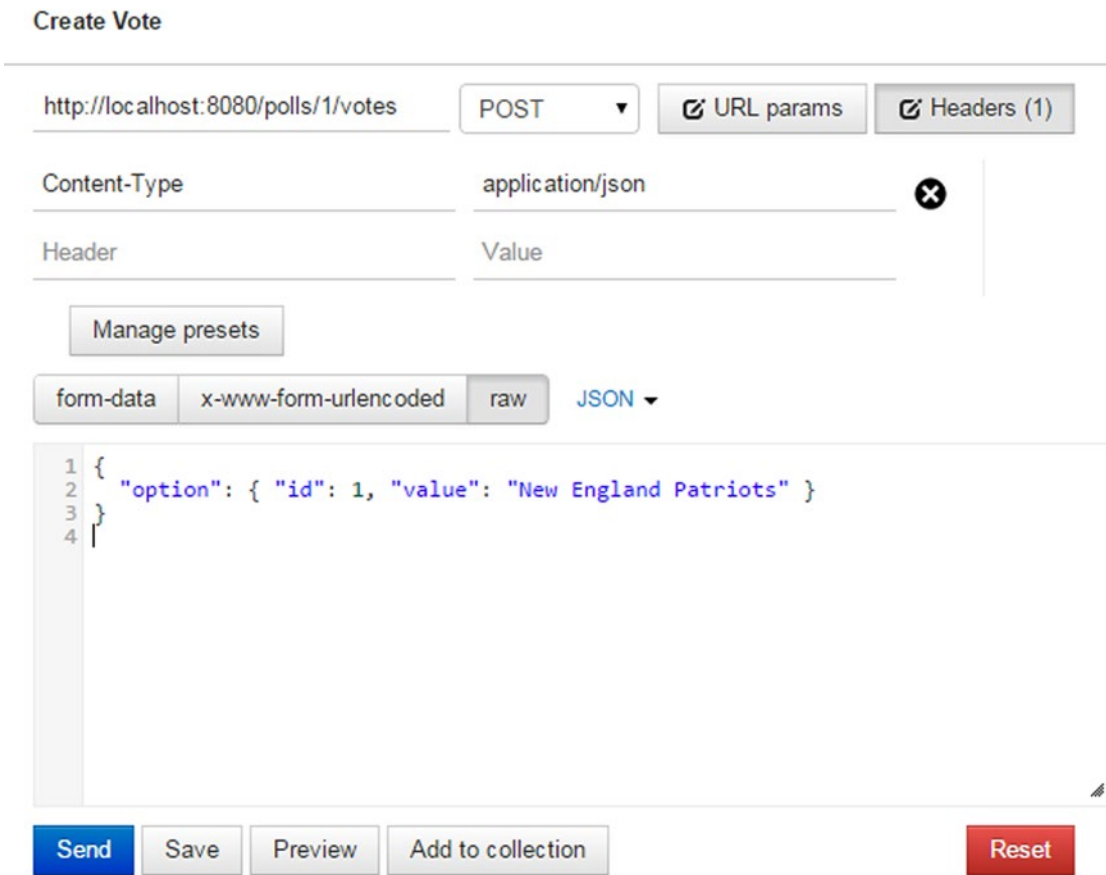


Figure 4-9. Cast a new vote

Next, we look at implementing the capability to retrieve all votes for a given poll. The `findAll` method in the `VoteRepository` returns all votes in the database. Because this would not meet our needs, we need to add this functionality to the `VoteRepository` as shown in Listing 4-22.

Listing 4-22. Modified `VoteRepository` Implementation

```
import org.springframework.data.jpa.repository.Query;

public interface VoteRepository extends CrudRepository<Vote, Long> {

    @Query(value="select v.* from Option o, Vote v where o.POLL_ID = ?1 and
v.OPTION_ID = o.OPTION_ID", nativeQuery = true)
    public Iterable<Vote> findByPoll(Long pollId);
}
```

The custom finder method `findVotesByPoll` takes the ID of the `Poll` as its parameter. The `@Query` annotation on this method takes a native SQL query along with the `nativeQuery` flag set to `true`. At runtime, Spring Data JPA replaces the `?1` placeholder with the passed-in `pollId` parameter value. Next, we implement the `/polls/{pollId}/votes` endpoint in the `VoteController`, as shown in Listing 4-23.

Listing 4-23. GET All Votes Implementation

```
@RequestMapping(value="/polls/{pollId}/votes", method=RequestMethod.GET)
public Iterable<Vote> getAllVotes(@PathVariable Long pollId) {
    return voteRepository. findByPoll(pollId);
}
```

ComputeResultController Implementation

The final piece remaining for us is the implementation of the `ComputeResult` resource. Because we don't have any domain objects that can directly help generate this resource representation, we implement two Data Transfer Objects or DTOs—`OptionCount` and `VoteResult`. The `OptionCount` DTO contains the ID of the option and a count of votes casted for that option. The `VoteResult` DTO contains the total votes cast and a collection of `OptionCount` instances. These two DTOs are created under the `com.apress.dto` package, and their implementation is given in Listing 4-24.

Listing 4-24. DTOs for `ComputeResult` resources

```
package com.apress.dto;
public class OptionCount {
    private Long optionId;
    private int count;
    // Getters and Setters omitted for brevity
}

package com.apress.dto;
import java.util.Collection;

public class VoteResult {
    private int totalVotes;
    private Collection<OptionCount> results;
    // Getters and Setters omitted for brevity
}
```

Following the principles used in creating the `PollController` and `VoteController`, we create a new `ComputeResultController` class, as shown in Listing 4-25. We inject an instance of `VoteRepository` into the controller, which is used to retrieve votes for a given poll. The `computeResult` method takes `pollId` as its parameter. The `@RequestParam` annotation instructs Spring to retrieve the `pollId` value from a HTTP query parameter. The computed results are sent to the client using a newly created instance of `ResponseEntity`.

Listing 4-25. ComputeResultController implementation

```

package com.apress.controller;

@RestController
public class ComputeResultController {

    @Inject
    private VoteRepository voteRepository;

    @RequestMapping(value="/computeresult", method=RequestMethod.GET)
    public ResponseEntity<?> computeResult(@RequestParam Long pollId) {
        VoteResult voteResult = new VoteResult();
        Iterable<Vote> allVotes = voteRepository.findByPoll(pollId);
        // Algorithm to count votes

        return new ResponseEntity<VoteResult>(voteResult, HttpStatus.OK);
    }
}

```

There are several ways to count votes associated with each option. This code provides one such option:

```

int totalVotes = 0;
Map<Long, OptionCount> tempMap = new HashMap<Long, OptionCount>();
for(Vote v : allVotes) {
    totalVotes ++;
    // Get the OptionCount corresponding to this Option
    OptionCount optionCount = tempMap.get(v.getOption().getId());
    if(optionCount == null) {
        optionCount = new OptionCount();
        optionCount.setOptionId(v.getOption().getId());
        tempMap.put(v.getOption().getId(), optionCount);
    }
    optionCount.setCount(optionCount.getCount()+1);
}
voteResult.setTotalVotes(totalVotes);
voteResult.setResults(tempMap.values());

```

This concludes the ComputeResult controller implementation. Start/restart the QuickPoll application. Using the earlier Postman requests, create a poll and cast votes on its options. Then create a new Postman request as shown in Figure 4-10 and submit it to test our /computeresult endpoint.

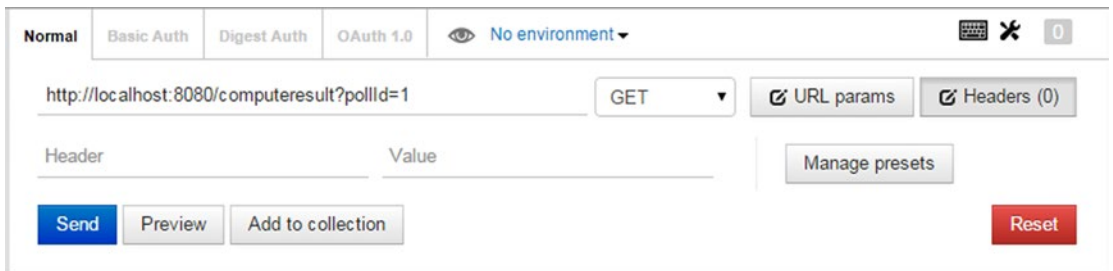


Figure 4-10. *ComputeResult Endpoint test*

On successful completion, you will see an output similar to this:

```
{
  "totalVotes": 7,
  "results": [
    {
      "optionId": 1,
      "count": 4
    },
    {
      "optionId": 2,
      "count": 3
    }
  ]
}
```

Summary

In this chapter, we looked at creating RESTful services for the QuickPoll application. Most of our examples in this chapter assumed a “happy path” in which everything goes as planned. However, this rarely happens in the real world. In the next chapter we will look at handling errors, validating input data, and communicating meaningful error messages.

CHAPTER 5



Error Handling

In this chapter we will discuss:

- Handling errors in a REST API
- Designing meaningful error responses
- Validating API inputs
- Externalizing error messages

Error handling is one of the most important yet somewhat overlooked topics for programmers. Although we develop software with good intent, things do go wrong and we must be prepared to handle and communicate those errors gracefully. The communication aspect is especially important to developers consuming a REST API. Well-designed error responses allow consuming developers to understand the issues and help them to use the API correctly. Additionally, good error handling allows API developers to log information that can aid in debugging issues on their end.

QuickPoll Error Handling

In our QuickPoll application, consider the scenario in which a user tries to retrieve a poll that doesn't exist. Figure 5-1 shows the Postman request for a nonexistent poll with id 100.

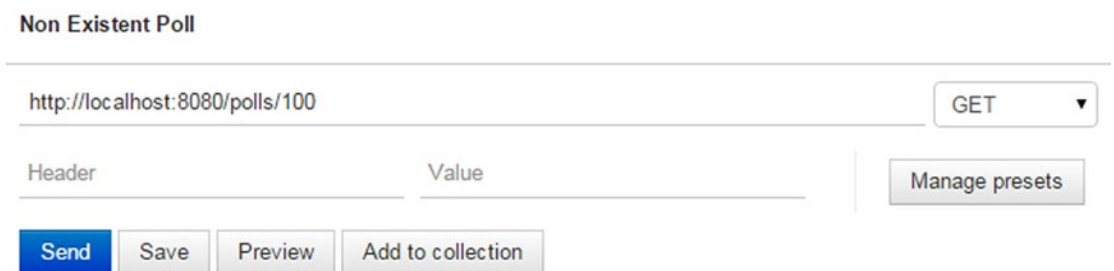


Figure 5-1. Requesting a nonexistent poll

On receiving the request, the `PollController` in our QuickPoll application uses `PollRepository` to retrieve the poll. Because a poll with id 100 doesn't exist, `PollRepository`'s `findOne` method returns a null and the `PollController` sends an empty body to the client, as shown in Figure 5-2.

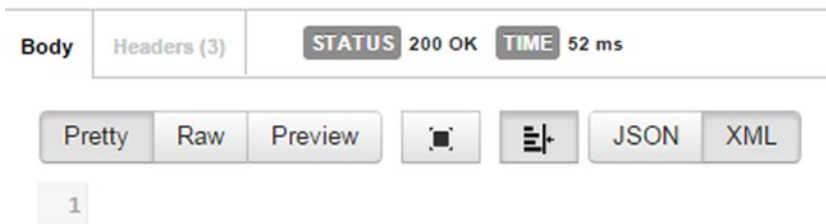


Figure 5-2. Response to a nonexistent poll

■ **Note** In this chapter, we will continue working on the QuickPoll application that we built in the previous chapter. The code is also available under the `Chapter5\starter` folder of the downloaded source code. The completed solution is available under the `Chapter5\final` folder. As we have omitted getter/setter methods and imports in some of the listings in this chapter, please refer to the code under the `final` folder for complete listings. The `Chapter5` folder also contains an exported Postman collection containing REST API requests associated with this chapter.

This current implementation is deceptive, as the client receives a status code 200. Instead, a status code 404 should be returned, indicating that the requested resource doesn't exist. To achieve this correct behavior, we will validate the poll id in the `com.apress.controller.PollController`'s `getPoll` method and, for nonexistent polls, throw a `com.apress.exception.ResourceNotFoundException` exception. Listing 5-1 shows the modified `getPoll` implementation.

Listing 5-1. `getPoll` implementation

```
@RequestMapping(value="/polls/{pollId}", method=RequestMethod.GET)
public ResponseEntity<?> getPoll(@PathVariable Long pollId) {
    Poll p = pollRepository.findOne(pollId);
    if(p == null) {
        throw new ResourceNotFoundException("Poll with id " + pollId + " not found");
    }
    return new ResponseEntity<> (p, HttpStatus.OK);
}
```

The `ResourceNotFoundException` is a custom exception and its implementation is shown in Listing 5-2. Notice that an `@ResponseStatus` annotation is declared at the class level. The annotation instructs Spring MVC that an `HttpStatus NOT_FOUND` (404 code) should be used in the response when a `ResourceNotFoundException` is thrown.

Listing 5-2. ResourceNotFoundException implementation

```

package com.apress.exception;

import org.springframework.http.HttpStatus;
import org.springframework.web.bind.annotation.ResponseStatus;

@ResponseStatus(HttpStatus.NOT_FOUND)
public class ResourceNotFoundException extends RuntimeException {

    private static final long serialVersionUID = 1L;

    public ResourceNotFoundException() {}

    public ResourceNotFoundException(String message) {
        super(message);
    }

    public ResourceNotFoundException(String message, Throwable cause) {
        super(message, cause);
    }
}

```

With these modifications in place, start the QuickPoll application and run the Postman request for poll with ID 100. The PollController returns the right status code as shown in Figure 5-3.

The screenshot shows a Postman interface for a request to `http://localhost:8080/polls/100` using the `GET` method. The response status is `404 Not Found` and the response time is `232 ms`. The response body is displayed in JSON format:

```

1 {
2   "timestamp": 1432401181738,
3   "status": 404,
4   "error": "Not Found",
5   "exception": "com.apress.exception.ResourceNotFoundException",
6   "message": "Poll with id 100 not found",
7   "path": "/polls/100"
8 }

```

Figure 5-3. New response for a nonexistent poll

In addition to GET, other HTTP methods such as PUT, DELETE, and PATCH act on existing Poll resources. Hence, we need to perform the same poll ID validation in the corresponding methods so that we return the right status code to the client. Listing 5-3 shows the poll id verification logic encapsulated into a `PollController`'s `verifyPoll` method along with the modified `getPoll`, `updatePoll`, and `deletePoll` methods.

Listing 5-3. Updated `PollController`

```
protected void verifyPoll(Long pollId) throws ResourceNotFoundException {
    Poll poll = pollRepository.findOne(pollId);
    if(poll == null) {
        throw new ResourceNotFoundException("Poll with id " + pollId + " not found");
    }
}

@RequestMapping(value="/polls/{pollId}", method=RequestMethod.GET)
public ResponseEntity<?> getPoll(@PathVariable Long pollId) {
    verifyPoll(pollId);
    Poll p = pollRepository.findOne(pollId);
    return new ResponseEntity<> (p, HttpStatus.OK);
}

@RequestMapping(value="/polls/{pollId}", method=RequestMethod.PUT)
public ResponseEntity<?> updatePoll(@RequestBody Poll poll, @PathVariable Long pollId) {
    verifyPoll(pollId);
    pollRepository.save(poll);
    return new ResponseEntity<>(HttpStatus.OK);
}

@RequestMapping(value="/polls/{pollId}", method=RequestMethod.DELETE)
public ResponseEntity<?> deletePoll(@PathVariable Long pollId) {
    verifyPoll(pollId);
    pollRepository.delete(pollId);
    return new ResponseEntity<>(HttpStatus.OK);
}
```

Error Responses

HTTP status codes play an important role in REST APIs. API developers should strive to return the right codes indicating the request status. Additionally, it is good practice to provide helpful, fine-grained details regarding the error in the response body. These details will enable API consumers to troubleshoot issues easily and help them to recover. As you can see in Figure 5-3, Spring Boot follows this practice and includes the following details in error response bodies:

- **timestamp**—The time in milliseconds when the error happened
- **status**—HTTP status code associated with the error; this is partly redundant as it is same as the response status code
- **error**—The description associated with the status code

- exception—The fully qualified path to the exception class resulting in this error
- message—The message providing more details about the error
- path—The URI that resulted in the exception

These details are generated by the Spring Boot framework. This feature is not available out of the box in non-Boot Spring MVC applications. In this section, we will implement a similar error response for a QuickPoll application using generic Spring MVC components so that it works in both Boot and non-Boot environments. Before we dive into this implementation, let's look at the error response details of two popular applications: GitHub and Twilio. Figure 5-4 shows GitHub's error response details for a request containing invalid inputs. The message attribute gives a plain description of the error and the errors attribute lists the fields with invalid inputs. In this example, the client's request is missing the Issue resource's title field.

```

HTTP/1.1 422 Unprocessable Entity
Content-Length: 149

{
  "message": "Validation Failed",
  "errors": [
    {
      "resource": "Issue",
      "field": "title",
      "code": "missing_field"
    }
  ]
}

```

Figure 5-4. GitHub error response

Twilio provides an API that allows developers programmatically make phone calls, send texts, and receive texts. Figure 5-5 shows the error response for a POST call that is missing a “To” phone number. The status and message fields are similar to fields in Spring Boot's response. The code field contains a numeric code that can be used to find more information about the exception. The more_info field contains the URL for error code documentation. On receiving this error, a Twilio API consumer can navigate to <https://www.twilio.com/docs/errors/21201> and get more information to troubleshoot the error.

```

1 | {
2 |   "status": 400,
3 |   "message": "No to number is specified",
4 |   "code": 21201,
5 |   "more_info": "http://www.twilio.com/docs/errors/21201"
6 | }

```

Figure 5-5. Twilio error response

It is clear that there is not a standard response format for errors. It is up to the API and framework implementers to decide on the details to be sent to the client. However, attempts to standardize the response format have begun and an IETF specification known as Problem Details for HTTP APIs (<http://tools.ietf.org/html/draft-nottingham-http-problem-06>) is gaining traction. Inspired by the “Problem Details for HTTP APIs” specification, Listing 5-4 shows the error response format that we will be implementing in our QuickPoll application.

Listing 5-4. QuickPoll Error Response Format

```
{
    "title" : "",
    "status" : "",
    "detail" : "",
    "timestamp" : "",
    "developerMessage" : "",
    "errors": {}
}
```

Here is a brief description of the fields in the QuickPoll error response:

- **title**—The `title` field provides a brief title for the error condition. For example, errors resulting as a result of input validation will have the title “Validation Failure”. Similarly, an “Internal Server Error” will be used for internal server errors.
- **status**—The `status` field contains the HTTP status code for the current request. Even though it is redundant to include status code in the response body, it allows API clients to look for all the information that it needs to troubleshoot in one place.
- **detail**—The `detail` field contains a short description of the error. The information in this field is typically human-readable and can be presented to an end user.
- **timestamp**—The time in milliseconds when the error occurred.
- **developerMessage**—The `developerMessage` contains information such as exception class name or stack trace that is relevant to developers.
- **errors**—The `errors` field is used to report field validation errors.

Now that we have our error response defined, we are ready to modify QuickPoll application. We begin by creating a Java representation of the response details, as shown in Listing 5-5. As you can see, the `ErrorDetail` class is missing the `errors` field. We will be adding that functionality in the upcoming section.

Listing 5-5. Error response details representation

```
package com.apress.dto.error;

public class ErrorDetail {

    private String title;
    private int status;
    private String detail;
    private long timeStamp;
    private String developerMessage;

    // Getters and Setters omitted for brevity
}
```

Error handling is a crosscutting concern. We need an application-wide strategy that handles all of the errors in the same way and writes the associated details to the response body. As we discussed in Chapter 2, classes annotated with `@ControllerAdvice` can be used to implement such crosscutting concerns. Listing 5-6 shows the `RestExceptionHandler` class with an aptly named `handleResourceNotFoundException` method. Thanks to the `@ExceptionHandler` annotation, any time a `ResourceNotFoundException` is thrown by a controller, Spring MVC would invoke the `RestExceptionHandler`'s `handleResourceNotFoundException` method. Inside this method, we create an instance of `ErrorDetail` and populate it with error information.

Listing 5-6. `RestExceptionHandler` implementation

```
package com.apress.handler;

import java.util.Date;
import javax.servlet.http.HttpServletRequest;
import org.springframework.http.HttpStatus;
import org.springframework.http.ResponseEntity;
import org.springframework.web.bind.annotation.ControllerAdvice;
import org.springframework.web.bind.annotation.ExceptionHandler;
import com.apress.dto.error.ErrorDetail;
import com.apress.exception.ResourceNotFoundException;

@ControllerAdvice
public class RestExceptionHandler {

    @ExceptionHandler(ResourceNotFoundException.class)
    public ResponseEntity<?> handleResourceNotFoundException(ResourceNotFoundException
rnfe, HttpServletRequest request) {

        ErrorDetail errorDetail = new ErrorDetail();
        errorDetail.setTimeStamp(new Date().getTime());
        errorDetail.setStatus(HttpStatus.NOT_FOUND.value());
        errorDetail.setTitle("Resource Not Found");
        errorDetail.setDetail(rnfe.getMessage());
        errorDetail.setDeveloperMessage(rnfe.getClass().getName());

        return new ResponseEntity<>(errorDetail, null, HttpStatus.NOT_FOUND);
    }
}
```

To verify that our newly created handler works as expected, restart the `QuickPoll` application and submit a Postman request to a nonexistent poll with id 100. You should see an error response as shown in Figure 5-6.



Figure 5-6. ResourceNotFoundException error response

Input Field Validation

As a famous proverb goes, “Garbage in Garbage Out”; input field validation should be another area of emphasis in every application. Consider the scenario in which a client requests a new poll to be created but doesn’t include the poll question in the request. Figure 5-7 shows a Postman request with a missing question and the corresponding response. Make sure that you set the Content-Type header to “application/json” before firing the Postman request. From the response, you can see that the poll still gets created. Creating a poll with a missing question can result in data inconsistencies and other bugs.



Figure 5-7. Creating a poll with a missing question

Spring MVC provides two options for validating user input. In the first option, we create a validator that implements the `org.springframework.validation.Validator` interface. Then we inject this validator into a controller and invoke validator's `validate` method manually to perform validation. The second option is to use the JSR 303 validation, an API intended to simplify field validation in any layer of the application. Considering the simplicity and the declarative nature of the framework, we will be using JSR 303 validation framework in this book.

The JSR 303 and JSR 349 define specifications for the Bean Validation API (version 1.0 and 1.1, respectively). They provide a metadata model for JavaBean validation via a set of standardized validation constraints. Using this API, you annotate domain object properties with validation constraints such as `@NotNull` and `@Email`. Implementing frameworks enforce these constraints at runtime. In this book, we will be using Hibernate Validator, a popular JSR 303/349 implementation framework. Table 5-1 shows some of the out-of-the-box validation constraints available with Bean Validation API. Additionally, it is possible to define your own custom constraints.

Table 5-1. Bean Validation API constraints

Constraint	Description
NotNull	Annotated field must not have null value
Null	Annotated field must be null
Max	Annotated field value must be an integer value lower than or equal to the number specified in the annotation
Min	Annotated field value must be an integer value greater than or equal to the number specified in the annotation
Past	Annotated field must be a date in the past
Future	Annotated field must be a date in the future
Size	Annotated field must match the min and max boundaries specified in the annotation. For a field that is a Collection, the size of the Collection is matched against boundaries. For a String field, the length of the string is verified against boundaries
Pattern	Annotated field must match the regular expression specified in the annotation

To add validation capabilities to `QuickPoll`, we start by annotating the `Poll` class as shown in Listing 5-7. Because we want to make sure that each `Poll` has a question, we annotated the question field with an `@NotEmpty` annotation. The `org.hibernate.validator.constraints.NonEmpty` annotation is not part of JSR 303/349 API. Instead, it is part of Hibernate Validator; it ensures that the input string is not null and its length is greater than zero. Also, to make the experience of taking a poll simpler, we will restrict each poll to contain no fewer than two and no more than six options.

Listing 5-7. Poll class annotated with JSR 303 annotations

```
@Entity
public class Poll {

    @Id
    @GeneratedValue
    @Column(name="POLL_ID")
    private Long id;
```

```

@Column(name="QUESTION")
@NotEmpty
private String question;

@OneToMany(cascade=CascadeType.ALL)
@JoinColumn(name="POLL_ID")
@OrderBy
@Size(min=2, max = 6)
private Set<Option> options;

// Getters and Setters removed for brevity
}

```

We now move our attention to the `com.apspress.controller.PollController` and add an `@Valid` annotation to the `createPoll` method's `Poll` parameter, as shown in Listing 5-8. The `@Valid` annotation instructs Spring to perform data validation after binding the user-submitted data. Spring delegates the actual validation to a registered `Validator`. With Spring Boot adding JSR 303/JSR 349 and Hibernate validator jars to the class path, the JSR 303/JSR 349 is enabled automatically and will be used to perform the validation.

Listing 5-8. `PollController` annotated with `@Valid` annotations

```

@RequestMapping(value="/polls", method=RequestMethod.POST)
public ResponseEntity<?> createPoll(@Valid @RequestBody Poll poll) {
    poll = pollRepository.save(poll);

    // Set the location header for the newly created resource
    HttpHeaders responseHeaders = new HttpHeaders();
    URI newPollUri = ServletUriComponentsBuilder
        .fromCurrentRequest()
        .path("/{id}").buildAndExpand(poll.getId()).toUri();
    responseHeaders.setLocation(newPollUri);

    return new ResponseEntity<>(null, responseHeaders, HttpStatus.CREATED);
}

```

On repeating the Postman request with a missing question as we did in Figure 5-7, you will see the operation fail with an error code 400, as shown in Figure 5-8. From the error response, notice that Spring MVC completed validating the input. On not finding the required question field, it threw a `MethodArgumentNotValidException` exception.



Figure 5-8. Missing question resulting in error

Even though Spring Boot's error message is helpful, to be consistent with our QuickPoll error response that we designed in Listing 5-4, we will modify the `RestExceptionHandler` so that we can intercept a `MethodArgumentNotValidException` exception and return an appropriate `ErrorDetail` instance. While we were designing the QuickPoll error response, we came up with an `errors` field that can hold our validation errors. It is possible for a field to have one or more validation errors associated with it. For example, a missing question field in our Poll example would result in a "Field may not be null" validation error. In the same way, an empty email address could result in "Field may not be null" and "Field is not a well formed email" validation errors. Keeping these validation constraints in mind, Listing 5-9 shows a complete error response with the validation error examples. The `errors` object contains an unordered collection of key-value error instances. The error key represents the name of the resource feed that has validation errors. The error value is an array representing the validation error details. From Listing 5-9, we can see that `field1` contains one validation error and `field2` is associated with two validation errors. Each validation error itself is made up of code that represents the violated constraint and a message containing a human-readable error representation.

Listing 5-9. Validation error format

```
{
  "title" : "",
  "status" : "",
  "detail" : "",
  "timestamp" : "",
  "path" : "",
  "developerMessage" : "",
  "errors": {

    "field1" : [ {
      "code" : "NotNull",
      "message" : "Field1 may not be null"
    } ],
    "field2" : [ {
      "code" : "NotNull",
      "message" : "Field2 may not be null"
    },
    {
      "code" : "Email",
      "message" : "Field2 is not a well formed email"
    }
  ]
}
}
```

To represent the newly added validation error feature in the Java code, we created a new `com.apress.dto.error.ValidationError` class. Listing 5-10 shows the `ValidationError` class and updated `ErrorDetail` class. In order to generate the error response format shown in Listing 5-9, the `errors` field in `ErrorDetail` class is defined as a `Map` that accepts `String` instances as keys and `List of ValidationError` instances as values.

Listing 5-10. ValidationError and updated ErrorDetail classes

```

package com.apress.dto.error;

public class ValidationError {

    private String code;
    private String message;

    // Getters and Setters removed for brevity
}

public class ErrorDetail {

    private String title;
    private int status;
    private String detail;
    private long timeStamp;
    private String path;
    private String developerMessage;
    private Map<String, List<ValidationError>> errors = new HashMap<String,
List<ValidationError>>();

    // Getters and setters removed for brevity
}

```

The next step is to modify the `RestExceptionHandler` by adding a method that intercepts and processes the `MethodArgumentNotValidException` exception. Listing 5-11 shows the `handleValidationError` method implementation in `RestExceptionHandler`. We begin the method implementation by creating an instance of `ErrorDetail` and populating it. Then we use the passed-in exception parameter to obtain all the field errors and loop through the list. We created an instance of `ValidationError` for each field error and populated it with code and message information.

Listing 5-11. `handleValidationError` implementation

```

@ControllerAdvice
public class RestExceptionHandler {

    @ExceptionHandler(MethodArgumentNotValidException.class)
    public ResponseEntity<?> handleValidationError(MethodArgumentNotValidException
manve, HttpServletRequest request) {

        ErrorDetail errorDetail = new ErrorDetail();
        // Populate errorDetail instance
        errorDetail.setTimeStamp(new Date().getTime());
        errorDetail.setStatus(HttpStatus.BAD_REQUEST.value());
        String requestPath = (String) request.getAttribute("javax.servlet.error.
request_uri");
        if(requestPath == null) {
            requestPath = request.getRequestURI();
        }
    }
}

```



```

errorDetail.setTitle("Validation Failed");
errorDetail.setDetail("Input validation failed");
errorDetail.setDeveloperMessage(manve.getClass().getName());

// Create ValidationError instances
List<FieldError> fieldErrors = manve.getBindingResult().getFieldErrors();
for(FieldError fe : fieldErrors) {
    List<ValidationError> validationErrorList = errorDetail.getErrors().
get(fe.getField());
    if(validationErrorList == null) {
        validationErrorList = new ArrayList<ValidationError>();
        errorDetail.getErrors().put(fe.getField(),
            validationErrorList);
    }
    ValidationError validationError = new ValidationError();
    validationError.setCode(fe.getCode());
    validationError.setMessage(fe.getDefaultMessage());
    validationErrorList.add(validationError);
}

return new ResponseEntity<>(errorDetail, null, HttpStatus. BAD_REQUEST);
}

/** handleResourceNotFoundException method removed */
}

```

With this implementation in place, restart the QuickPoll application and submit a Poll with missing question. This will result in a status code of 400 with our custom error response, as shown in Figure 5-9.

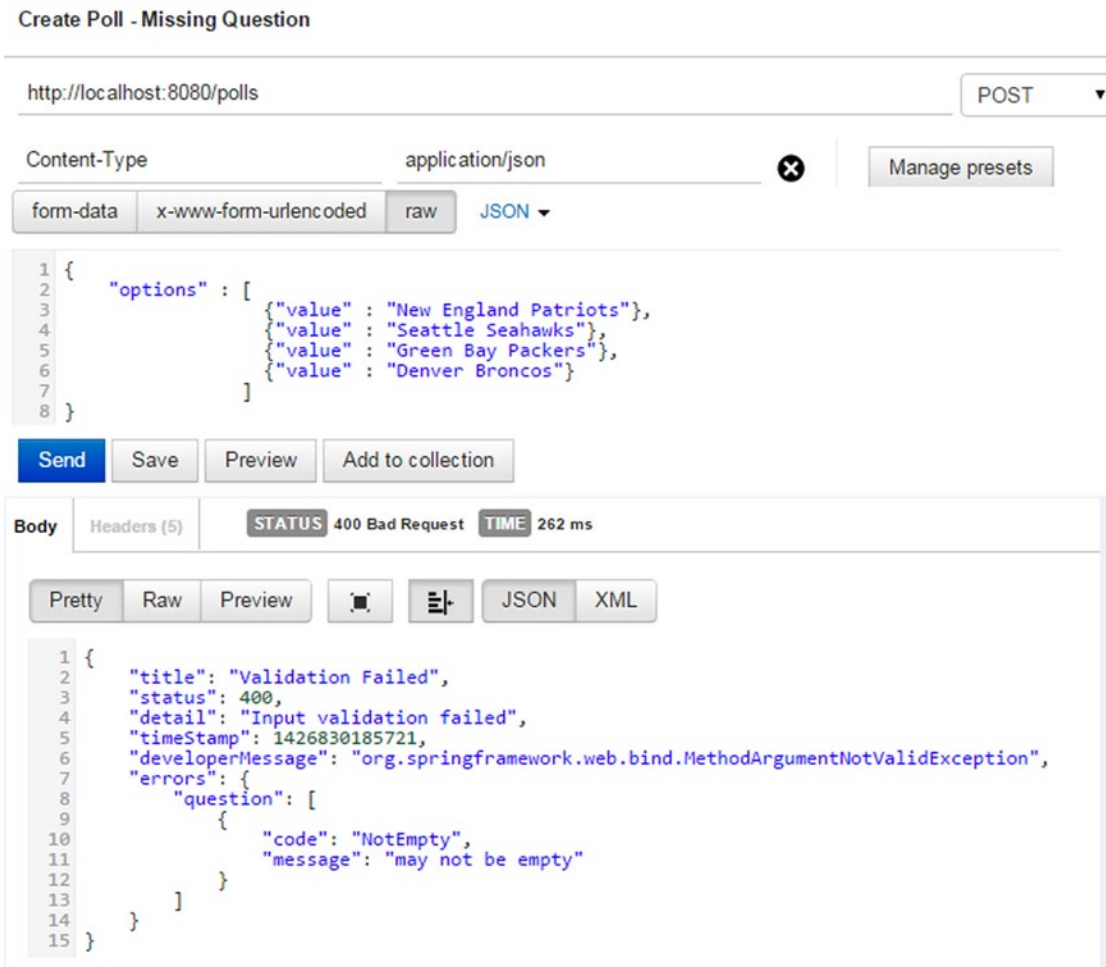


Figure 5-9. Validation error response

Externalizing Error Messages

We have made quite a bit of progress with our input validation and provided the client with descriptive error messages that can help them troubleshoot and recover from those errors. However, the actual validation error message may not be very descriptive and API developers might want to change it. It would be even better if they were able to pull this message from an external properties file. The property file approach not only simplifies Java code but also makes it easy to swap the messages without making code changes. It also sets the stage for future internationalization/localization needs. To achieve this, create a `messages.properties` file under the `src/main/resources` folder and add the following two messages:

```

NotEmpty.poll.question=Question is a required field
Size.poll.options=Options must be greater than {2} and less than {1}
    
```

As you can see, we are following the convention - <<Constraint_Name>>.model_name.field_Name for each key of the message. The model_name represents name of the Spring MVC's model object to which user submitted data is being bound. The name is typically provided using the @ModelAttribute annotation. In the scenarios in which this annotation is missing, the model name is derived using the parameter's nonqualified class name. The PollController's createPoll method takes a com.apress.domain.Poll instance as its model object. Hence, in this case, the model name will be derived as poll. If a controller were to take an instance of com.apress.domain.SomeObject as its parameter, the derived model name will be someObject. It is important to remember that Spring will not use the name of the method parameter as the model name.

The next step is to read the properties from the file and use them during the ValidationError instance creation. We do that by injecting an instance of MessageSource into the RestExceptionHandler class. Spring's MessageSource provides an abstraction to easily resolve messages. Listing 5-12 shows the modified source code for handleValidationError. Notice that we are using MessageResource's getMessage method to retrieve messages.

Listing 5-12. Reading messages from properties file

```
@ControllerAdvice
public class RestExceptionHandler {

    @Inject
    private MessageSource messageSource;

    @ExceptionHandler(MethodArgumentNotValidException.class)
    @ResponseStatus(HttpStatus.BAD_REQUEST)
    public @ResponseBody ErrorDetail handleValidationError(MethodArgumentNotValidException
manve, HttpServletRequest request) {

        ErrorDetail errorDetail = new ErrorDetail();
        // Populate errorDetail instance
        errorDetail.setTimeStamp(new Date().getTime());
        errorDetail.setStatus(HttpStatus.BAD_REQUEST.value());
        String requestPath = (String) request.getAttribute("javax.servlet.error.
request_uri");
        if(requestPath == null) {
            requestPath = request.getRequestURI();
        }
        errorDetail.setTitle("Validation Failed");
        errorDetail.setDetail("Input validation failed");
        errorDetail.setDeveloperMessage(manve.getClass().getName());

        // Create ValidationError instances
        List<FieldError> fieldErrors = manve.getBindingResult().getFieldErrors();
        for(FieldError fe : fieldErrors) {

            List<ValidationError> validationErrorList = errorDetail.getErrors().
get(fe.getField());
            if(validationErrorList == null) {
                validationErrorList = new ArrayList<ValidationError>();
                errorDetail.getErrors().put(fe.getField(),
validationErrorList);
            }
        }
    }
}
```

```

        ValidationError validationError = new ValidationError();
        validationError.setCode(fe.getCode());
        validationError.setMessage(messageSource.getMessage(fe, null));
        validationErrorList.add(validationError);
    }

    return errorDetail;
}
}
}

```

Restarting the QuickPoll application and submitting a poll with a missing question would result in the new validation error message as shown in Figure 5-10.

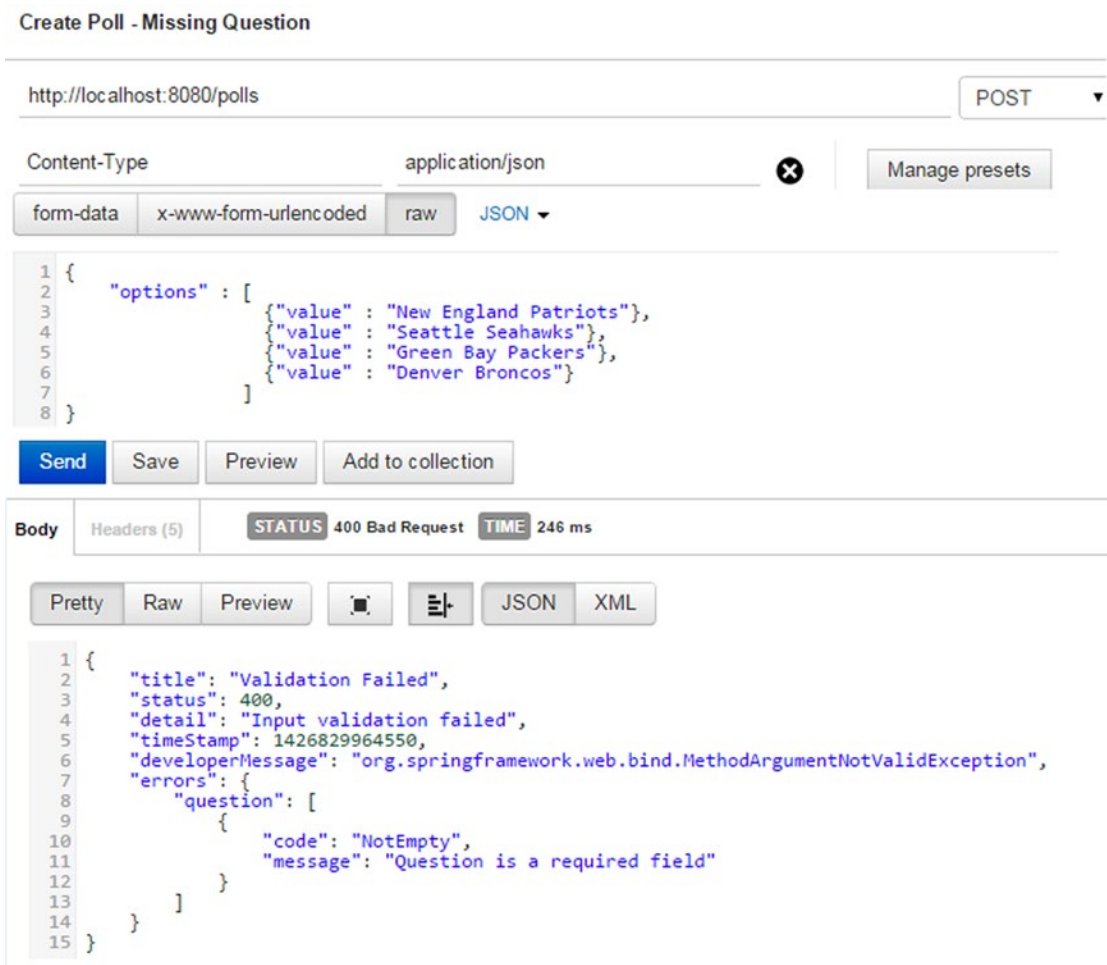


Figure 5-10. New validation error message

Improving RestExceptionHandler

By default, Spring MVC handles error scenarios such as not being able to read a malformed request or not finding a required request parameter by throwing a set of standard exceptions. However, Spring MVC doesn't write these standard exception details to the response body. To keep things consistent for our QuickPoll clients, it is important that Spring MVC standard exceptions are also handled in the same way and that we return the same error response format. A straightforward approach is to create a handler method for each exception in our RestExceptionHandler. A simpler approach is to have RestExceptionHandler class extend Spring's ResponseEntityExceptionHandler. The ResponseEntityExceptionHandler class contains a set of protected methods that handle standard exception and return a ResponseEntity instance containing error details.

Extending the ResponseEntityExceptionHandler class allows us to override the protected method associated with the exception and return an ErrorDetail instance. Listing 5-13 shows a modified RestExceptionHandler that overrides handleHttpRequestMessageNotReadable method. The method implementation follows the same pattern that we used before—create and populate an instance of ErrorDetail. Because the ResponseEntityExceptionHandler already comes with a handler method for MethodArgumentNotValidException, we have moved the handleValidationError method code to an overridden handleMethodArgumentNotValid method.

Listing 5-13. RestExceptionHandler handling malformed messages

```
@ControllerAdvice
public class RestExceptionHandler extends ResponseEntityExceptionHandler {

    @Override
    protected ResponseEntity<Object> handleHttpRequestMessageNotReadable(
        HttpRequestMessageNotReadableException ex, HttpHeaders headers,
        HttpStatus status, WebRequest request) {

        ErrorDetail errorDetail = new ErrorDetail();
        errorDetail.setTimestamp(new Date().getTime());
        errorDetail.setStatus(status.value());
        errorDetail.setTitle("Message Not Readable");
        errorDetail.setDetail(ex.getMessage());
        errorDetail.setDeveloperMessage(ex.getClass().getName());

        return handleExceptionInternal(ex, errorDetail, headers, status, request);
    }

    @Override
    public ResponseEntity<Object> handleMethodArgumentNotValid(MethodArgumentNot
ValidException manve, HttpHeaders headers, HttpStatus status, WebRequest request) {

        // implementation removed for brevity

        return handleExceptionInternal(manve, errorDetail, headers, status, request);
    }
}
```

Let's quickly verify our implementation by submitting a nonreadable message (such as removing a , from the JSON request body) using Postman. You should see a response as shown in Figure 5-11.



Figure 5-11. Not Readable message error

Summary

In this chapter, we designed and implemented an error response format for Spring MVC-based REST applications. We also looked at validating user input and returning error messages that are meaningful to API consumers. In the next chapter, we will look at strategies for documenting REST services using the Swagger framework.

CHAPTER 6



Documenting REST Services

In this chapter we will discuss:

- The basics of Swagger
- Using Swagger for API documentation
- Customizing Swagger

Documentation is an important aspect of any project. This is especially true for enterprise and open source projects, where many people collaborate to build the project. In this chapter, we will look at Swagger, a tool that simplifies REST API documentation.

Documenting a REST API for consumers to use and interact with is a difficult task because there are no real established standards. Organizations have historically relied on manually edited documents to expose REST contracts to clients. With SOAP-based Web services, a WSDL serves as a contract for the client and provides a detailed description of the operations and associated request/response payloads. The WADL, or Web Application Description Language, specification tried to fill this gap in the REST Web services world, but it didn't get a lot of adoption. In recent years, there has been a growth in the number of metadata standards such as Swagger, Apiary, and iODocs for describing REST services. Most of them grew out of the need to document APIs, thereby expanding an API's adoption.

Swagger

Swagger (<http://swagger.io>) is a specification and a framework for creating interactive REST API documentation. It enables documentation to be in sync with any changes made to REST services. It also provides a set of tools and SDK generators for generating API client code. Swagger was originally developed by Wordnik in early 2010 and is currently backed by SmartBear software.

Swagger is a language-agnostic specification with implementations available for a variety of languages such as Java, Scala, and PHP. A full description of the 1.2 specification can be found at <https://github.com/swagger-api/swagger-spec/blob/master/versions/1.2.md>. The specification is made up of two file types—a resource listing file and a set of API declaration files that describe the REST API and the available operations.

The resource listing file referred to by the name “api-docs” is the root document for describing the API. It contains general information about the API such as the API version, title, description, and license. As the name suggests, the resource listing file also contains all of the API resources available in the application. Listing 6-1 shows a sample resource listing file for a hypothetical REST API. Notice that Swagger uses JSON as its description language. From the `apis` array in Listing 6-1, you can see that the resource listing file has two API resources declared, namely, `products` and `orders`. The URIs `/default/products` and `/default/orders`

allow you to access the resource's API declaration file. Swagger allows grouping of its resources; by default, all resources are grouped under the default group and, hence, the "/default" in the URI. The info object contains the contact and licensing information associated with the API.

Listing 6-1. Sample resource file

```
{
  "apiVersion": "1.0",
  "swaggerVersion": "1.2"
  "apis": [
    {
      "description": "Endpoint for Product management",
      "path": "/default/products"
    },
    {
      "description": "Endpoint for Order management",
      "path": "/default/orders"
    }
  ],
  "authorizations": { },
  "info" : {
    "contact": "contact@test.com",
    "description": "Api for an ecommerce application",
    "license": "Apache 2.0",
    "licenseUrl": "http://www.apache.org/licenses/LICENSE-2.0.html",
    "termsOfServiceUrl": "Api terms of service",
    "title": "ECommerce App"
  }
}
```

An API declaration file describes a resource along with the API operations and request/response representations. Listing 6-2 shows a sample API declaration file for the products resource and will be served at the URI /default/products. The basePath field provides the root URI serving the API. The resourcePath specifies the resource path relative to the basePath. In this case, we are specifying that the product's REST API is accessible at <http://server:port/products>. The apis field contains API objects that describe an API operation. Listing 6-2 describes one API operation called createProduct and its associated HTTP method, the media type of the messages consumed/produced and API responses. The models field contains any model objects associated with the resource. Listing 6-2 shows a product model object associated with a product resource.

Listing 6-2. Sample products API declaration file at /default/products

```

{
  "apiVersion": "1.0",
  "swaggerVersion": "1.2"
  "basePath": "/",
  "resourcePath": "/products",
  "apis": [
    {
      "description": "createProduct",
      "operations": [
        {
          "method": "POST",
          "produces": [ "application/json" ],
          "consumes": [ "application/json" ],
          "parameters": [ { "allowMultiple": false} ],
          "responseMessages": [
            {
              "code": 200,
              "message": null,
              "responseModel": "object"
            }
          ]
        }
      ],
      "path": "/products"
    }
  ],
  "models": {
    "Product": {
      "description": "",
      "id": "Product",
      "properties": { }
    }
  }
}

```

■ **Note** In our hypothetical example, Swagger expects the API declaration file for the products resource to reside at the "/default/products" URI. This should not be confused with the actual REST API location for accessing the products resource. In this example, the declaration file indicates that the products resource is accessible at <http://server:port/products> URI.

Integrating Swagger

Integrating Swagger involves creating the “api-docs” resource listing file and a set of API declaration files describing API’s resources. Instead of handcoding these files, there are several Swagger and community-owned projects that integrate with existing source code and automatically generate these files. Swagger-springmvc is one such framework that simplifies Swagger integration with Spring MVC based projects. We begin the Swagger integration with QuickPoll application by adding the `swagger-springmvc` Maven dependency shown in Listing 6-3 in the `pom.xml` file.

■ **Note** We continue our tradition of building on the work we did on the QuickPoll application in previous chapters. You can also use the starter project available at `Chapter6\starter` folder of the downloaded source code. The completed solution is available under the `Chapter6\final` folder.

Listing 6-3. Swagger-springmvc dependency

```
<dependency>
  <groupId>com.mangofactory</groupId>
  <artifactId>swagger-springmvc</artifactId>
  <version>1.0.2</version>
</dependency>
```

The next step is to enable `swagger-springmvc`. This is done by adding the `@EnableSwagger` annotation to the `QuickPollApplication` class as shown in Listing 6-4.

Listing 6-4. Enable `swagger-springmvc`

```
package com.apress;
import com.mangofactory.swagger.plugin.EnableSwagger;

@SpringBootApplication
@EnableSwagger
public class QuickPollApplication {
    public static void main(String[] args) {
        SpringApplication.run(QuickPollApplication.class, args);
    }
}
```

With this minimal configuration in place, run the QuickPoll application and launch the URI `http://localhost:8080/api-docs`. You should see the resource listing file as shown in Figure 6-1. Navigate to the URI `http://localhost:8080/api-docs/default/poll-controller` to view the poll resource’s API declaration.

```

{
  apiVersion: "1.0",
  apis: [
    {
      description: "Basic Error Controller",
      path: "/default/basic-error-controller",
      position: 0
    },
    {
      description: "Compute Result Controller",
      path: "/default/compute-result-controller",
      position: 0
    },
    {
      description: "Poll Controller",
      path: "/default/poll-controller",
      position: 0
    },
    {
      description: "Vote Controller",
      path: "/default/vote-controller",
      position: 0
    }
  ],
  authorizations: { },
  info: {
    contact: "Contact Email",
    description: "Api Description",
    license: "Licence Type",
    licenseUrl: "License URL",
    termsOfServiceUrl: "Api terms of service",
    title: "default Title"
  },
  swaggerVersion: "1.2"
}

```

Figure 6-1. QuickPoll resource listing file

Swagger UI

The resource listing and API declaration files act as a valuable resource for understanding a REST API. Swagger UI is a subproject of Swagger that takes these files and automatically generates pleasant, intuitive interface for interacting with API. Using this interface, both technical and nontechnical folks can test REST services by submitting requests and see how those services respond. The Swagger UI is built using HTML, CSS, and JavaScript, and doesn't have any other external dependencies. It can be hosted in any server environment or can even run from your local machine.

To integrate Swagger UI in our QuickPoll application, we download the stable version of Swagger UI from the project's GitHub site at: <https://github.com/swagger-api/swagger-ui>. In this book we are using version 2.0.24, available at <https://github.com/swagger-api/swagger-ui/tree/v2.0.24>. Clone or download the repo on to your local machine. Move the contents of the `dist` folder that is in the cloned/downloaded code to a newly created `swagger-ui` folder under the `quick-poll` project's `src\main\resources\static` folder. The QuickPoll project, in the `chapter6\final` folder, has the fully configured `swagger-ui` assets available for you to use without having to download them from GitHub. Out of the box, Spring Boot will automatically serve any static content residing under the `static` folder. Hence, no configuration changes are required on the Spring Boot end. However, by default, the Swagger UI comes with a hardcoded reference to a Swagger Petstore service. To make the Swagger UI use our QuickPoll service, open the `index.html` file and modify the `url` variable to point to `http://localhost:8080/api-docs`, as shown in Listing 6-5.

Listing 6-5. Modified `index.html` file

```
$(function () {
  window.swaggerUi = new SwaggerUi({
    url: "http://localhost:8080/api-docs",
    dom_id: "swagger-ui-container",
    // code removed for brevity
  })
})
```

With these modifications, we are ready to launch Swagger UI. Run the `quick-poll` application and navigate to the URL `http://localhost:8080/swagger-ui/index.html`. You should see QuickPoll Swagger UI, as shown in Figure 6-2.

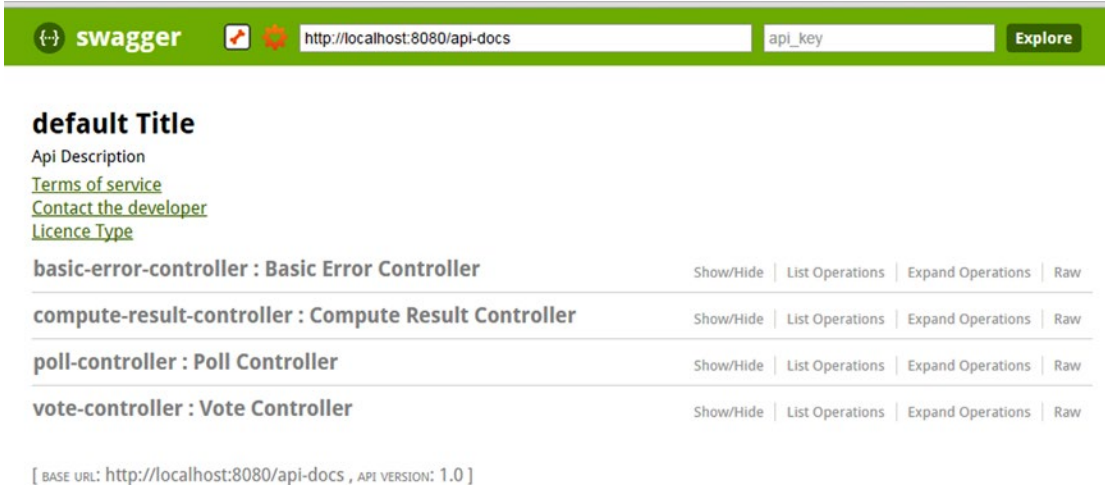


Figure 6-2. QuickPoll Swagger UI

Using the UI, you should be able to perform operations such as creating polls and read all polls.

Customizing Swagger

In the previous sections, you have seen that with minimal configuration we were able to create interactive documentation using Swagger. Additionally, this documentation would automatically update itself when we make changes to our services. However, you will notice that out of the box, the title, and the API descriptions are not very intuitive. Also, the URLs such as “Terms of Service,” “Contact the Developer,” and so on don’t work. As you explore the UI, the Response classes such as Poll and Vote are not visible in the Swagger UI and the user has to end up guessing what the return type for the operations are going to be.

Swagger Springmvc provides a convenient builder named `SwaggerSpringMvcPlugin` for customizing and configuring Swagger. The `SwaggerSpringMvcPlugin` provides convenient methods and sensible defaults, but itself uses the `SpringSwaggerConfig` class to perform the actual configuration. We begin our Swagger customization by creating a `SwaggerConfig` class under the `com.apress` package in our QuickPoll application. Populate the newly created class with the contents of Listing 6-6.

Listing 6-6. Custom Swagger implementation

```
package com.apress;

import javax.inject.Inject;
import org.springframework.context.annotation.Bean;
import org.springframework.context.annotation.Configuration;
import com.mangofactory.swagger.configuration.SpringSwaggerConfig;
import com.mangofactory.swagger.models.dto.ApiInfo;
import com.mangofactory.swagger.models.dto.builder.ApiInfoBuilder;
import com.mangofactory.swagger.plugin.EnableSwagger;
import com.mangofactory.swagger.plugin.SwaggerSpringMvcPlugin;

@Configuration
@EnableSwagger
public class SwaggerConfig {

    @Inject
    private SpringSwaggerConfig springSwaggerConfig;

    @Bean
    public SwaggerSpringMvcPlugin configureSwagger() {
        SwaggerSpringMvcPlugin swaggerSpringMvcPlugin = new
        SwaggerSpringMvcPlugin(this.springSwaggerConfig);

        ApiInfo apiInfo = new ApiInfoBuilder()
            .title("QuickPoll REST API")
            .description("QuickPoll Api for creating and managing
            polls")
            .termsOfServiceUrl("http://example.com/terms-of-service")
            .contact("info@example.com")
            .license("MIT License")
            .licenseUrl("http://opensource.org/licenses/MIT")
            .build();

        swaggerSpringMvcPlugin.apiInfo(apiInfo)
            .apiVersion("1.0");

        return swaggerSpringMvcPlugin;
    }
}
```

The `SwaggerConfig` class is annotated with `@Configuration` indicating that it contains one or more Spring Bean configurations. We also moved the `@EnableSwagger` annotation from the `QuickPollApplication` class to `SwaggerConfig` in order to centralize all Swagger-related configuration in one location. Because the `SwaggerSpringMvcPlugin` relies on the framework's `SpringSwaggerConfig`, we inject an instance of `SpringSwaggerConfig` for later use. The `SpringSwaggerConfig` is a Spring-managed bean that gets instantiated during Spring's component scanning in JAR files.

The `configureSwagger` method contains the meat of our Swagger configuration. The method is annotated with `@Bean`, indicating to Spring that the return value is a Spring bean and needs to be registered within a `BeanFactory`. The Swagger Springmvc framework picks up this bean and customizes Swagger. We begin the method implementation by creating an instance of `SwaggerSpringMvcPlugin`. Then, using the `ApiInfoBuilder`, we create an `ApiInfo` object containing the title, description, contact, and license information associated with the QuickPoll application. Finally, we pass the created `apiInfo` and `apiVersion` information to the `SwaggerSpringMvcPlugin` instance and return it.

■ **Note** It is possible to have multiple methods producing `SwaggerSpringMvcPlugin` beans. Each `SwaggerSpringMvcPlugin` would result in a separate resource listing. This is useful in situations in which you have the same Spring MVC application that serves more than one API or multiple versions of the same API.

With the new `SwaggerConfig` class added, run the QuickPoll application and navigate to `http://localhost:8080/swagger-ui/index.html`. You will see the changes reflected in our UI as shown in Figure 6-3.

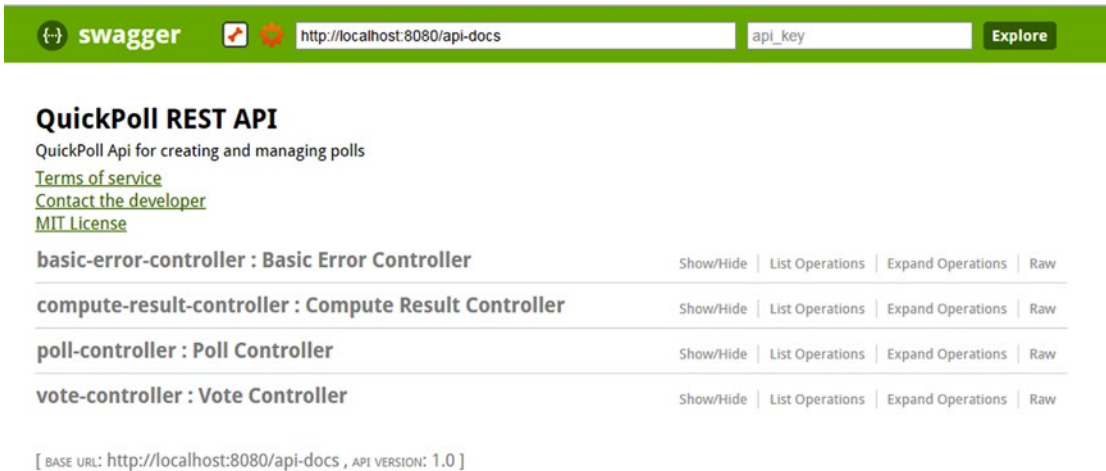


Figure 6-3. Updated QuickPoll Swagger UI

From Figure 6-3, you will notice that in addition to the three QuickPoll REST endpoints, there is a Spring Boot's `/error` endpoint. Because this endpoint really doesn't serve any purpose, let's hide it from our API documentation. To accomplish this, we will use the `SwaggerSpringMvcPlugin` class's handy `includePattern` method. The `includePattern` method allows us to specify which request mappings should be included in the resource listing. Listing 6-7 shows the updated portion of the `SwaggerConfig`'s `configureSwagger` method. The `includePattern` method by default takes regular expressions and, in our case, we explicitly listed all three endpoints we would like to include.

Listing 6-7. configureSwagger method with includePatterns

```
swaggerSpringMvcPlugin
    .apiInfo(apiInfo)
    .apiVersion("1.0")
    .includePatterns("/polls/*.*", "/votes/*.*", "/computerresult/*.*");
```

Rerun the QuickPoll application and you will see the Spring Boot's error controller no longer appearing in the documentation.

Configuring Controllers

Swagger Core provides a set of annotations that makes it easy to customize controller documentation. In this section we will customize the `PollController`, but the same principles apply to other REST controllers. The downloaded code in `Chapter6\final` has the complete customization of all controllers.

We begin by annotating the `PollController` with the `@Api` annotation as shown in Listing 6-8. The `@Api` annotation marks a class as a Swagger resource. Swagger scans classes annotated with `@Api` to read metadata required for generating resource listing and API declaration files. Here we are indicating that the documentation associated with the `PollController` will be hosted at `/polls`. Remember that out of the box, Swagger used the Class name and generated URI `poll-controller` (`http://localhost:8080/swagger-ui/index.html#!/poll-controller`) to host the documentation. With our change, the `PollController` Swagger documentation is accessible at `http://localhost:8080/swagger-ui/index.html#!/polls`. Using the `@Api` annotation, we have also provided the description associated with our Poll API.

Listing 6-8. `@Api` annotation in action

```
import com.wordnik.swagger.annotations.Api;

@RestController
@Api(value = "polls", description = "Poll API")
public class PollController {
    // Implementation removed for brevity
}
```

Run the QuickPoll application and, on navigating to Swagger UI at `http://localhost:8080/swagger-ui/index.html`, you will notice the updated URI path and description as shown in Figure 6-4.

polls : Poll API Show/Hide | List Operations

Figure 6-4. Updated poll endpoint

Now we will move on to the API operation customization using the `@ApiOperation` annotation. This annotation allows us to customize the operation information such as name, description, and response. Listing 6-9 shows the `@ApiOperation` applied to the `createPoll`, `getPoll`, and `getAllPolls` methods. We use the `value` attribute to provide a brief description of the operation. Swagger recommends limiting this field to 120 characters. The `notes` field can be used to provide more descriptive information about the operation.

Listing 6-9. @ApiOperation annotated methods

```

import com.wordnik.swagger.annotations.ApiOperation;

@RequestMapping(value="/polls", method=RequestMethod.POST)
@ApiOperation(value = "Creates a new Poll", notes="The newly created poll Id will be sent in
the location response header", response = Void.class)
public ResponseEntity<Void> createPoll(@Valid @RequestBody Poll poll) {
    .....
}

@RequestMapping(value="/polls/{pollId}", method=RequestMethod.GET)
@ApiOperation(value = "Retrieves a Poll associated with the pollId", response=Poll.class)
public ResponseEntity<?> getPoll(@PathVariable Long pollId) {
    .....
}

@RequestMapping(value="/polls", method=RequestMethod.GET)
@ApiOperation(value = "Retrieves all the polls", response=Poll.class,
responseContainer="List")
public ResponseEntity<Iterable<Poll>> getAllPolls() {
    .....
}

```

The `createPoll` method on successful completion sends an empty body and a status code 201 to the client. However, because we are returning a `ResponseEntity`, Swagger is not able to figure out the right response model. We fix this using `ApiOperation`'s `response` attribute and setting it to `Void.class`. We also changed the method return type from `ResponseEntity<?>` to `ResponseEntity<Void>` to make our intent more clear.

The `getPoll` method returns a poll associated with the passed in `pollId` parameter. Hence, we set the `ApiOperation`'s `response` attribute to `Poll.class`. Because the `getAllPolls` method returns a collection of `Poll` instances, we have used the `responseContainer` attribute and set its value to `List`.

With these annotations added, rerun and launch `QuickPoll` application's Swagger UI to verify that the descriptions, response model, and notes sections are changed. For example, click the "polls" link next to "Poll API" to expand the `PollController`'s operations. Then click the `"/polls/{pollId}"` link next to GET to see the response model associated with `getPoll` method. Figure 6-5 shows this updated response model.



Figure 6-5. *GetPoll method's updated model*

The `@ApiOperation` we used earlier allows us to specify an operation's default return type. As we have seen throughout the book, a well-defined API uses additional status codes and Swagger provides the `@ApiResponse` annotation to configure the codes and associated response body. Listing 6-10 shows the `createPoll` method annotated with `@ApiResponse` for status code 201 and 500. Swagger requires us to place all the `@ApiResponse` annotations inside a wrapper `@ApiResponses` annotation. With the status code 201, we have added notes indicating how to retrieve the newly created poll ID. With the status code 500, we have indicated that the response body will contain an `ErrorDetail` instance.

Listing 6-10. `@ApiResponse` annotations

```

import com.wordnik.swagger.annotations.ApiResponse;
import com.wordnik.swagger.annotations.ApiResponses;

@RequestMapping(value="/polls", method=RequestMethod.POST)
    @ApiOperation(value = "Creates a new Poll", notes="The newly created poll Id will be
sent in the location response header", response = Void.class)
    @ApiResponses(value = {@ApiResponse(code=201, message="Poll Created Successfully",
response=Void.class),
        @ApiResponse(code=500, message="Error creating Poll",
response=ErrorDetail.class) } )
    public ResponseEntity<Void> createPoll(@Valid @RequestBody Poll poll) {
        // Content removed for brevity
    }
}

```

Run the QuickPoll application and navigate to Swagger UI. Click the “polls” link next to “Poll API” to expand the PollController’s operations. Then click the “/polls” link next to POST to see the updated notes and ErrorDetail model schema. Figure 6-6 shows the expected output.

Response Messages		
HTTP Status Code	Reason	Response Model
200		
201	Poll Created Successfully	
401	Unauthorized	
403	Forbidden	
404	Not Found	
500	Error creating Poll	<div style="display: flex; justify-content: space-between;"> Model Model Schema </div> <pre style="background-color: #fff9c4; padding: 10px;"> { "title": "", "status": 0, "detail": "", "timestamp": 0, "developerMessage": "", "errors": [{ "key": [{ "code": "", "message": "" }] }] } </pre>

Try it out!

Figure 6-6. Modified response messages

A quick glance at Figure 6-6 shows that we have more response messages than configured. This is because Swagger out of the box adds a set of default response messages for each HTTP method. This behavior can be disabled using the `useDefaultResponseMessages` method in the `SwaggerSpringMvcPlugin` class as shown in Listing 6-11.

Listing 6-11. Ignore default response messages

```
public class SwaggerConfig {
    @Inject
    private SpringSwaggerConfig springSwaggerConfig;

    @Bean
    public SwaggerSpringMvcPlugin configureSwagger() {
        // Content removed

        swaggerSpringMvcPlugin.useDefaultResponseMessages(false);
        return swaggerSpringMvcPlugin;
    }
}
```

Run the QuickPoll application and repeat these steps to view the response messages associated with the POST operation on “/polls” URI. As shown in Figure 6-7, the default response messages are no longer displayed.

Response Messages

HTTP Status Code	Reason
200	
201	Poll Created Successfully
500	Error creating Poll

Figure 6-7. Updated response messages

In addition to the configuration options we looked at, Swagger provides the following annotations to configure model objects:

- `@ApiModelProperty`—Annotation that allows changing the name of the model or providing a description to the associated model
- `@ApiModelPropertyProperty`—Annotation that can be used to provide property description, list of allowed values and to indicate if it is required or not

Configuring UI

Because we are bundling the Swagger UI HTML/CSS/JS assets with the application, any needed look and feel changes can be performed by directly editing those files. In this section, we will change the name in the header from Swagger to QuickPoll. We will also change the URL to which the header text points and remove the “Toolbox” and “Settings” icon next to the text. To do this, open the `index.html` file under `src\main\resources\static\swagger-ui` and replace the `swagger-ui-wrap` div contents with the contents seen in Listing 6-12.

Listing 6-12. Modified `swagger-ui-wrap` contents

```
<a id="logo" href="http://localhost:8080">QuickPoll</a>
  <form id='api_selector'>
    <div class='input'><input placeholder="http://example.com/api" id="input_baseUrl"
name="baseUrl" type="text"/></div>
    <div class='input'><input placeholder="api_key" id="input_apiKey" name="apiKey"
type="text"/></div>
    <div class='input'><a id="explore" href="#">Explore</a></div>
  </form>
```

Restart the QuickPoll application and navigate to Swagger UI in your browser. The UI should reflect our changes and should resemble Figure 6-8.

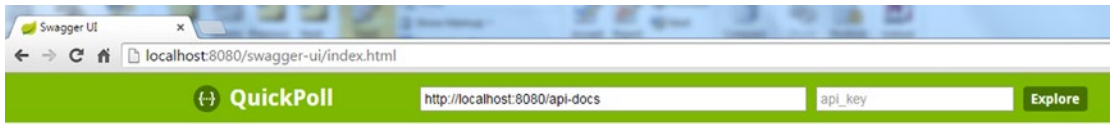


Figure 6-8. Updated Swagger UI

Summary

Documentation plays an important role in understanding and consuming a REST API. In this chapter, we reviewed the basics of Swagger and integrated it with a QuickPoll application to generate interactive documentation. We also looked at customizing Swagger to meet our application-specific needs.

In the next chapter, we will look at techniques for versioning REST API and implementing paging and sorting capabilities.

CHAPTER 7



Versioning, Paging, and Sorting

In this chapter we will discuss:

- Strategies for versioning REST services
- Adding pagination capabilities
- Adding sorting capabilities

We all are familiar with the famous proverb “the only thing constant in life is change.” This applies to software development. In this chapter we will look at versioning our API as a way to handle such changes. Additionally, dealing with large datasets can be problematic especially when mobile clients are involved. Large datasets can also result in server overload and performance issues. To handle this, we will employ paging and sorting techniques and send data in manageable chunks.

Versioning

As user requirements and technology change, no matter how planned our design, we will end up changing our code. This will involve making changes to REST resources by adding, updating, and sometimes removing attributes. Although the crux of the API—read, create, update, and remove one or more resources—remains the same, this could result in such drastic changes to the representation that it may break any existing consumers. Similarly, changes to functionality such as securing our services and requiring authentication or authorization can break existing consumers. Such major changes typically call for new versions of the API.

In this chapter, we will be adding paging and sorting functionality to our QuickPoll API. As you will see in later sections, this change will result in changes to the representations returned for some of the GET HTTP methods. Before we version our QuickPoll API to handle paging and sorting, let’s look at some approaches for versioning.

Versioning Approaches

There are four popular approaches to versioning a REST API:

- URI versioning
- URI parameter versioning
- Accept header versioning
- Custom header versioning

None of these approaches are silver bullets and each has its fair share of advantages and disadvantages. In this section we will look at these approaches along with some real-world public APIs that use them.

URI Versioning

In this approach, version information becomes part of the URI. For example, `http://api.example.org/v1/users` and `http://api.example.org/v2/users` represent two different versions of an application API. Here we use `v` notation to denote versioning and the numbers 1 and 2 following the `v` indicate the first and second API versions.

URI versioning has been one of the most commonly used approaches and is used by major public APIs such as Twitter, LinkedIn, Yahoo, and Salesforce. Here are some examples:

- LinkedIn: <https://api.linkedin.com/v1/people/~>
- Yahoo: <https://social.yahooapis.com/v1/user/12345/profile>
- Salesforce: <http://na1.salesforce.com/services/data/v26.0>
- Twitter: https://api.twitter.com/1.1/statuses/user_timeline.json
- Twilio: <https://api.twilio.com/2010-04-01/Accounts/{AccountSid}/Calls>

As you can see, LinkedIn, Yahoo, and Salesforce use the `v` notation. In addition to a major version, Salesforce uses a minor version as part of its URI version. Twilio, by contrast, takes a unique approach and uses a timestamp in the URI to differentiate its versions.

Making a version part of the URI is very appealing as the version information is right in the URI. It also simplifies API development and testing. Folks can easily browse and use different versions of REST services via a Web browser. On the contrary, this might make client's life difficult. For example, consider a client storing references to user resources in its database. On switching to a new version, these references get outdated and the client has to do a mass database update to upgrade references to new version.

URI Parameter Versioning

This is similar to the URI versioning that we just looked at except that the version information is specified as a URI request parameter. For example, the URI `http://api.example.org/users?v=2` uses the version parameter `v` to represent the second version of the API. The version parameter is typically optional and a default version of the API will continue working for requests without version parameter. Most often, the default version is the latest version of the API.

Although as not popular as other versioning strategies, a few major public APIs such as Netflix have used this strategy. The URI parameter versioning shares the same disadvantages of URI versioning. Another disadvantage is that some proxies don't cache resources with a URI parameter, resulting in additional network traffic.

Accept Header Versioning

This versioning approach uses the Accept header to communicate version information. Because the header contains version information, there will be only one URI for multiple versions of API.

Up to this point, we have used standard media types such as `application/json` as part of the Accept header to indicate the type of content the client expects. To pass additional version information, we need a custom media type. The following convention is popular when creating a custom media type:

```
vnd.product_name.version+ suffix
```

The `vnd` is the starting point of the custom media type and indicates vendor. The product or producer name is the name of the product and distinguishes this media type from other custom product media types. The version part is represented using strings such as `v1` or `v2` or `v3`. Finally, the suffix is used to specify the

structure of the media type. For example, the `+json` suffix indicates a structure that follows the guidelines established for media type "application/json". RFC 6389 (<https://tools.ietf.org/html/rfc6839>) gives a full list of standardized prefixes such as `+xml`, `+json`, and `+zip`. Using this approach, a client, for example, can send an `application/vnd.quickpoll.v2+json` accept header to request the second version of the API.

The Accept header versioning approach is becoming more and more popular as it allows fine-grained versioning of individual resources without impacting the entire API. This approach can make browser testing harder as we have to carefully craft the Accept header. GitHub is a popular public API that uses this Accept header strategy. For requests that don't contain any Accept header, GitHub uses the latest version of the API to fulfill the request.

Custom Header Versioning

The custom header versioning approach is similar to the Accept header versioning approach except that, instead of the Accept header, a custom header is used. Microsoft Azure takes this approach and uses the custom header `x-ms-version`. For example, to get the latest version of Azure at the time of writing this book, your request needs to include a custom header:

```
x-ms-version: 2014-02-14
```

This approach shares the same pros and cons as that of the Accept header approach. Because the HTTP specification provides a standard way of accomplishing this via the Accept header, the custom header approach hasn't been widely adopted.

Deprecating an API

As you release new versions of an API, maintaining older versions becomes cumbersome and can result in maintenance nightmares. The number of versions to maintain and their longevity depends on the API user base, but it is strongly recommended to maintain at least one older version.

API versions that will no longer be maintained need to be deprecated and eventually retired. It is important to remember that deprecation is intended to communicate that the API is still available but will cease to exist in future. API users should be given plenty of notice about deprecation so that they can migrate to newer versions.

QuickPoll Versioning

In this book, we will be using the URI versioning approach to version the QuickPoll REST API.

Implementing and maintaining different versions of an API can be difficult, as it generally complicates code. We want to make sure that changes in one version of code don't impact other versions of the code. To improve maintainability, we want to make sure that we avoid code duplication as much as possible. Here are two approaches for organizing code to support multiple API versions:

- **Complete code replication**—In this approach, you replicate the entire code base and maintain parallel code paths for each version. Popular API builder Apigility takes this approach and clones the entire code base for each new version. This approach makes it easy to make code changes that wouldn't impact other versions. It also makes it easy to switch backend datastores. This would also allow each version to become a separate deployable artifact. Although this approach provides a lot of flexibility, we will be duplicating the entire code base.

- Version specific code replication—In this approach, we only replicate the code that is specific to each version. Each version can have its own set of controllers and request/response DTO objects but will reuse most of the common service and backend layers. For smaller applications, this approach can work well as version-specific code can simply be separated into different packages. Care must be taken when making changes to the reused code, as it might have impact on multiple versions.

Spring MVC makes it easy to version a QuickPoll application using the URI versioning approach. Considering that versioning plays a crucial role in managing changes, it is important that we version as early as possible in the development cycle. Hence, we will assign a version `v1` to all of the QuickPoll services that we have developed so far. To support multiple versions, we will follow the second approach and create a separate set of controllers.

■ **Note** In this chapter we will continue building on the work that we did on the QuickPoll application in previous chapters. Alternatively, a starter project inside the `Chapter7\starter` folder of the downloaded source code is available for you to use. The completed solution is available under the `Chapter7\final` folder. Please refer to this solution for complete listings containing getters/setters and additional imports. The downloaded `Chapter7` folder also contains an exported Postman collection containing REST API requests associated with this chapter.

We begin the versioning process by creating two packages `com.apress.v1.controller` and `com.apress.v2.controller`. Move all of the controllers from the `com.apress.controller` package to the `com.apress.v1.controller`. To each controller in the new `v1` package, add a class level `@RequestMapping("/v1/")` annotation. Because we will have multiple versions of controllers, we need to give unique component names to individual controllers. We will follow the convention of appending version number to the *unqualified* class name to derive our component name. Using this convention, the `v1 PollController` will have a component name `pollControllerV1`.

Listing 7-1 shows the portion of the `PollController` class with these modifications. Notice that the component name is provided as a value to the `@RestController` annotation. Similarly, assign the component name `voteControllerV1` to the `v1 VoteController` and `computeResultControllerV1` to the `v1 ComputeResultController`.

Listing 7-1. Version one of the poll controller

```
package com.apress.v1.controller;

import org.springframework.web.bind.annotation.RequestMapping;

@RestController("pollControllerV1")
@RequestMapping("/v1/")
@Api(value = "polls", description = "Poll API")
public class PollController {

}
```

■ **Note** Even though the behavior and code of `VoteController` and `ComputeResultController` don't change across versions, we are copying the code to keep things simple. In real-world scenarios, refactor code into reusable modules or use inheritance to avoid code duplication.

With the class level `@RequestMapping` annotation in place, all of the URIs in the `v1 PollController` become relative to `/v1/`. Restart the QuickPoll application and, using Postman, verify that you can create a new Poll at the new <http://localhost:8080/v1/polls> endpoint.

To create the second version of the API, copy all of the controllers from the `v1` package to the `v2` package. Change the class-level `RequestMapping` value from `/v1/` to `/v2/` and the component name suffix from `"V1"` to `"V2"`. Listing 7-2 shows the modified portions of the `V2` version of the `PollController`. Because the `v2 PollController` is a copy of the `v1 PollController`, we have omitted the `PollController` class implementation from Listing 7-2.

Listing 7-2. Version two of the poll controller

```
@RestController("pollControllerV2")
@RequestMapping("/v2/")
@Api(value = "polls", description = "Poll API")
public class PollController {
    // Code copied from the v1 Poll Controller
}
```

Once you have completed modifications for the three controllers, restart the QuickPoll application and, using Postman, verify that you can create a new poll using the <http://localhost:8080/v2/polls> endpoint. Similarly, verify that you can access the `VoteController` and `ComputeResultController` endpoints by accessing the <http://localhost:8080/v2/votes> and <http://localhost:8080/v2/computeresult> endpoints.

Swagger config

The versioning changes that we made require changes to our Swagger configuration so that we can use the UI to test and interact with both REST API versions. Listing 7-3 shows the refactored `com.apress.SwaggerConfig` class. As discussed in the previous chapter, a `com.mangofactory.swagger.plugin.SwaggerSpringMvcPlugin` instance represents a Swagger group. Hence, the refactored `SwaggerConfig` class contains two methods, each returning a `SwaggerSpringMvcPlugin` instance representing an API group. Also, notice that we have extracted API information to its own method and used it to configure both instances of `SwaggerSpringMvcPlugin`.

Listing 7-3. Refactored `SwaggerConfig` class

```
package com.apress;
import javax.inject.Inject;
import org.springframework.context.annotation.Bean;
import org.springframework.context.annotation.Configuration;
import com.mangofactory.swagger.configuration.SpringSwaggerConfig;
import com.mangofactory.swagger.models.dto.ApiInfo;
import com.mangofactory.swagger.models.dto.builder.ApiInfoBuilder;
import com.mangofactory.swagger.plugin.EnableSwagger;
import com.mangofactory.swagger.plugin.SwaggerSpringMvcPlugin;

@Configuration
@EnableSwagger
public class SwaggerConfig {
```

```

@Inject
private SpringSwaggerConfig springSwaggerConfig;

private ApiInfo getApiInfo() {
    ApiInfo apiInfo = new ApiInfoBuilder()
        .title("QuickPoll REST API")
        .description("QuickPoll Api for creating and managing
polls")
        .termsOfServiceUrl("http://example.com/terms-of-service")
        .contact("info@example.com")
        .license("MIT License")
        .licenseUrl("http://opensource.org/licenses/MIT")
        .build();

    return apiInfo;
}

@Bean
public SwaggerSpringMvcPlugin v1APIConfiguration() {
    SwaggerSpringMvcPlugin swaggerSpringMvcPlugin = new
    SwaggerSpringMvcPlugin(this.springSwaggerConfig);
    swaggerSpringMvcPlugin
        .apiInfo(getApiInfo()).apiVersion("1.0")
        .includePatterns("/v1/*.*").swaggerGroup("v1");
    swaggerSpringMvcPlugin.useDefaultResponseMessages(false);
    return swaggerSpringMvcPlugin;
}

@Bean
public SwaggerSpringMvcPlugin v2APIConfiguration(){
    SwaggerSpringMvcPlugin swaggerSpringMvcPlugin = new
    SwaggerSpringMvcPlugin(this.springSwaggerConfig);
    swaggerSpringMvcPlugin
        .apiInfo(getApiInfo()).apiVersion("2.0")
        .includePatterns("/v2/*.*").swaggerGroup("v2");
    swaggerSpringMvcPlugin.useDefaultResponseMessages(false);
    return swaggerSpringMvcPlugin;
}
}

```

With this newly refactored `SwaggerConfig`, restart the `QuickPoll` application and launch `Swagger UI` in a Web browser at `http://localhost:8080/swagger-ui/index.html`. After the UI has launched, append the request parameter `?group=v2` to the `http://localhost:8080/api-docs/` URI in the `Swagger UI`'s input box and hit `Explore`. You should see and interact with the v2 version of the API as shown in [Figure 7-1](#).

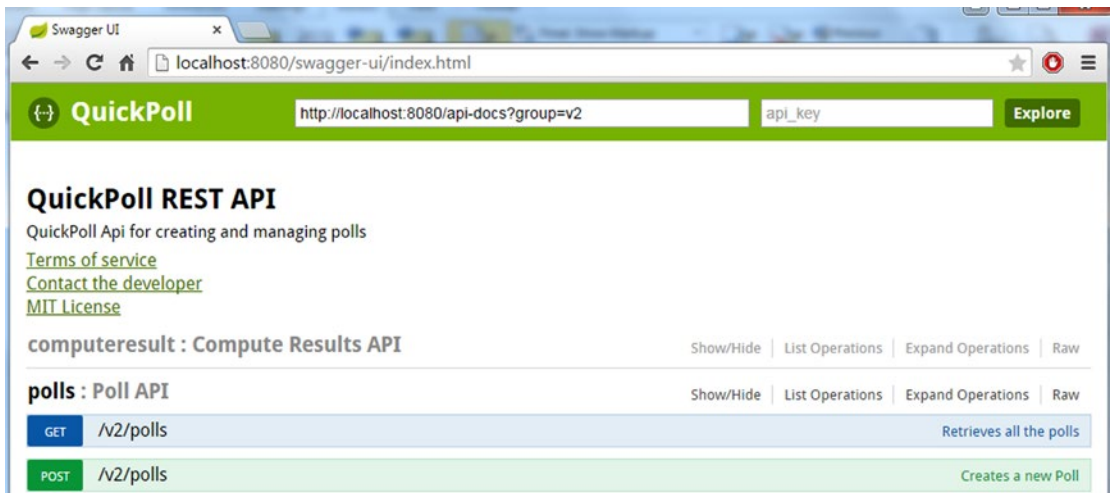


Figure 7-1. Swagger UI for QuickPoll 2.0 version

This concludes the configuration needed to version our QuickPoll application and sets the stage for adding pagination and sorting support in the final two sections of this chapter.

Pagination

REST APIs are consumed by a variety of clients ranging from desktop applications to Web to mobile devices. Hence, while designing a REST API capable of returning vast datasets, it is important to limit the amount of data returned for bandwidth and performance reasons. The bandwidth concerns become more important in the case of mobile clients consuming the API. Limiting the data can vastly improve the server's ability to retrieve data faster from a datastore and the client's ability to process the data and render the UI. By splitting the data into discrete pages or *paging data*, REST services allow clients to scroll through and access the entire dataset in manageable chunks.

Before we starting implementing pagination in our QuickPoll application, let's look at four different pagination styles: page number pagination, limit offset pagination, cursor-based pagination, and time-based pagination.

Page Number Pagination

In this pagination style, the clients specify a page number containing the data they need. For example, a client wanting all the blog posts in page 3 of our hypothetical blog service, can use the following GET method:

```
http://blog.example.com/posts?page=3
```

The REST service in this scenario would respond with a set of posts. The number of posts returned depends on the default page size set in the service. It is possible for the client to override the default page size by passing in a page-size parameter:

```
http://blog.example.com/posts?page=3&size=20
```

GitHub's REST services use this pagination style. By default, the page size is set to 30 but can be overridden using the `per_page` parameter:

https://api.github.com/user/repos?page=2&per_page=100

Limit Offset Pagination

In this pagination style, the clients uses two parameters: a limit and an offset to retrieve the data that they need. The limit parameter indicates the maximum number of elements to return and the offset parameter indicates the starting point for the return data. For example, to retrieve 10 blog posts starting from the item number 31, a client can use the following request:

`http://blog.example.com/posts?limit=10&offset=30`

Cursor-Based Pagination

In this pagination style, the clients make use of a *pointer or a cursor* to navigate through the data set. A cursor is a service-generated random character string that acts as a marker for an item in the data set. To understand this style, consider a client making the following request to get blog posts:

`http://blog.example.com/posts`

On receiving the request, the service would send data similar to this:

```
{
  "data" : [
    ... Blog data
  ],
  "cursors" : {
    "prev" : null,
    "next" : "123asdf456iamcur"
  }
}
```

This response contains a set of blogs representing a subset of the total dataset. The cursors that are part of the response contains a `prev` field that can be used to retrieve the previous subset of the data. However, because this is the initial subset, the `prev` field value is empty. The client can use the cursor value in the `next` field to get the next subset of the data using the following request:

`http://api.example.com/posts?cursor=123asdf456iamcur`

On receiving this request, the service would send the data along with the `prev` and `next` cursor fields. This pagination style is used by applications such as Twitter and Facebook that deal with real-time datasets (tweets and posts) where data changes frequently. The generated cursors typically don't live forever and should be used for short-term pagination purposes only.

Time-Based Pagination

In this style of pagination, the client specifies a timeframe to retrieve the data in which they are interested. Facebook supports this pagination style and requires time specified as a Unix timestamp. These are two Facebook example requests:

```
https://graph.facebook.com/me/feed?limit=25&until=1364587774
https://graph.facebook.com/me/feed?limit=25&since=1364849754
```

Both examples use the `limit` parameter to indicate the maximum number of items to be returned. The `until` parameter specifies the end of the time range, whereas the `since` parameter specifies the beginning of the time range.

Pagination Data

All the pagination styles in the previous sections return only a subset of the data. So, in addition to supplying the requested data, it becomes important for the service to communicate pagination-specific information such as total number of records or total number of pages or current page number and page size. The following example shows a response body with pagination information:

```
{
  "data": [
    ... Blog Data
  ],
  "totalPages": 9,
  "currentPageNumber": 2,
  "pageSize": 10,
  "totalRecords": 90
}
```

Clients can use the pagination information to assess the current state as well as construct URLs to obtain the next or previous datasets. The other technique services employ is to include the pagination information in a special `Link` header. The `Link` header is defined as part of RFC 5988 (<http://tools.ietf.org/html/rfc5988>). It typically contains a set of ready-made links to scroll forward and backward. GitHub uses this approach; here is an example of a `Link` header value:

```
Link: <https://api.github.com/user/repos?page=3&per\_page=100>; rel="next", <https://api.github.com/user/repos?page=50&per\_page=100>; rel="last"
```

QuickPoll Pagination

To support large poll datasets in a QuickPoll application, we will be implementing the page number pagination style and will include the paging information in the response body.

We begin the implementation by configuring our QuickPoll application to load dummy poll data into its database during the bootstrapping process. This would enable us to test our polling and sorting code. To achieve this, copy the `import.sql` file from the downloaded chapter code into `src/main/resources` folder. The `import.sql` file contains DML statements for creating test polls. Hibernate out of the box loads the `import.sql` file found under the classpath and executes all of the SQL statements in it. Restart the QuickPoll application and navigate to `http://localhost:8080/v2/polls` in Postman; it should list all of the loaded test polls.

Spring Data JPA and Spring MVC provides out of the box support for the page number pagination style, making our QuickPoll paging implementation easy. Central to paging (and sorting) functionality in Spring Data JPA is the `org.springframework.data.repository.PagingAndSortingRepository` interface shown in Listing 7-4.

Listing 7-4. Spring Data JPA’s paging and sorting repository

```
public interface PagingAndSortingRepository<T, ID extends Serializable> extends
CrudRepository<T, ID> {
    Page<T> findAll(Pageable pageable);
    Iterable<T> findAll(Sort sort);
}
```

The `PagingAndSortingRepository` interface extends the `CrudRepository` interface that we have been using so far in the QuickPoll application. Additionally, it adds two finder methods that return entities matching the paging and sorting criteria provided. The `findAll` method responsible for paging takes a `Pageable` instance to read information such as page size and page number. Additionally, it also takes sorting information, which we will zoom in on in a later section in this chapter. This `findAll` method returns a `Page` instance that contains the data subset and the following information:

- Total Elements—Total elements in the result set
- Number of Elements—Number of elements in the returned subset
- Size—The maximum number of elements in each page
- Total Pages—Total number of pages in the result set
- Number—Returns the current page number
- Last—Flag indicating if it is the last data subset
- First—Flag indicating if it is the first data subset
- Sort—Returns parameters used for sorting, if any

The next step in implementing paging in QuickPoll is to make our `PollRepository` extend `PagingAndSortingRepository` instead of current `CrudRepository`. Listing 7-5 shows the new `PollRepository` implementation. Because the `PagingAndSortingRepository` extends the `CrudRepository`, all of the functionality needed for the first version of our API remains intact.

Listing 7-5. `PollRepository` implementation

```
package com.apress.repository;

import org.springframework.data.repository.PagingAndSortingRepository;
import com.apress.domain.Poll;
public interface PollRepository extends PagingAndSortingRepository<Poll, Long> {

}
```

Changing the repository to use `PagingAndSortingRepository` concludes our backend implementation needed for paging. We now move on to refactoring the V2 `PollController` so that it uses the new paging finder method. Listing 7-6 shows the refactored `getAllPolls` method of the V2 `com.apress.v2.controller.PollController`. Notice that we have added the `Pageable` parameter to the `getAllPolls` method. On receiving a GET request on `"/polls"`, Spring MVC inspects the request parameters, constructs a `Pageable` instance, and passes it to the `getAllPolls` method. Typically, the passed-in instance is of the type `PageRequest`. The `Pageable` parameter is then passed to the new finder method and the paged data is returned as part of the response.

Listing 7-6. getAllPolls method with paging functionality

```
import org.springframework.data.domain.Page;
import org.springframework.data.domain.Pageable;

@RequestMapping(value="/polls", method=RequestMethod.GET)
@ApiOperation(value = "Retrieves all the polls", response=Poll.class,
responseContainer="List")
public ResponseEntity<Page<Poll>> getAllPolls(Pageable pageable) {
    Page<Poll> allPolls = pollRepository.findAll(pageable);
    return new ResponseEntity<>(allPolls, HttpStatus.OK);
}
```

This concludes the QuickPoll pagination implementation. Restart the QuickPoll application and submit a GET request to `http://localhost:8080/v2/polls?page=0&size=2` using Postman. The response should contain two poll instances with paging-related metadata. Figure 7-2 shows the request as well as the metadata portion of the response.

The screenshot shows a Postman interface for a GET request to `http://localhost:8080/v2/polls?page=0&size=2`. The response status is 200 OK and the time is 17 ms. The response body is shown in JSON format, displaying a paginated list of polls with metadata.

```
1 {
2   "content": [
3     {
4       "id": 1,
5
6     }
7   ],
8   "totalPages": 10,
9   "totalElements": 20,
10  "last": false,
11  "size": 2,
12  "number": 0,
13  "sort": null,
14  "numberOfElements": 2,
15  "first": true
16 }
```

Figure 7-2. Paged results along with paging metadata

■ **Note** Spring Data JPA uses a zero-index-based paging approach. Hence, the first page number starts with 0 and not 1.

Changing Default Page Size

Spring MVC uses an `org.springframework.data.web.PageableHandlerMethodArgumentResolver` to extract paging information from the request parameters and injecting `Pageable` instances into Controller methods. Out of the box, the `PageableHandlerMethodArgumentResolver` class sets the default page size to 20. Hence, if you perform a GET request on `http://localhost:8080/v2/polls`, the response would include 20 polls. Although 20 is a good default page size, there might be occasions when you might want to change it globally in your application. To do this, you need to create and register a new instance of `PageableHandlerMethodArgumentResolver` with the settings of your choice.

Spring Boot applications requiring changes to default MVC behavior need to create classes of type `org.springframework.web.servlet.config.annotation.WebMvcConfigurerAdapter` and use its callback methods for customization. Listing 7-7 shows the newly created `QuickPollMvcConfigAdapter` class in the `com.apress` package with the configuration to set the default page size to 5. Here we are using the `WebMvcConfigurerAdapter`'s `addArgumentResolvers` callback method. We begin the method implementation by creating an instance of `PageableHandlerMethodArgumentResolver`. The `setFallbackPageable` method, as the name suggests, is used by Spring MVC when no paging information is found in the request parameters. We create a `PageRequest` instance with 5 as the default page size and pass it to the `setFallbackPageable` method. We then register our `PageableHandlerMethodArgumentResolver` instance with Spring using the passed-in `argumentResolvers` parameter.

Listing 7-7. Code to change default page size to 5

```
package com.apress;

import java.util.List;
import org.springframework.context.annotation.Configuration;
import org.springframework.data.domain.PageRequest;
import org.springframework.data.web.PageableHandlerMethodArgumentResolver;
import org.springframework.web.method.support.HandlerMethodArgumentResolver;
import org.springframework.web.servlet.config.annotation.WebMvcConfigurerAdapter;

@Configuration
public class QuickPollMvcConfigAdapter extends WebMvcConfigurerAdapter {

    @Override
    public void addArgumentResolvers(List<HandlerMethodArgumentResolver>
argumentResolvers) {
        PageableHandlerMethodArgumentResolver phmar = new
        PageableHandlerMethodArgumentResolver();
        // Set the default size to 5
        phmar.setFallbackPageable(new PageRequest(0, 5));
        argumentResolvers.add(phmar);
        super.addArgumentResolvers(argumentResolvers);
    }
}
```

Restart the `QuickPoll` application and perform a GET request on `http://localhost:8080/v2/polls` using Postman. You will notice that the response now includes only five polls. The associated paging metadata is shown in Listing 7-8.

Listing 7-8. Paging metadata for default page size 5

```
{
  ..... Omitted Poll Data .....

  "totalPages": 4,
  "totalElements": 20,
  "last": false,
  "size": 5,
  "number": 0,
  "sort": null,
  "numberOfElements": 5,
  "first": true
}
```

Sorting

Sorting allows REST clients to determine the order in which items in a dataset are arranged. REST services supporting sorting allow clients to submit parameters with properties to be used for sorting. For example, a client can submit the following request to sort blog posts based on their created date and title:

```
http://blog.example.com/posts?sort=createdDate,title
```

Sort Ascending or Sort Descending

The REST services can also allow the clients specify one of the two sort directions: ascending or descending. Because there is no set standard around this, the following examples showcase popular ways for specifying sort direction:

```
http://blog.example.com/posts?sortByDesc=createdDate&sortByAsc=title
http://blog.example.com/posts?sort=createdDate,desc&sort=title,asc
http://blog.example.com/posts?sort=-createdDate,title
```

In all of these examples, we are retrieving blog posts in the descending order of their created date. Posts with the same created date are then sorted based on their titles.

- In the first approach the sort parameter clearly specifies if the direction should be ascending or descending.
- In the second approach, we have used the same parameter name for both directions. However, the parameter value spells out the sort direction.
- The last approach uses the “-” notation to indicate that any property prefixed with a “-” should be sorted on a descending direction. Properties that are not prefixed with a “-” will be sorted in the ascending direction.

QuickPoll Sorting

Considering that sorting is typically used in conjunction with paging, Spring Data JPA's `PagingAndSortingRepository` and `Pageable` implementations are designed to handle and service sorting requests from the ground up. Hence, we don't require any explicit implementation for sorting.

To test sorting functionality, submit a GET request to `http://localhost:8080/v2/polls/?sort=question` using Postman. You should see the response with Polls sorted in ascending order of their question text along with sort metadata. Figure 7-3 shows the Postman request along with the sort metadata.

The screenshot shows a Postman interface for a GET request to `http://localhost:8080/v2/polls/?sort=question`. The response status is 200 OK and the time taken is 78 ms. The response body is displayed in JSON format, showing a list of poll items and sort metadata.

```

1  {
2    "content": [
3      {
4        "id": 18,
5        "question": "Best Christmas Gift?",
6        "options": [
7          {
8            "id": 1,
9            "text": "A",
10           "percentage": 10,
11           "order": 1
12         },
13         {
14           "id": 2,
15           "text": "B",
16           "percentage": 10,
17           "order": 2
18         },
19         {
20           "id": 3,
21           "text": "C",
22           "percentage": 10,
23           "order": 3
24         },
25         {
26           "id": 4,
27           "text": "D",
28           "percentage": 10,
29           "order": 4
30         }
31       ]
32     }
33   ],
34   "totalPages": 4,
35   "totalElements": 20,
36   "last": false,
37   "size": 5,
38   "number": 0,
39   "sort": [
40     {
41       "direction": "ASC",
42       "property": "question",
43       "ignoreCase": false,
44       "nullHandling": "NATIVE",
45       "ascending": true
46     }
47   ],
48   "numberOfElements": 5,
49   "first": true
50 }

```

Figure 7-3. Sort metadata

To sort on multiple fields with different sort directions, Spring MVC requires you to follow the second approach discussed in the previous section. The following request sorts on ascending question value and descending id value:

```
http://localhost:8080/v2/polls/?sort=question,asc&sort=id,desc
```

Summary

In this chapter we reviewed the different strategies for versioning REST API. We then implemented versioning in QuickPoll using the URL versioning approach. We also reviewed the different approaches for dealing with large datasets using pagination and sorting techniques. Finally, we used Spring Data's out-of-the-box functionality to implement page number pagination style. In the next chapter, we will review strategies for securing REST services.

CHAPTER 8



Security

In this chapter we will discuss:

- Strategies for securing REST services
- OAuth 2.0
- Basics of the Spring Security framework
- Implementing QuickPoll Security

Traditional Web applications requiring security typically use username/passwords for identification purposes. REST services pose interesting security problems as they can be consumed by a variety of clients such as browsers and mobile devices. They can also be consumed by other services and this machine-to-machine communication might not have any human interaction. It is also not uncommon for clients to consume REST services on behalf of a user. In this chapter, we will explore the different authentication/authorization approaches that can be used while working with REST services. Then we will look at using some of these approaches to secure our QuickPoll application.

Securing REST Services

We begin with a survey of six popular approaches that are used for securing REST services:

- Session-based security
- HTTP Basic Authentication
- Digest Authentication
- Certificate based security
- XAuth
- OAuth

Session-based Security

The session-based security model relies on a server side session to hold on to a user's identity across requests. In a typical Web application, when a user tries to access a protected resource, they are presented with a login page. On successful authentication, the server stores the logged-in user's information in a HTTP session. On subsequent requests, the session is queried to retrieve the user's information and is used to perform authorization checks. If the user doesn't have the proper authorization, their request will be denied. [Figure 8-1](#) is a pictorial representation of this approach.

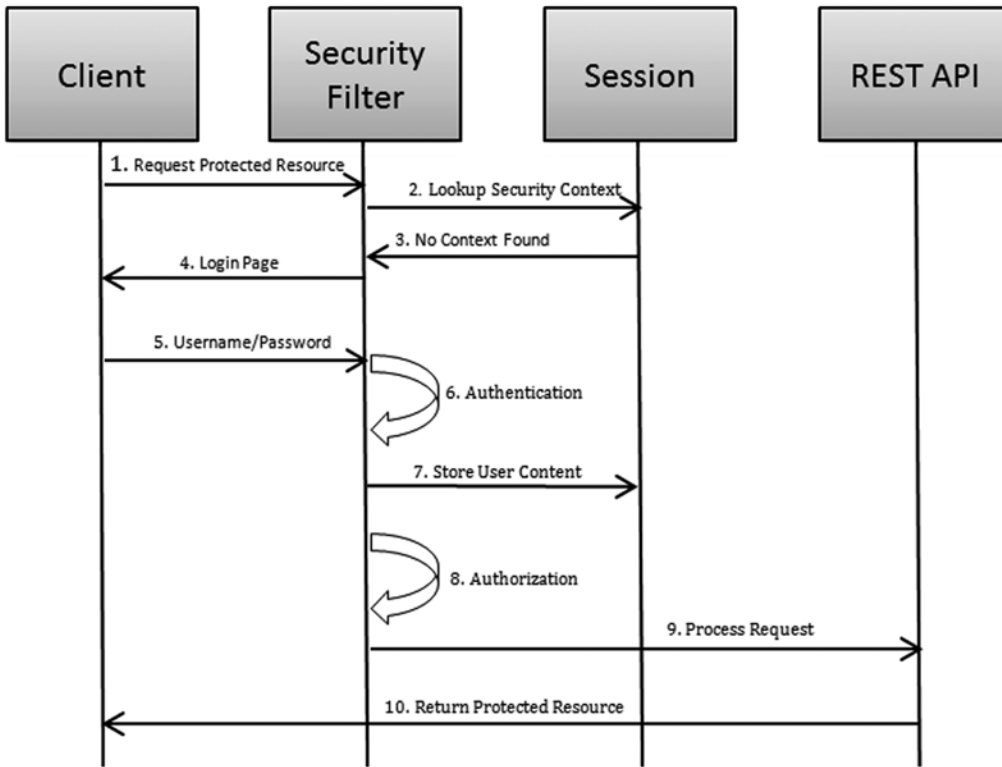


Figure 8-1. Session-based security flow

Frameworks such as Spring Security provide all the necessary plumbing to develop applications using this security model. This approach is very appealing to developers that are adding REST services to existing Spring Web applications. The REST services will retrieve the user identity from the session to perform authorization checks and serve resources accordingly. However, this approach violates the statelessness REST constraint. Also, because the server holds the client’s state, this approach is not scalable. Ideally, the client should hold the state and server should be stateless.

HTTP Basic Authentication

Using a Login form to capture a username and password is possible when there is human interaction involved. However, this might not be possible when we have services talking to other services. HTTP Basic authentication provides a mechanism that allows clients to send authentication information using both interactive and noninteractive fashions.

In this approach, when a client makes a request to a protected resource, the server sends a 401 “Unauthorized” response code and a “WWW-Authenticate” header. The “Basic” portion of the header indicates that we will be using Basic authentication and the “realm” portion indicates a protected space on the server:

```
GET /protected_resource
401 Unauthorized
WWW-Authenticate: Basic realm="Example Realm"
```

On receiving the response, the client concatenates a username and password with a semicolon and Base64 encodes the concatenated string. It then sends that information over to the server using a standard Authorization header:

```
GET /protected_resource
Authorization: Basic bHxpY26U5lkjfdk
```

The server decodes the submitted information and validates the submitted credentials. On successful verification, the server completes the request. The entire flow is shown in Figure 8-2.

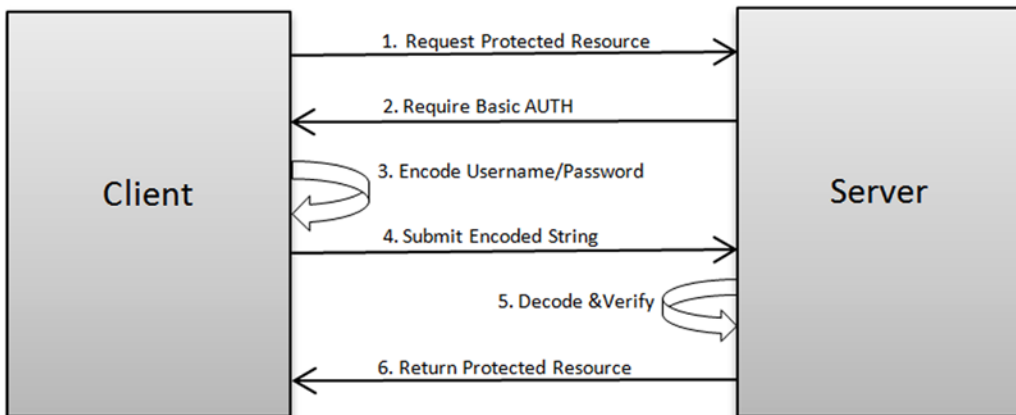


Figure 8-2. HTTP Basic authentication flow

Because the client includes the authentication information in each request, the server becomes stateless. It is important to remember that the client is simply encoding the information and not encrypting it. Hence, on non-SSL/TLS connections, it is possible to conduct a man-in-the-middle attack and steal the password.

Digest Authentication

The Digest Authentication approach is similar to the Basic authentication model discussed earlier except that the user credentials are sent encrypted. The client submits a request for a protected resource and the server responds with a 401 “Unauthorized” response code and a WWW-Authenticate header. Here is an example of a server response:.

```
GET /protected_resource
401 Unauthorized
WWW-Authenticate: Digest realm="Example Realm", nonce="P35kl89sdfghERT10Asdfnbvc",
qop="auth"
```

Notice that the WWW-Authenticate specifies the Digest authentication scheme along with a server generated nonce and a qop. A nonce is an arbitrary token used for cryptographic purposes. The qop, or “quality of protection,” directive can contain two values—“auth” or “auth-int”:

- A qop value “auth” indicates that the digest is used for authentication purposes
- A value “auth-int” indicates that digest will be used for authentication and request integrity

On receiving the request, if the qop value is set to "auth," the client generates a digest using this formula:

```
hash_value_1 = MD5(username:realm:password)
has_value_2 = MD5(request_method:request_uri)
digest = MD5(hash_value_1:nonce:hash_value_2)
```

If the qop value is set to "auth-int," the client computes the digest by including the request body:

```
hash_value_1 = MD5(username:realm:password)
has_value_2 = MD5(request_method:request_uri:MD5(request_body))
digest = MD5(hash_value_1:nonce:hash_value_2)
```

By default, the MD5 algorithm is used to compute the hash values. The digest is included in the Authorization header and is sent to the server. On receiving the request, the server computes the digest and verifies the user's identity. On successful verification, the server completes the request. A complete flow of this method is shown in Figure 8-3.

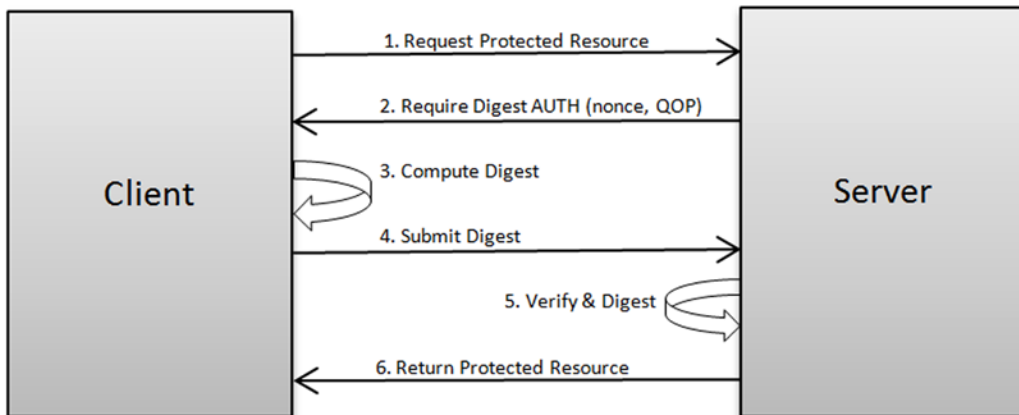


Figure 8-3. Digest authentication flow

The Digest authentication approach is more secure than the Basic authentication, as the password is never sent in clear text. However, on non-SSL/TLS communications, it is still possible for snoopers to retrieve the digest and replay the request. One way to address this problem is to limit server-generated nonces to one-time use only. Also, because the server has to generate the digest for verification, it needs to have access to the plain text version of the password. Hence, it can't employ more secure one-way encryptions algorithms such as bcrypt and can become more vulnerable to server side attacks.

Certificate-Based Security

The Certificate-based security model relies on certificates to verify a party's identity. In a SSL/TLS-based communication, a client such as a browser often verifies the server's identity using certificates to ensure that the server is what it claims to be. This model can be extended to perform mutual authentication where a server can request a client certificate as part of an SSL/TLS handshake and verify a client's identity.

In this approach, on receiving a request for a protected resource, the server presents its certificate to the client. The client ensures that a trusted Certificate Authority (CA) issued the server's certificate and sends its certificate over to the server. The server verifies the client's certificate and on successful verification will grant access to the protected resource. This flow is shown in Figure 8-4.

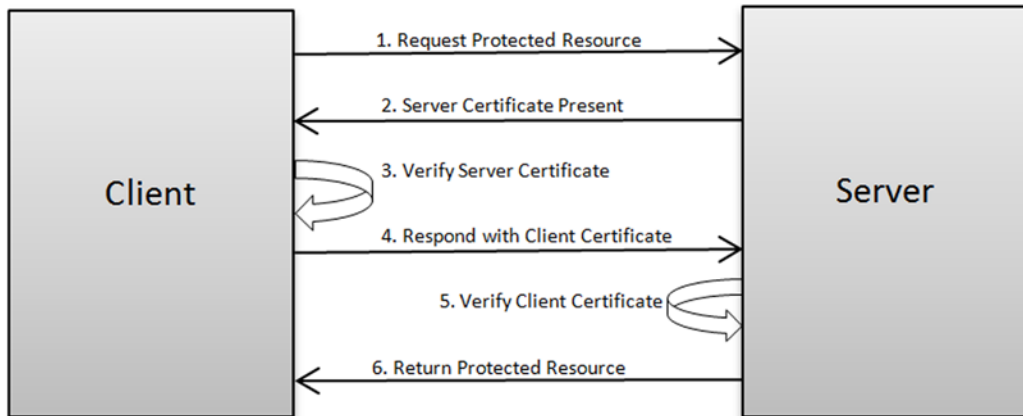


Figure 8-4. Certificate-based security flow

The Certificate-based security model eliminates the need to send over a shared secret, making it more secure over username/password models. However, deployments and maintenance of certificates can be expensive and typically are used for large systems.

XAuth

As REST APIs became popular, the number of third-party applications that use those APIs also grew significantly. These applications need a username and password in order to interact with REST services and perform actions on behalf of users. This poses a huge security problem as third-party applications now have access to usernames and passwords. A security breach in the third-party application can compromise user information. Also, if the user changes his credentials, he needs to remember to go and update all of these third-party applications. Finally, this mechanism doesn't allow the user to revoke his authorization to the third-party application. The only option for revoking in this case would be to change his password.

The XAuth and OAuth schemes provide a mechanism to access protected resources on a user's behalf without needing to store passwords. In this approach, a client application would request a username and password from the user typically by using a login form. The client would then send the username and password to the server. The server receives the user's credentials and validates them. On successful validation, a token is returned to the client. The client discards the username and password information and stores the token locally. When accessing a user's protected resource, the client would include the token in the request. This is typically accomplished using a custom HTTP header such as X-Auth-Token. The longevity of the token is dependent on the implementing service. The token can remain until the server revokes it or the token can expire in a designated period of time. This flow is shown in Figure 8-5.

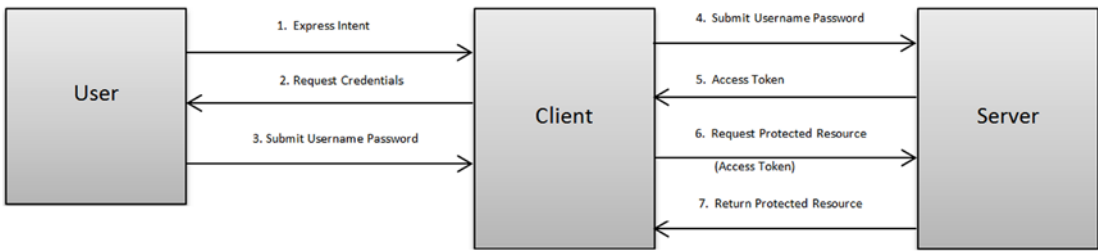


Figure 8-5. XAuth security flow

Applications such as Twitter allow third-party applications to access their REST API using an XAuth scheme. However, even with XAuth, a third-party application needs to capture a username and password, leaving the possibility of misuse. Considering the simplicity involved in XAuth, it might be a good candidate when the same organization develops the client as well as the REST API.

OAuth 2.0

The Open Authorization or OAuth is a framework for accessing protected resources on behalf of a user without storing a password. The OAuth protocol was first introduced in 2007 and was superseded by OAuth 2.0, which was introduced in 2010. In this book, we will be reviewing OAuth 2.0.

OAuth 2.0 defines the following four roles:

- **Resource Owner**—A resource owner is the user that wants to give access to portions of their account or resources. For example, a resource owner could be a Twitter or a Facebook user.
- **Client**—A client is an application that wants access to a user’s resources. This could be a third-party app such as Klout (<https://klout.com/>) that wants to access a user’s Twitter account.
- **Authorization Server**—An authorization server verifies the user’s identity and grants the client a token to access the user’s resources.
- **Resource Server**—A resource server hosts protected user resources. For example, this would be Twitter API to access tweets and timelines, and so on.

The interactions between these four roles discussed are depicted in Figure 8-6. OAuth 2.0 requires these interactions to be conducted on SSL.

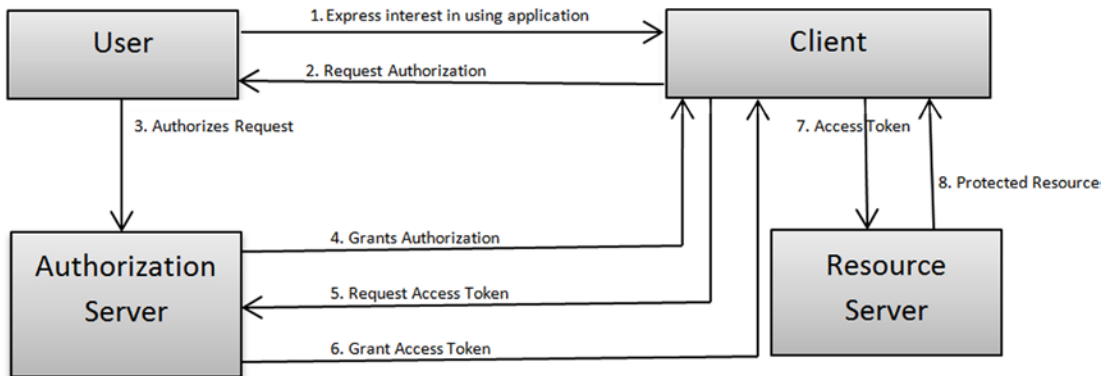


Figure 8-6. OAuth 2.0 security flow

Before a client can participate in the “OAuth dance” shown in Figure 8-6, it must register itself with the Authorization Server. For most public APIs such as Facebook and Twitter, this involves filling out an application form and providing information about the client such as application name, base domain, and website. On successful registration, the client will receive a Client ID and a Client secret. The Client ID is used to uniquely identify the Client and is available publicly. These client credentials play an important part in the OAuth interactions, which we will discuss in just a minute.

The OAuth interaction begins with the user expressing interest in using the “Client,” a third-party application. The client requests authorization to access protected resources on the user’s behalf and redirects the user/resource owner to the Authorization server. An example URI that the client can redirect the user to is shown here:

```
https://oauth2.example.com/authorize?client_id=CLIENT_ID&response_type=auth_code&call_back=CALL_BACK_URI&scope=read,tweet
```

The usage of HTTPS is mandatory for any production OAuth 2.0 interactions and, hence, the URI begins with https. The CLIENT_ID is used to provide the client’s identity to the authorization server. The scope parameter provides a comma separated set of scopes/roles that the client needs.

On receiving the request, the authorization server would present the user with an authentication challenge typically via a login form. The user provides his username and password. On successful verification of the user credentials, the authorization server redirects the user to the client application using the CALL_BACK_URI parameter. The authorization server also appends an authorization code to the CALL_BACK_URI parameter value. Here is an example URL that an authorization server might generate:

```
https://mycoolclient.com/code_callback?auth_code=6F99A74F2D066A267D6D838F88
```

The client then uses the authorization code to request an Access Token from the authorization server. To achieve this, a client would typically perform a HTTP POST on a URI like this:

```
https://oauth2.example.com/access_token?client_id=CLIENT_ID&client_secret=CLIENT_SECRET&auth_code=6F99A74F2D066A267D6D838F88
```

As you can see, the client provides its credentials as part of the request. The authorization server verifies the client's identity and authorization code. On successful verification, it returns an access token. Here is an example response in JSON format:

```
{"access_token"="f292c6912e7710c8"}
```

On receiving the access token, the client will request a protected resource from the resource server passing in the access token it obtained. The resource server validates the access token and serves the protected resource.

OAuth Client Profiles

One of the strengths of OAuth 2.0 is its support for variety of client profiles such as “Web application,” “Native application,” and “User Agent/Browser application.” The authorization code flow discussed earlier (often referred to as *authorization grant type*) is applicable to “Web application” clients that have a Web-based user interface and a server side backend. This allows the client to store the authorization code in a secure backend and reuse it for future interactions. Other client profiles have their own flows that determine the interaction between the four OAuth 2.0 players.

A pure JavaScript-based application or a native application can't store authorization codes securely. Hence, for such clients, the callback from the authorization server doesn't include an authorization code. Instead, an *implicit grant type* approach is taken and an access token is directly handed over to the client, which is then used for requesting protected resources. Applications falling under this client profile will not have a client secret and are simply identified using the client ID.

OAuth 2.0 also supports an authorization flow, referred to as *password grant type*, that is similar to XAuth discussed in the previous section. In this flow, the user supplies his credentials to the client application directly. He is never redirected to the authorization server. The client passes these credentials to the authorization server and receives an access token for requesting protected resources.

OAuth 1.0 introduced several implementation complexities especially around the cryptographic requirements for signing requests with client credentials. OAuth 2.0 simplified this by eliminating signatures and requiring HTTPS for all interactions. However, because many of OAuth 2's features are optional, the specification has resulted in noninteroperable implementations.

Refresh Tokens versus Access Tokens

The lifetime of access tokens can be limited and clients should be prepared for the possibility of a token no longer working. To prevent the need for the resource owner to repeatedly authenticate, the OAuth 2.0 specification has provided a notion of refresh tokens. An authorization server can optionally issue a refresh token when it generates an access token. The client stores this refresh token, and when an access token expires, it contacts the authorization server for a fresh set of access token as well as refresh token. Specification allows generation of refresh tokens for authorization and password grant type flows. Considering the lack of security with the “implicit grant type,” refresh tokens are prohibited for such client profiles.

Spring Security Overview

To implement security in the QuickPoll application we will be using another popular Spring subproject, namely, Spring Security. Before we move forward with the implementation, let's understand Spring Security and the different components that make up the framework.

Spring Security, formerly known as Acegi Security, is a framework for securing Java-based applications. It provides an out-of-the-box integration to a variety of authentication systems such as LDAP, Kerberos, OpenID, OAuth, and so on. With minimal configuration, it can be easily extended to work with any custom authentication and authorization systems. The framework also implements security best practices and has inbuilt features to protect against attacks such as CSRF, or Cross Site Request Forgery, and session fixation, and so on.

Spring Security provides a consistent security model that can be used to secure Web URLs and Java methods. The high-level steps involved during the Spring Security Authentication/Authorization process along with components involved are listed here:

1. The process begins with a user requesting a protected resource on a Spring-secured Web application.
2. The request goes through a series of Spring Security filters referred to as a “filter chain” that identify an `org.springframework.security.web.AuthenticationEntryPoint` to service the request. The `AuthenticationEntryPoint` will respond to the client with a request to authentication. This is done, for example, by sending a login page to the user.
3. On receiving authentication information from the user such as a username/password, a `org.springframework.security.core.Authentication` object is created. The `Authentication` interface is shown in Listing 8-1 and its implementations plays a dual role in Spring Security. They represent a token for an authentication request or a fully authenticated principal after authentication is successfully completed. The `isAuthenticated` method can be used to determine the current role played by an `Authentication` instance. In case of a username/password authentication, the `getPrincipal` method returns the username and the `getCredentials` returns the password. The `getUserDetails` method contains additional information such as IP address, and so on.

Listing 8-1. Authentication API

```
public interface Authentication extends Principal, Serializable {

    Object getPrincipal();
    Object getCredentials();
    Object getDetails();
    Collection<? extends GrantedAuthority> getAuthorities();
    boolean isAuthenticated();
    void setAuthenticated(boolean isAuthenticated) throws
        IllegalArgumentException;
}
```

4. As a next step, the authentication request token is presented to an `org.springframework.security.authentication.AuthenticationManager`. The `AuthenticationManger` as shown in Listing 8-2, contains an `authenticate` method that takes an authentication request token and returns a fully populated `Authentication` instance. Spring provides an out-of-the-box implementation of `AuthenticationManger` called `ProviderManager`.

Listing 8-2. AuthenticationManager API

```
public interface AuthenticationManager {

    Authentication authenticate(Authentication authentication)
        throws AuthenticationException;

}
```

5. In order to perform an authentication, the `ProviderManager` needs to compare the submitted user information with a backend user store such as LDAP or database. `ProviderManager` delegates this responsibility to a series of `org.springframework.security.authentication.AuthenticationProvider`. These `AuthenticationProviders` use an `org.springframework.security.core.userdetails.UserDetailsService` to retrieve user information from backend stores. Listing 8-3 shows the `UserDetailsService` API.

Listing 8-3. UserDetailsService API

```
public interface UserDetailsService {

    UserDetails loadUserByUsername(String username)
        throws UsernameNotFoundException;

}
```

Implementations of `UserDetailsService` such as `JdbcDaoImpl` and `LdapUserDetailsService` will use the passed-in username to retrieve user information. These implementations will also create a set of `GrantedAuthority` instances that represent roles/authorities the user has in the system.

6. The `AuthenticationProvider` compares the submitted credentials with the information in the backend system and on successful verification the `org.springframework.security.core.userdetails.UserDetails` object is used to build a fully populated `Authentication` instance.
7. The `Authentication` instance is then put into an `org.springframework.security.core.context.SecurityContextHolder`. The `SecurityContextHolder` as the name suggests simply associates the logged-in user's context with the current thread of execution so that it is readily available across user requests or operations. In a Web-based application, the logged-in user's context is typically stored in the user's HTTP session.
8. Spring Security then performs an authorization check using an `org.springframework.security.access.intercept.AbstractSecurityInterceptor` and its implementations `org.springframework.security.web.access.intercept.FilterSecurityInterceptor` and `org.springframework.security.access.intercept.aopalliance.MethodSecurityInterceptor`. The `FilterSecurityInterceptor` is used for URL-based authorization and `MethodSecurityInterceptor` is used for method invocation authorization.
9. The `AbstractSecurityInterceptor` relies on security configuration and a set of `org.springframework.security.access.AccessDecisionManagers` to decide if the user is authorized or not. On successful authorization, the user is given access to the protected resource.

■ **Note** To keep things simple, I have purposefully omitted some Spring Security classes in these steps. For a complete review of Spring Security and the authentication/authorization steps, please refer to *Pro Spring Security* (Apress, 2013).

Now that you have a basic understanding of Spring Security’s authentication/authorization flow as well as some of its components, let’s look at integrating Spring Security into our QuickPoll application.

Securing QuickPoll

We will implement security in the QuickPoll application to meet the following two requirements:

- Registered users can create and access polls. This allows us to keep track of accounts, usage, and so on
- Polls can be deleted only by users with Admin privileges

■ **Note** In this chapter, we will continue building on the work that we did on the QuickPoll application in previous chapters. Alternatively, a starter project is available for you to use inside the `Chapter8\starter` folder of the downloaded source code. In this chapter, we will secure QuickPoll using Basic Authentication. Then we will add OAuth 2.0 support to QuickPoll. Hence, the `Chapter8\final` folder contains two folders: `quick-poll-ch8-final-basic-auth` and `quick-poll-ch8-final`. The `quick-poll-ch8-final-basic-auth` contains the solution with Basic Authentication added to QuickPoll. The `quick-poll-ch8-final` contains the completed solution with both Basic Authentication and OAuth 2.0 added. We understand that not all projects need OAuth 2.0 support. Hence, splitting the final solution into two projects allows you to examine and use features/code that you need. Please refer to the solutions under the `final` folder for complete listings containing getters/setters and additional imports. The downloaded `Chapter8` folder also contains an exported Postman collection containing REST API requests associated with this chapter.

By requiring user authentication, we will be drastically changing the behavior of the QuickPoll application. To allow existing users continue using our QuickPoll application, we will create a new version 3 of our API to implement these changes. To accomplish this, create a new `com.apress.v3.controller` package under `src\main\java` and copy controllers from the `com.apress.v2.controller` package. For the newly copied controllers, change the `RequestMappings` from `"/v2/"` to `"/v3/"` and change the controller name prefixes from `v2` to `v3` to reflect version 3 of the API. We start the implementation by adding the Spring Security starter dependency shown in Listing 8-4 to QuickPoll project’s `pom.xml` file. This would bring in all Spring Security-related JAR files into the project.

Listing 8-4. Spring Starter POM

```
<dependency>
  <groupId>org.springframework.boot</groupId>
  <artifactId>spring-boot-starter-security</artifactId>
</dependency>
```

On seeing Spring Security in the classpath, Spring Boot adds default security configuration that secures all of the HTTP endpoints with a HTTP Basic authentication. Start the QuickPoll application and submit a GET request to `http://localhost:8080/v3/polls` using Postman. Postman displays an authentication window prompting you to enter a username and password, as shown in Figure 8-7.

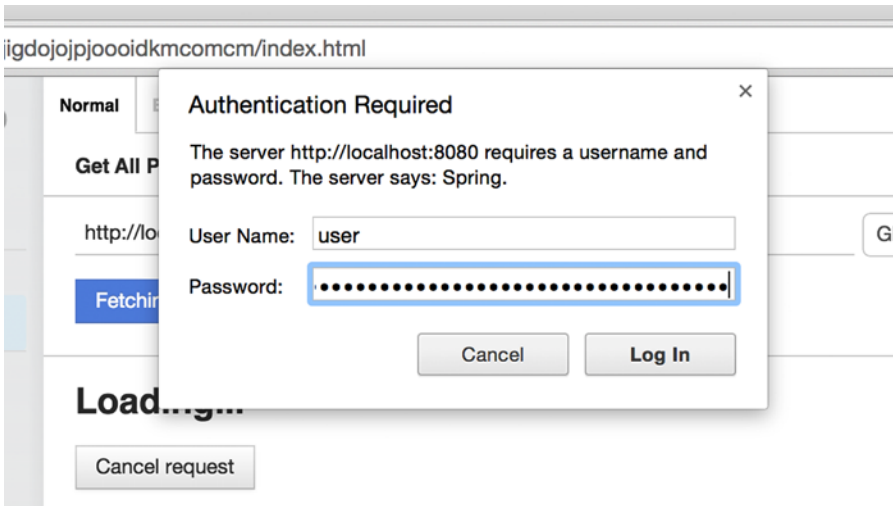


Figure 8-7. Basic authentication window in Postman

Spring Boot’s default security configuration comes with a single user with username `user`. Spring Boot generates a random password for the user and prints it at INFO log level during application startup. In your console/log file you should see an entry like this one:

```
Using default security password: 554cc6c2-67e1-4f1e-8c5b-096609e2d0b1
```

Enter the username and password found in your console into the Postmaster login window and hit Log In. Spring Security will validate the entered credentials and allow the request to be completed.

cURL

Up to this point, we have been using Postman for testing our QuickPoll application. In this chapter, we will be using a command line tool named cURL in conjunction with Postman. cURL is a popular open-source tool used for interacting with servers and transferring data with URL syntax. It comes installed in most operating system distributions. If cURL is not available on your system, follow the instructions at <http://curl.haxx.se/download.html> to download and install cURL on your machine. Refer to Appendix A for instructions on installing cURL on a Windows machine.

To test our QuickPoll Basic authentication using cURL, run the following command at command line:

```
curl -vu user:554cc6c2-67e1-4f1e-8c5b-096609e2d0b1 http://localhost:8080/v3/polls
```

In this command, the `-v` option requests cURL to run in the debug mode (verbose). The `-u` option allows us to specify the username and password needed for basic authentication. A full list of cURL options is available at <http://curl.haxx.se/docs/manual.html>.

User Infrastructure Setup

Although Spring Boot has simplified Spring Security integration significantly, we would like to customize security behavior so that it uses application users instead of Spring Boot's generic user. We also would like to apply the security to the v3 PollController, leaving other endpoints to be accessed anonymously. Before we look at customizing Spring Security, let's set up the infrastructure needed for creating/updating QuickPoll application users.

We start by creating a User domain object as shown in Listing 8-5 to represents a QuickPoll user. The User class contains attributes such as username, password, firstname, and lastname. It also contains a Boolean flag to indicate if the user has administrative privileges. As a security best practice, we have annotated the password field with @JsonIgnore. Therefore, the password field will not be included in a user's representation, thereby preventing clients from accessing the password value. Because "User" is a keyword in databases such as Oracle, we have used the @Table annotation to give the name "Users" to table corresponding to this User entity.

Listing 8-5. User class

```
package com.apress.domain;

import javax.persistence.Table;
import org.hibernate.annotations.Type;
import com.fasterxml.jackson.annotation.JsonIgnore;
import org.hibernate.annotations.Type;
import org.hibernate.validator.constraints.NotEmpty;

@Entity
@Table(name="USERS")
public class User {

    @Id
    @GeneratedValue
    @Column(name="USER_ID")
    private Long id;

    @Column(name="USERNAME")
    @NotEmpty
    private String username;

    @Column(name="PASSWORD")
    @NotEmpty
    @JsonIgnore
    private String password;

    @Column(name="FIRST_NAME")
    @NotEmpty
    private String firstName;

    @Column(name="LAST_NAME")
    @NotEmpty
    private String lastName;
```



```

@Column(name="ADMIN", columnDefinition="char(3)")
@Type(type="yes_no")
@NotEmpty
private boolean admin;

// Getters and Setters ommited for brevity
}

```

We will be storing the QuickPoll users in a database and hence will require a `UserRepository` to perform CRUD actions on the `User` entity. Listing 8-6 shows the `UserRepository` interface created under `com.apress.repository` package. In addition to the finder methods provided by the `CrudRepository`, the `UserRepository` contains a custom finder method named `findByUsername`. Spring Data JPA would provide a runtime implementation so that the `findByUsername` method retrieves a user associated with the passed in `username` parameter.

Listing 8-6. `UserRepository` interface

```

package com.apress.repository;

import org.springframework.data.repository.CrudRepository;
import com.apress.domain.User;

public interface UserRepository extends CrudRepository<User, Long> {
    public User findByUsername(String username);
}

```

Applications such as QuickPoll typically have an interface that allows new users to register. To keep things simple for the purposes of this book, we have generated some test users shown in Listing 8-7. Copy these SQL statements to the end of `import.sql` file under the QuickPoll project's `src/main/resources` folder. When the application gets bootstrapped, Hibernate will load these test users into the “Users” table and make them available for the application’s use.

Listing 8-7. Test user data

```

insert into users (user_id, username, password, first_name, last_name, admin) values
(1, 'mickey', '$2a$10$kSqU.ek5pDRMMK21tHJlceS1x0c9Kna4F0DD2ZwQH/LAzHOML0p6.', 'Mickey',
'Mouse', 'no');
insert into users (user_id, username, password, first_name, last_name, admin) values
(2, 'minnie', '$2a$10$MnHcLn.XdLx.iMntXsmdge01B4wAW1E5G0y/VrLUmr4aAzabXnGFq', 'Minnie',
'Mouse', 'no');
insert into users (user_id, username, password, first_name, last_name, admin) values
(3, 'donald', '$2a$10$0UCBI04PCXiKOpF/9kI7.uAXiHNQeeHdkv9NhA1/xgmRpfD4qxRMG', 'Donald',
'Duck', 'no');
insert into users (user_id, username, password, first_name, last_name, admin) values
(4, 'daisy', '$2a$10$aNoR88g5b5TzSKb7mQ1nQ0kyEwfHVQ0xHYOHX7irI8qWInLDWRyS', 'Daisy',
'Duck', 'no');
insert into users (user_id, username, password, first_name, last_name, admin) values
(5, 'clarabelle', '$2a$10$cuTJd2ayEwXfsPdoF5/hde6gzSPx/gEiv8LZsjPN9VPoN5XVR8cKW',
'Clarabelle', 'Cow', 'no');
insert into users (user_id, username, password, first_name, last_name, admin) values
(6, 'admin', '$2a$10$JQ0fG5TqnF97SbGcKsalz.XpDQbXi1AP0f2SHPVW27bWNioi9nI8y', 'Super',
'Admin', 'yes');

```

Notice that the password for the generated test users is not in plain text. Following good security practices, I have encrypted the password values using the BCrypt (<http://en.wikipedia.org/wiki/Bcrypt>) adaptive hashing function. Table 8-1 shows these test users and their plain text version of passwords.

Table 8-1. Test user information

Username	Password	Is Admin
Mickey	Cheese	No
Minnie	red01	No
Donald	Quack	No
Daisy	quack2	No
Clarabelle	Moo	No
Admin	Admin	Yes

UserDetailsService Implementation

In the Spring Security introduction section, we learned that a `UserDetailsService` is typically used to retrieve user information, which gets compared with user-submitted credentials during the authentication process. Listing 8-8 shows a `UserDetailsService` implementation for our QuickPoll application.

Listing 8-8. `UserDetailsService` implementation for QuickPoll

```
package com.apress.security;

import javax.inject.Inject;
import org.springframework.security.core.GrantedAuthority;
import org.springframework.security.core.authority.AuthorityUtils;
import org.springframework.security.core.userdetails.UserDetails;
import org.springframework.security.core.userdetails.UserDetailsService;
import org.springframework.security.core.userdetails.UsernameNotFoundException;
import org.springframework.stereotype.Component;
import com.apress.domain.User;
import com.apress.repository.UserRepository;

@Component
public class QuickPollUserDetailsService implements UserDetailsService {

    @Inject
    private UserRepository userRepository;

    @Override
    public UserDetails loadUserByUsername(String username) throws
        UsernameNotFoundException {

        User user = userRepository.findByUsername(username);

        if(user == null) {
            throw new UsernameNotFoundException(String.format("User with the
                username %s doesn't exist", username));
        }
    }
}
```

```

        // Create a granted authority based on user's role.
        // Can't pass null authorities to user. Hence initialize with an
        // empty arraylist
        List<GrantedAuthority> authorities = new ArrayList<>();
        if(user.isAdmin()) {
            authorities = AuthorityUtils.createAuthorityList("ROLE_ADMIN");
        }

        // Create a UserDetails object from the data
        UserDetails userDetails = new org.springframework.security.core.userdetails.
        User(user.getUsername(), user.getPassword(), authorities);

        return userDetails;
    }
}

```

The `QuickPollUserDetailsService` class makes use of `UserRepository` to retrieve `User` information from the database. It then checks if the retrieved user has administrative rights and constructs an admin `GrantedAuthority`, namely, `ROLE_ADMIN`. The Spring Security infrastructure expects the `loadUserByUsername` method to return an instance of type `UserDetails`. Hence, the `QuickPollUserDetailsService` class creates the `o.s.s.c.u.User` instance and populates it with the data retrieved from the database. The `o.s.s.c.u.User` is a concrete implementation of the `UserDetails` interface. If the `QuickPollUserDetailsService` can't find a user in the database for the passed-in username, it will throw a `UsernameNotFoundException` exception.

Customizing Spring Security

Customizing Spring Security's default behavior involves creating a configuration class that is annotated with `@EnableWebSecurity`. This configuration class typically extends the `org.springframework.security.config.annotation.web.configuration.WebSecurityConfigurer` class that provides helper methods to simplify our security configuration. Listing 8-9 shows the `SecurityConfig` class that will contain security related configuration for `QuickPoll` application.

Listing 8-9. Security configuration for `QuickPoll`

```

package com.apress;

import javax.inject.Inject;
import org.springframework.context.annotation.Configuration;
import org.springframework.security.config.annotation.authentication.builders.
AuthenticationManagerBuilder;
import org.springframework.security.config.annotation.web.configuration.EnableWebSecurity;
import org.springframework.security.config.annotation.web.configuration.
WebSecurityConfigurerAdapter;
import org.springframework.security.core.userdetails.UserDetailsService;
import org.springframework.security.crypto.bcrypt.BCryptPasswordEncoder;

```

```

@Configuration
@EnableWebSecurity
public class SecurityConfig extends WebSecurityConfigurerAdapter {

    @Inject
    private UserDetailsService userDetailsService;

    @Override
    protected void configure(AuthenticationManagerBuilder auth) throws Exception {
        auth.userDetailsService(userDetailsService)
            .passwordEncoder(new BCryptPasswordEncoder());
    }
}

```

The `SecurityConfig` class declares a `userDetailsService` property, which gets injected with a `QuickPollUserDetailsService` instance at runtime. It also overrides a super class's `configure` method that takes an `AuthenticationManagerBuilder` as parameter. The `AuthenticationManagerBuilder` is a helper class implementing the Builder pattern that provides an easy way of assembling an `AuthenticationManager`. In our method implementation we use the `AuthenticationManagerBuilder` to add the `UserDetailsService` instance. Because we have encrypted the passwords stored in the database using BCrypt algorithm, we provide an instance of `BCryptPasswordEncoder`. The authentication manager framework will use the password encoder to compare the plain string provided by the user with the encrypted hash stored in the database.

With this configuration in place, restart the QuickPoll application and run the following command at the command line:

```
curl -u mickey:cheese http://localhost:8080/v2/polls
```

If you run the command without the `-u` option and the username/password data, you will receive a 403 error from the server as shown here:

```
{"timestamp":1429998300969,"status":401,"error":"Unauthorized","message":"Full authentication is required to access this resource","path":"/v2/polls"}
```

Securing URI

The `SecurityConfig` class introduced in the previous section gets us one step closer by configuring HTTP Basic authentication to use QuickPoll users. This configuration, however, protects all endpoints and requires authentication to access resources. To implement our requirement to just secure v3 Poll API, we will override another `WebSecurityConfigurer`'s `configure` method. Listing 8-10 shows the config method implementation that needs to be added to the `SecurityConfig` class.

Listing 8-10. New config method in `SecurityConfig`

```

import org.springframework.security.config.annotation.web.builders.HttpSecurity;
import org.springframework.security.config.http.SessionCreationPolicy;

@Override
protected void configure(HttpSecurity http) throws Exception {

    http
        .sessionManagement()
            .sessionCreationPolicy(SessionCreationPolicy.STATELESS)
            .and()

```

```

        .authorizeRequests()
            .antMatchers("/v1/**", "/v2/**", "/swagger-ui/**", "/api-docs/**").permitAll()
            .antMatchers("/v3/polls/ **").authenticated()
            .and()
        .httpBasic()
            .realmName("Quick Poll")
            .and()
        .csrf()
            .disable();
    }

```

The `HttpSecurity` parameter passed into the config method in Listing 8-10 allows us to specify the URI that should be secured or unsecured. We begin the method implementation by requesting Spring Security to not create a HTTP session and not store logged in user's `SecurityContext` in the session. This is achieved using the `SessionCreationPolicy.STATELESS` creation policy. We then use `antMatchers` to provide Ant style URI expressions that we don't want Spring Security protecting. Using the `permitAll` method we are specifying that the API versions one/two and Swagger UI should be available anonymously. The next `antMatchers` along with `authenticated` method specifies that Spring Security should only allow authenticated users to access V3 Polls API. Finally, we enable HTTP Basic authentication and set the realm name to "Quick Poll". Restart QuickPoll application and you should be prompted for authentication only on the `/v3/polls` resources.

■ **Note** Cross-site request forgery, or CSRF (http://en.wikipedia.org/wiki/Cross-site_request_forgery), is a type of security vulnerability whereby a malicious website forces the end user to execute unwanted commands on a different website in which they are currently authenticated. Spring Security by default enables CSRF protection and highly recommends using it for requests submitted by a user via a browser. For services that are used by nonbrowser clients, the CSRF can be disabled. By implementing custom `RequestMatchers`, it is possible to disable CSRF only for certain URLs or HTTP methods.

To keep things simple and manageable for this book, we have disabled CSRF protection.

The last security requirement that we have is to ensure that only users with administrative privileges can delete a poll. To implement this authorization requirement, we will apply Spring Security's method level security on the `deletePoll` method. Spring's method level security can be enabled using the aptly named `org.springframework.security.config.annotation.method.configuration.EnableGlobalMethodSecurity` annotation. Listing 8-11 shows the annotation added to the `SecurityConfig` class.

Listing 8-11. `EnableGlobalMethodSecurity` annotation added

```

package com.apress;
import org.springframework.security.config.annotation.method.configuration.
EnableGlobalMethodSecurity;

@Configuration
@EnableWebSecurity
@EnableGlobalMethodSecurity(prePostEnabled = true)
public class SecurityConfig extends WebSecurityConfigurerAdapter {
    // Content removed for brevity
}

```

Spring Security supports a rich set of class and method level authorization annotations along with standards-based JSR 250 annotation security. The `prePostEnabled` flag in `EnableGlobalMethodSecurity` requests Spring Security to enable annotations that perform pre- and postmethod invocation authorization checks. The next step is to annotate the `v3 PollController`'s `deletePoll` method with `@PreAuthorize` annotation as showing in Listing 8-12.

Listing 8-12. `PreAuthorize` annotation added

```
import org.springframework.security.access.prepost.PreAuthorize;

@PreAuthorize("hasAuthority('ROLE_ADMIN')")
public ResponseEntity<Void> deletePoll(@PathVariable Long pollId) {
    // Code removed for brevity
}
```

The `@PreAuthorize` annotation decides if the `deletePoll` method can be invoked or not. Spring Security makes this decision by evaluating the Spring-EL expression passed in as the annotation's value. In this case, the `hasAuthority` checks if the logged-in user has the "ROLE_ADMIN" authority. Restart the application and perform a DELETE on the endpoint `http://localhost:8080/v3/polls/12` using Postman. When prompted for credentials, enter the username `mickey` and the password `cheese`, and hit Log In. Figure 8-8 shows the request and associated inputs.

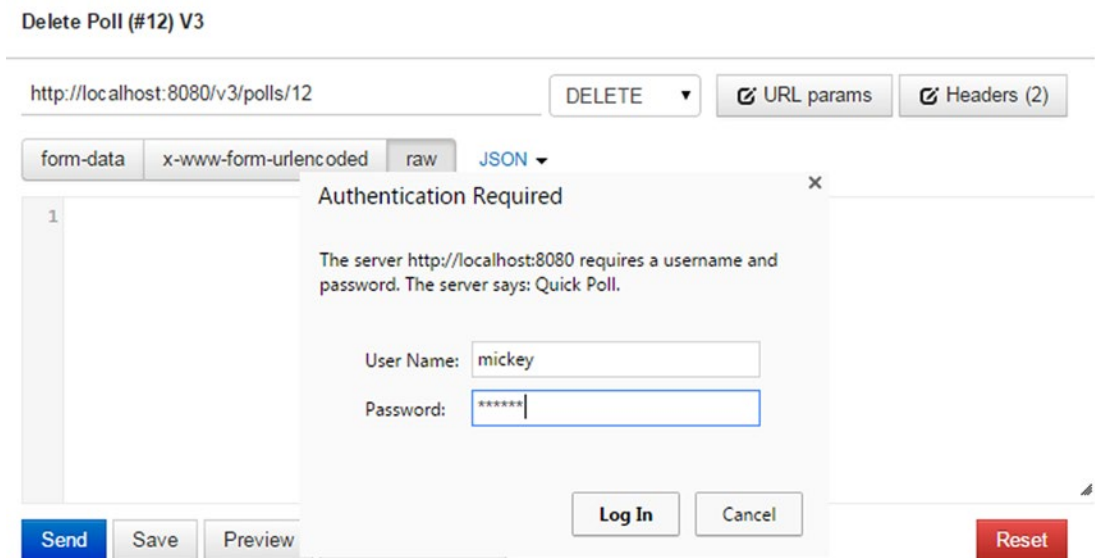


Figure 8-8. Deleting poll with unauthorized users

Since the user `mickey` doesn't have administrative rights, you will see an unauthorized response from the service, as shown in Figure 8-9.



Figure 8-9. Unauthorized delete response

Now let’s retry this request using an admin user with administrative rights. In Postman, click the Basic Auth tab and enter the credentials admin/admin and hit “Refresh headers” as shown in Figure 8-10. On submitting the request, you should see the Poll resource with ID 12 deleted.

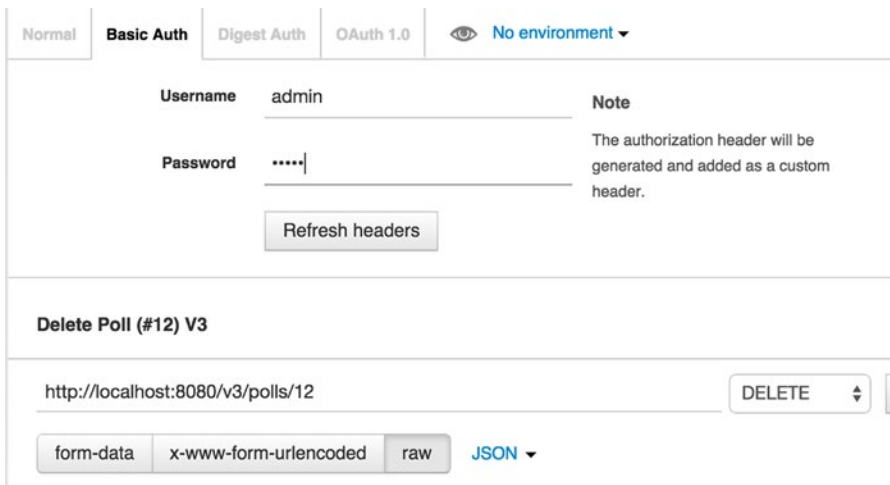


Figure 8-10. Basic Auth Admin credentials in Postman

To delete a Poll using cURL run the command below:

```
curl -i -u admin:admin -X DELETE http://localhost:3/v3/polls/13
```

The above command deletes a Poll resource with ID 13. The `-i` option request curl to output the response headers. The `-X` option allows us to specify the HTTP method name. In our case, we specified the DELETE HTTP method. The output of this result is shown in Listing 8-13.

Listing 8-13. Output of CURL Delete

```

HTTP/1.1 200 OK
Server: Apache-Coyote/1.1
X-Content-Type-Options: nosniff
X-XSS-Protection: 1; mode=block
Cache-Control: no-cache, no-store, max-age=0, must-revalidate
Pragma: no-cache
Expires: 0
X-Frame-Options: DENY
Content-Length: 0
Date: Sat, 25 Apr 2015 21:50:35 GMT

```

QuickPoll OAuth 2.0 Provider Implementation

Consider the scenario in which QuickPoll wants to venture into the mobile app world with their iOS app. To facilitate that, we will be implementing OAuth so that the mobile client can perform operations on behalf of the logged in user without storing and sending his/her credentials for each request. Because QuickPoll developers will be developing the iOS application, we will be implementing OAuth's *password grant type*, as it provides the best user experience that doesn't involve redirects and navigations to other pages.

Spring Security provides an OAuth module that simplifies OAuth 1 and OAuth 2 integration. We begin OAuth implementation in QuickPoll by adding the Spring Security OAuth 2 dependency to project's `pom.xml` file, as shown in Listing 8-14.

Listing 8-14. Spring Security OAuth 2 Dependency

```

<dependency>
    <groupId>org.springframework.security.oauth</groupId>
    <artifactId>spring-security-oauth2</artifactId>
    <version>2.0.7.RELEASE</version>
</dependency>

```

We want to ensure that adding OAuth to QuickPoll shouldn't break other clients currently using Basic Authentication (or any future authentication mechanism implementations such as Form-based login). To achieve this, we will add another request mapping to the `PollController` class as shown in Listing 8-15. The new mapping `/oauth2/v3` allows us to access the same `PollController` resources via an additional set of URIs such as `/oauth2/v3/polls` and `/outh2/v3/polls/12`. It will also allow us to apply additional OAuth security to these `PollController` resources.

Listing 8-15. `PollController` with multiple mapping

```

@RestController("pollControllerV3")
@RequestMapping({" /v3/", "/oauth2/v3/"})
@Api(value = "polls", description = "Poll API")
public class PollController {
    // Code removed for brevity
}

```

Implementing OAuth 2 requires us to implement two components—an authorization server and a resource server. In a production scenario, these components reside on their own servers for scalability and performance reasons. These components would share a backend database to add/retrieve access tokens. However, to keep things simple, we will implement both authentication server and resource server components in the QuickPoll application with an in-memory token store.

As discussed in the previous sections, an OAuth 2 authorization server is responsible for managing client details, verifying a resource owner's authorization, and generating tokens such as authorization code, access, and refresh tokens. To verify a resource owner's credentials, the authorization server needs access to an `AuthenticationManager` that can validate user-submitted credentials against the user datastore. As you will recall from Listing 8-9, we have already configured an `AuthenticationManager` in the `SecurityConfig` class to perform this validation. We just need to expose it as a bean so that our authorization server can use it. Listing 8-16 shows the modified `SecurityConfig` class with the new `AuthenticationManager` bean declared.

Listing 8-16. Updated `SecurityConfig`

```
@Configuration
@EnableWebMvcSecurity
@EnableGlobalMethodSecurity(prePostEnabled = true)
public class SecurityConfig extends WebSecurityConfigurerAdapter {

    // Other code omitted for brevity

    @Override
    @Bean
    protected AuthenticationManager authenticationManager() throws Exception {
        return super.authenticationManager();
    }
}
```

Spring Security provides an `@EnableAuthorizationServer` annotation and `org.springframework.security.oauth2.config.annotation.web.configuration.AuthorizationServerConfigurerAdapter` for implementing `AuthorizationServer`. The `@EnableAuthorizationServer` annotation enables the different endpoints needed to interact with an authorization server. Table 8-2 shows the different endpoints that get enabled. The `AuthorizationServerConfigurerAdapter` can be used to configure the client properties, grant types supported, and security configuration for endpoints.

Table 8-2. Authorization server endpoints

Endpoint	Description
<code>/oauth/token</code>	Endpoint used for generating access tokens
<code>/oauth/check_token</code>	Endpoint a resource server uses to decode access tokens generated by authorization server
<code>/oauth/confirm_access</code>	Endpoint a resource user posts for grant approval
<code>/oauth/error</code>	Endpoint responsible for rendering errors in the authorization server
<code>/oauth/authorize</code>	Endpoint used for generating authorization codes; by default, the authorization server doesn't secure this endpoint

The authorization server for QuickPoll application is shown in Listing 8-17. In the implementation, we add the injected `AuthenticationManager` instance to the configuration. This addition of `AuthenticationManager` instance enables the password type grant that we intend to use for the iOS client.

Listing 8-17. QuickPoll authorization server

```

package com.apress;

import javax.inject.Inject;
import org.springframework.context.annotation.Configuration;
import org.springframework.security.authentication.AuthenticationManager;
import org.springframework.security.oauth2.config.annotation.configurers.
ClientDetailsServiceConfigurer;
import org.springframework.security.oauth2.config.annotation.web.configuration.
AuthorizationServerConfigurerAdapter;
import org.springframework.security.oauth2.config.annotation.web.configuration.
EnableAuthorizationServer;
import org.springframework.security.oauth2.config.annotation.web.configurers.
AuthorizationServerEndpointsConfigurer;

@Configuration
@EnableAuthorizationServer
public class OAuth2AuthorizationServerConfig extends AuthorizationServerConfigurerAdapter {

    @Inject
    private AuthenticationManager authenticationManager;

    @Override
    public void configure(AuthorizationServerEndpointsConfigurer endpoints)
    throws Exception {
        endpoints.authenticationManager(this.authenticationManager);
    }

    @Override
    public void configure(ClientDetailsServiceConfigurer clients) throws Exception {
        clients
            .inMemory()
            .withClient("quickpolliOSClient")
            .secret("top_secret")
            .authorizedGrantTypes("password")
            .scopes("read", "write")
            .resourceIds("QuickPoll_Resources");
    }
}

```

In the second configure method; we use the `ClientDetailsServiceConfigurer` parameter to add the details of our iOS client. Clients typically would use a registration form and follow an established approval process for getting added to the authorization server. Here we have skipped that process and are storing our client details in memory to keep our implementation manageable. The client details include the `quickpolliOSClient` client ID and a client secret `top_secret`. Using the `authorizedGrantTypes` method, we specify that the client is authorized to use the password grant type when communicating with the authorization server.

We also specify two scopes: read and write. Scopes are arbitrary strings that represent the permissions that a client is allowed to perform on an API. For example, LinkedIn uses the scopes `r_emailaddress`, `w_messages`, and `r_fullprofile` to represent actions such as read email address (`r_` prefix) or write messages (`w_` prefix). It is possible to design the scopes to be as granular as possible and restrict access to

the API. In our application, we just declared two generic read and write scopes. Finally, we provide the `QuickPoll_Resources` resource id. Resource id is an arbitrary string that represent a set of resources that a client can request access. This concludes the authorization server component implementation. Spring Security's sensible defaults will create an in-memory token store for this authorization server to use.

We now move on to implementing the resource server component. Following the authorization server convention, Spring Security provides the `@EnableResourceServer` annotation and `ResourceServerConfigurerAdapter` class that simplify the resource server implementation. The `EnableResourceServer` annotation enables a Spring Security filter named `OAuth2AuthenticationProcessingFilter` that authenticates requests using a passed in OAuth2 token. The `ResourceServerConfigurerAdapter` provides methods that can be used to access rules to secure resources using `HttpSecurity` class. Listing 8-18 shows the resource implementation for our QuickPoll application.

Listing 8-18. QuickPoll resource server

```
package com.apress;

import org.springframework.context.annotation.Configuration;
import org.springframework.security.config.annotation.web.builders.HttpSecurity;
import org.springframework.security.oauth2.config.annotation.web.configuration.
    EnableResourceServer;
import org.springframework.security.oauth2.config.annotation.web.configuration.
    ResourceServerConfigurerAdapter;
import org.springframework.security.oauth2.config.annotation.web.configurers.
    ResourceServerSecurityConfigurer;

@Configuration
@EnableResourceServer
public class OAuth2ResourceServerConfig extends ResourceServerConfigurerAdapter {

    @Override
    public void configure(ResourceServerSecurityConfigurer resources) {
        resources.resourceId("QuickPoll_Resources");
    }

    @Override
    public void configure(HttpSecurity http) throws Exception {
        http
            .requestMatchers().antMatchers("/oauth2/v3/polls/**")
            .and()
            .authorizeRequests().antMatchers("/oauth2/v3/polls/**").authenticated();
    }
}
```

In the `OAuth2ResourceServerConfig` implementation, we use the `ResourceServerSecurityConfigurer` class to create a resource id with the name `QuickPoll_Resources`. The name of this resource id must match the name that we used in the authorization server. In the second config method we use the passed-in `HttpSecurity` instance to secure the `/oauth2/v3/polls/` URI and only allow authenticated users to access v3 Poll resources.

This concludes the configuration required to set up the authorization and resource servers.

Testing QuickPoll OAuth 2.0 Implementation

Spring Security OAuth 2 module automatically secures the `/oauth/token` endpoint using HTTP Basic authentication on client's credentials. Because our QuickPoll iOS client is already registered with the authorization server, we can generate a token for the user mickey by running the following curl command:

```
curl -u quickpolliOSClient:top_secret -X POST http://localhost:8080/oauth/token -H "Accept: application/json" -d "username=mickey&password=cheese&grant_type=password"
```

The command creates a POST request to the `/oauth/token` endpoint and passes the client credentials for HTTP Basic authentication. The POST request payload contains the resources user's username and password and the requested grant type. On receiving the request, the authorization server will generate the following response with an access token:

```
{"access_token":"77ed953e-b3b6-4ea1-820e-2e9acc702293","token_type":"bearer",
"expires_in":42874,"scope":"read write"}
```

From this response, you will notice that the returned token type is set to bearer. The RFC-6750 specifies the usage of bearer tokens to access resources in an OAuth 2.0 environment. As the name suggests, a bearer token allows the possessing party to access the protected resource. Thus, if the bearer token is compromised, it is possible for a third party to access the resource. The `expires_in` value indicates the token's lifetime in seconds.

Once the client receives the access token, it can present it to a resource server using the Authorization HTTP header via the following format:

```
Authorization: Bearer [Access Token]
```

The following cURL command requests the `/oauth2/v3/polls` resource using the token that we obtained in the previous step:

```
curl http://localhost:8080/oauth2/v3/polls -H "Authorization: Bearer 77ed953e-b3b6-4ea1-820e-2e9acc702293"
```

■ **Note** The generated OAuth 2 access tokens differ from one machine to another and also expire after certain time. Make sure that you generate an access token and use it to retrieve the Poll resource instead of copying this token.

Spring Security uses the submitted token to retrieve the user from the backend database and creates authorities based on the roles stored in the database. Hence, submitting a request to delete a POST by the user mickey's token would result in an access denied error, as shown here:

```
curl -X DELETE http://localhost:8080/oauth2/v3/polls/11 -H "Authorization: Bearer 77ed953e-b3b6-4ea1-820e-2e9acc702293"
{"error":"access_denied","error_description":"Access is denied"}
```

Now, let's generate a token for the admin user by running the cURL command:

```
curl -u quickpolliOSClient:top_secret -X POST http://localhost:8080/oauth/token -H "Accept: application/json" -d "username=admin&password=admin&grant_type=password"
```

On receiving the response, copy the returned access token and submit the following cURL command to delete the Poll with id 11:

```
curl -X DELETE http://localhost:8080/oauth2/v3/polls/11 -H "Authorization: Bearer 946e1f71-21c3-4923-bf96-3b0f8cea708a"
```

You will notice that the command ran successfully and the poll was deleted. Finally, to ensure that the Basic authentication is working, run the following cURL command to retrieve polls:

```
curl -u mickey:cheese http://localhost:8080/v3/polls
```

In this section, we have looked at implementing a OAuth 2 password grant type using Spring Security. This implementation can be easily extended to include other grant types or plug in a persistent token store.

Summary

Security is an important aspect of any enterprise application. In this chapter, we reviewed strategies for securing REST services. We also took a deeper look into OAuth 2 and reviewed its different components. We then used Spring Security to implement Basic Authentication and OAuth 2 in our QuickPoll application. In the next chapter, we will use Spring's RestTemplate to build REST clients. We will also use the Spring MVC Test framework to perform unit and integration testing on REST controllers.

CHAPTER 9



Clients and Testing

In this chapter we will discuss:

- Building clients using RestTemplate
- Spring Test framework basics
- Unit testing MVC controllers
- Integration testing MVC controllers

We have looked at building REST services using Spring. In this chapter we will look at building clients that consume these REST services. We will also examine the Spring Test framework that can be used to perform unit and end-to-end testing of REST services.

QuickPoll Java Client

Consuming REST services involves building a JSON or XML request payload, transmitting the payload via HTTP/HTTPS, and consuming the returned JSON response. This flexibility opens doors to numerous options for building REST clients in Java (or, as a matter of fact, any technology). A straightforward approach for building a Java REST client is to use core JDK libraries. Listing 9-1 shows an example of a client reading a Poll using the QuickPoll REST API.

Listing 9-1. Reading a poll using Java URLClass

```
public void readPoll() {
    HttpURLConnection connection = null;
    BufferedReader reader = null;
    try {
        URL restAPIUrl = new URL("http://localhost:8080/v1/polls/1");
        connection = (HttpURLConnection) restAPIUrl.openConnection();
        connection.setRequestMethod("GET");

        // Read the response
        reader = new BufferedReader(new InputStreamReader(connection.
            getInputStream()));
        StringBuilder jsonData = new StringBuilder();
        String line;
        while ((line = reader.readLine()) != null) {
            jsonData.append(line);
        }
    }
}
```

```

        System.out.println(jsonData.toString());
    }
    catch(Exception e) {
        e.printStackTrace();
    }
    finally {
        // Clean up
        IOUtils.closeQuietly(reader);
        if(connection != null)
            connection.disconnect();
    }
}

```

Although there is nothing wrong with the approach in Listing 9-1, there is a lot of boilerplate code that needs to be written to perform a simple REST operation. The `readPoll` method would grow even bigger if we were to include the code to parse the JSON response. Spring abstracts this boilerplate code into templates and utility classes and makes it easy to consume REST services.

RestTemplate

Central to Spring's support for building REST clients is the `org.springframework.web.client.RestTemplate`. The `RestTemplate` takes care of the necessary plumbing needed to communicate with REST services and automatically marshals/unmarshals HTTP request and response bodies. The `RestTemplate` like Spring's other popular helper classes such as `JdbcTemplate` and `JmsTemplate`, is based on the Template Method design pattern.¹

The `RestTemplate` and associated utility classes are part of the `spring-web.jar` file. If you are building a standalone REST client using `RestTemplate`, you need to add the `spring-web` dependency, shown in Listing 9-2, to your `pom.xml` file.

Listing 9-2. `spring-web.jar` dependency

```

<dependency>
  <groupId>org.springframework</groupId>
  <artifactId>spring-web</artifactId>
  <version>4.1.4.RELEASE</version>
</dependency>

```

`RestTemplate` provides convenient methods to perform API requests using six commonly used HTTP methods. In the next sections, we will look at some of these functions along with a generic yet powerful exchange method to build `QuickPoll` clients.

■ **Note** In this chapter we will continue building on the work that we did on the `QuickPoll` application in previous chapters. Alternatively, a starter project inside the `Chapter9\starter` folder of the downloaded source code is available for you to use. The completed solution is available under the `Chapter9\final` folder. Please refer to this solution for complete listings containing getters/setters and additional imports.

¹http://en.wikipedia.org/wiki/Template_method_pattern.

Getting Polls

`RestTemplate` provides a `getForObject` method to retrieve representations using the GET HTTP method. Listing 9-3 shows the three flavors of the `getForObject` method.

Listing 9-3. `getForObject` method flavors

```
public <T> T getForObject(String url, Class<T> responseType, Object... urlVariables) throws
RestClientException {}
public <T> T getForObject(String url, Class<T> responseType, Map<String,?> urlVariables)
throws RestClientException
public <T> T getForObject(URI url, Class<T> responseType) throws RestClientException
```

The first two methods accept a URI template string, a return value type, and URI variables that can be used to expand the URI template. The third flavor accepts a fully formed URI and return value type. `RestTemplate` encodes the passed-in URI templates and, hence, if the URI is already encoded you must use the third method flavor. Otherwise, it will result in double encoding of the URI, causing malformed URI errors.

The Listing 9-4 shows the `QuickPollClient` class and the usage of `getForObject` method to retrieve a Poll for a given poll id. The `QuickPollClient` is placed under the `com.apress.client` package of our `QuickPoll` application and is interacting with the first version of our `QuickPoll` API. In the upcoming sections, we will create clients that interact with second and third versions of the API. `RestTemplate` is threadsafe and, hence, we created a class-level `RestTemplate` instance to be used by all client methods. Because we have specified the `Poll` class as the second parameter, `RestTemplate` uses HTTP message converters and automatically converts the HTTP response content into a `Poll` instance.

Listing 9-4. `QuickPollClient` and `getForObject` usage

```
package com.apress.client;

import org.springframework.web.client.RestTemplate;
import com.apress.domain.Poll;

public class QuickPollClient {

    private static final String QUICK_POLL_URI_V1 = "http://localhost:8080/v1/polls";
    private RestTemplate restTemplate = new RestTemplate();

    public Poll getPollById(Long pollId) {
        return restTemplate.getForObject(QUICK_POLL_URI_V1 + "/" + pollId, Poll.
            class, pollId);
    }
}
```

This listing demonstrates the power of `RestTemplate`. It took about a dozen lines in Listing 9-1, but we were able to accomplish that and more with a couple of lines using `RestTemplate`. The `getPollById` method can be tested with a simple `main` method in `QuickPollClient` class:

```
public static void main(String[] args) {
    QuickPollClient client = new QuickPollClient();
    Poll poll = client.getPollById(1L);
    System.out.println(poll);
}
```

■ **Note** Ensure that you have the QuickPoll application up and running before you run the main method.

Retrieving a Poll collection resource is a little trickier as providing `List<Poll>.class` as a return value type to the `getForObject` would result in compilation error. One approach is to simply specify that we are expecting a collection:

```
List allPolls = restTemplate.getForObject(QUICK_POLL_URI_V1, List.class);
```

However, because `RestTemplate` can't automatically guess the Java class type of the elements, it would deserialize each JSON object in the returned collection into a `LinkedHashMap`. Hence, the call returns all of our Polls as a collection of type `List<LinkedHashMap>`.

To address this issue, Spring provides a `org.springframework.core.ParameterizedTypeReference` abstract class that can capture and retain generic type information at runtime. So, to specify the fact that we are expecting a list of Poll instances, we create a subclass of `ParameterizedTypeReference`:

```
ParameterizedTypeReference<List<Poll>> responseType = new ParameterizedTypeReference<List<Poll>>() {};
```

`RestTemplate` HTTP specific methods such as `getForObject` don't take a `ParameterizedTypeReference` as their parameter. As shown in Listing 9-5, we need to use `RestTemplate`'s `exchange` method in conjunction with `ParameterizedTypeReference`. The `exchange` method infers the return type information from the passed-in `responseType` parameter and returns a `ResponseEntity` instance. Invoking the `getBody` method on `ResponseEntity` gives us the Poll collection.

Listing 9-5. Get All Polls using RestTemplate

```
import org.springframework.core.ParameterizedTypeReference;
import org.springframework.http.ResponseEntity;
import org.springframework.http.HttpMethod;

public List<Poll> getAllPolls() {
    ParameterizedTypeReference<List<Poll>> responseType = new ParameterizedTypeReference
    <List<Poll>>() {};
    ResponseEntity<List<Poll>> responseEntity = restTemplate.exchange(QUICK_POLL_URI_V1,
    HttpMethod.GET, null, responseType);
    List<Poll> allPolls = responseEntity.getBody();

    return allPolls;
}
```

We can also accomplish similar behavior with `getForObject` by requesting `RestTemplate` to return an array of Poll instances:

```
Poll[] allPolls = restTemplate.getForObject(QUICK_POLL_URI_V1, Poll[].class);
```

Creating a Poll

`RestTemplate` provides two methods—`postForLocation` and `postForObject` to perform HTTP POST operations on a resource. Listing 9-6 gives the API for the two methods.

Listing 9-6. RestTemplate's POST support

```
public URI postForLocation(String url, Object request, Object... uriVariables) throws
RestClientException
public <T> T postForObject(String url, Object request, Class<T> responseType, Object...
uriVariables) throws RestClientException
```

The `postForLocation` method performs a HTTP POST on the given URI and returns the value of the Location header. As we have seen in our QuickPoll POST implementations, the Location header contains the URI of the newly created resource. The `postForObject` works similar to `postForLocation` but converts a response into a representation. The `responseType` parameter indicates the type of representation to be expected.

Listing 9-7 shows the `QuickPollClient`'s `createPoll` method that creates a new `Poll` using the `postForLocation` method.

Listing 9-7. Create a poll using `postForLocation`

```
public URI createPoll(Poll poll) {
    return restTemplate.postForLocation( QUICK_POLL_URI_V1, poll);
}
```

Update the `QuickPollClient`'s main method with this code to test the `createPoll` method:

```
public static void main(String[] args) {
    QuickPollClient client = new QuickPollClient();

    Poll newPoll = new Poll();
    newPoll.setQuestion("What is your favourite color?");
    Set<Option> options = new HashSet<>();
    newPoll.setOptions(options);

    Option option1 = new Option(); option1.setValue("Red"); options.add(option1);
    Option option2 = new Option(); option2.setValue("Blue");options.add(option2);

    URI pollLocation = client.createPoll(newPoll);
    System.out.println("Newly Created Poll Location " + pollLocation);
}
```

PUT Method

The `RestTemplate` provides the aptly named `put` method to support the PUT HTTP method. Listing 9-8 shows `QuickPollClient`'s `updatePoll` method that updates a poll instance. Notice that the `put` method doesn't return any response and communicates failures by throwing `RestClientException` or its subclasses.

Listing 9-8. Update a poll using `put`

```
public void updatePoll(Poll poll) {
    restTemplate.put(QUICK_POLL_URI_V1 +("/{pollId}", poll, poll.getId());
}
```

DELETE Method

The RestTemplate provides three overloaded delete methods to support DELETE HTTP operations. The delete methods follows semantics similar to PUT and doesn't return a value. They communicate any exceptions via RestClientException or its subclasses. Listing 9-9 shows the deletePoll method implementation in QuickPollClient class.

Listing 9-9. Delete a poll

```
public void deletePoll(Long pollId) {
    restTemplate.delete(QUICK_POLL_URI_V1 + "/" + pollId, pollId);
}
```

Handling Pagination

In version two of our QuickPoll API, we introduced paging. So, the clients upgrading to version two need to reimplement the getAllPolls method. All other client methods will remain unchanged.

To reimplement the getAllPolls, our first instinct would be to simply pass the org.springframework.data.domain.PageImpl as the parameterized type reference:

```
ParameterizedTypeReference<PageImpl<Poll>> responseType = new ParameterizedTypeReference<PageImpl<Poll>>() {};
ResponseEntity<PageImpl<Poll>> responseEntity = restTemplate.exchange(QUICK_POLL_URI_2,
    HttpMethod.GET, null, responseType);
PageImpl<Poll> allPolls = responseEntity.getBody();
```

The PageImpl is a concrete implementation of the org.springframework.data.domain.Page interface and can hold all of the paging and sorting information returned by the QuickPoll REST API. The only problem with this approach is that PageImpl doesn't have a default constructor and Spring's HTTP message converter would fail with the following exception:

```
Could not read JSON: No suitable constructor found for type [simple type, class org.springframework.data.domain.PageImpl<com.apress.domain.Poll>]: can not instantiate from JSON object (need to add/enable type information?)
```

To handle pagination and successfully map JSON to an object, we will create a Java class that mimics PageImpl class but also has a default constructor, as shown in Listing 9-10.

Listing 9-10. PageWrapper class

```
package com.apress.client;

import java.util.List;
import org.springframework.data.domain.Sort;

public class PageWrapper<T> {
    private List<T> content;

    private Boolean last;
    private Boolean first;
    private Integer totalPages;
    private Integer totalElements;
    private Integer size;
    private Integer number;
```

```

private Integer numberOfElements;
private Sort sort;

// Getters and Setters removed for brevity
}

```

■ **Note** There are occasions when you need to generate Java types from JSON. This is especially true for APIs that don't provide a Java client library. The online tool www.jsonschema2pojo.org provides a convenient way to generate Java POJOs from JSON schema or JSON data.

The `PageWrapper` class can hold the returned content and has attributes to hold the paging information. Listing 9-11 shows the `QuickPollClientV2` class that makes use of `PageWrapper` to interact with second version of API. Notice that the `getAllPolls` method now takes two parameters: `page` and `size`. The `page` parameter determines the requested page number and `size` parameter determines the number of elements to be included in the page. This implementation can be further enhanced to accept sort parameters and provide sorting functionality.

Listing 9-11. QuickPoll Client for version two

```

package com.apress.client;
import org.springframework.core.ParameterizedTypeReference;
import org.springframework.http.HttpMethod;
import org.springframework.http.ResponseEntity;
import org.springframework.web.client.RestTemplate;
import org.springframework.web.util.UriComponentsBuilder;
import com.apress.domain.Poll;

public class QuickPollClientV2 {
    private static final String QUICK_POLL_URI_2 = "http://localhost:8080/v2/polls";
    private RestTemplate restTemplate = new RestTemplate();

    public PageWrapper<Poll> getAllPolls(int page, int size) {
        ParameterizedTypeReference<PageWrapper<Poll>> responseType = new
        ParameterizedTypeReference<PageWrapper<Poll>>() {};
        UriComponentsBuilder builder = UriComponentsBuilder
            .fromHttpUrl(QUICK_POLL_URI_2)
            .queryParams("page", page)
            .queryParams("size", size);

        ResponseEntity<PageWrapper<Poll>> responseEntity = restTemplate.exchange
        (builder.build().toUri(), HttpMethod.GET, null, responseType);
        return responseEntity.getBody();
    }
}

```

Handling Basic Authentication

Up to this point we have created clients for the first and second version of QuickPoll API. In Chapter 8, we secured the third version of the API and any communication with that version requires Basic Authentication. For example, running a delete method on URI `http://localhost:8080/v3/polls/3` without any authentication would result in an `HttpClientErrorException` with a 401 status code.

To successfully interact with our QuickPoll v3 API, we need to programmatically base 64 encode a user's credentials and construct an authorization request header. Listing 9-12 shows such implementation: we concatenate the passed-in username and password. We then base 64 encode it and create an authorization header by prefixing `Basic` to the encoded value.

Listing 9-12. Authentication header implementation

```
import org.apache.tomcat.util.codec.binary.Base64;
import org.springframework.http.HttpHeaders;

private HttpHeaders getAuthenticationHeader(String username, String password) {
    String credentials = username + ":" + password;
    byte[] base64CredentialData = Base64.encodeBase64(credentials.getBytes());

    HttpHeaders headers = new HttpHeaders();
    headers.set("Authorization", "Basic " + new String(base64CredentialData));
    return headers;
}
```

The `RestTemplate`'s `exchange` method can be used to perform a HTTP operation and takes in an authorization header. Listing 9-13 shows the `QuickPollClientV3BasicAuth` class with `deletePoll` method implementation using Basic Authentication.

Listing 9-13. QuickPoll Client with Basic Auth

```
package com.apress.client;

import org.springframework.http.HttpHeaders;
import org.springframework.http.HttpMethod;
import org.springframework.web.client.RestTemplate;
import org.springframework.http.HttpEntity;

public class QuickPollClientV3BasicAuth {
    private static final String QUICK_POLL_URI_V3 = "http://localhost:8080/v3/polls";
    private RestTemplate restTemplate = new RestTemplate();

    public void deletePoll(Long pollId) {
        HttpHeaders authenticationHeaders = getAuthenticationHeader("admin",
            "admin");
        restTemplate.exchange(QUICK_POLL_URI_V3 + "/" + pollId,
            HttpMethod.DELETE, new HttpEntity<Void>(authenticationHeaders), Void.class,
            pollId);
    }
}
```

■ **Note** In this approach, we have manually set the authentication header to each request. Another approach is to implement a custom `ClientHttpRequestInterceptor` that intercepts each outgoing request and automatically appends the header to it.

Handling OAuth 2

Spring provides a specialized version of `RestTemplate` named `OAuth2RestTemplate` that simplifies consumption of OAuth2 protected services. The `OAuth2RestTemplate` requires an instance of `OAuth2ProtectedResourceDetails` that contains details of a protected resource such as client id, client secret, and token URI. Because the QuickPoll API uses a “Password” grant type, we will be constructing the `OAuth2RestTemplate` using a `ResourceOwnerPasswordResourceDetails` instance, as shown in Listing 9-14.

Listing 9-14. OAuth2 RestTemplate creation

```
import org.springframework.security.oauth2.client.OAuth2RestTemplate;
import org.springframework.security.oauth2.client.token.grant.password.
ResourceOwnerPasswordResourceDetails;
private OAuth2RestTemplate restTemplate() {
    ResourceOwnerPasswordResourceDetails resourceDetails = new
    ResourceOwnerPasswordResourceDetails();
    resourceDetails.setGrantType("password");
    resourceDetails.setAccessTokenUri("http://localhost:8080/oauth/token");
    resourceDetails.setClientId("quickpolliOSClient");
    resourceDetails.setClientSecret("top_secret");

    // Set scopes
    List<String> scopes = new ArrayList<>();
    scopes.add("read"); scopes.add("write");
    resourceDetails.setScope(scopes);

    // Resource Owner details
    resourceDetails.setUsername("mickey");
    resourceDetails.setPassword("cheese");

    return new OAuth2RestTemplate(resourceDetails);
}
```

We begin the `restTemplate` method implementation by creating an instance of `ResourceOwnerPasswordResourceDetails`. We set the grant type to “password” and provide it with client, scope, and resource owner details. As you will recollect from Chapter 8, this is the same information that we provided as part of our cURL requests.

The `OAuth2RestTemplate` uses the `ResourceOwnerPasswordResourceDetails` information to acquire or renew an access token. The template by default caches this token in a `DefaultOAuth2ClientContext` instance. When a REST API call is performed using the `OAuth2RestTemplate`, the template would generate an authorization header using the access token and automatically adds it to the outgoing request. Because this all happens behind the scenes, clients can simply use the template’s methods without worrying about OAuth details. Listing 9-15 shows the `QuickPollClientV3OAuth` class with `getPollById` method implementation. As you can see, we are using the `restTemplate` method to obtain `OAuth2Template` instance. Also, notice that we are interacting with the API exposed at `/oauth2/v3` URI.

Listing 9-15. QuickPoll client with OAuth2

```

package com.apress.client;

import org.springframework.security.oauth2.client.OAuth2RestTemplate;
import org.springframework.security.oauth2.client.token.grant.password.
ResourceOwnerPasswordResourceDetails;
import com.apress.domain.Poll;

public class QuickPollClientV3OAuth {
    private static final String QUICK_POLL_URI_V3 = "http://localhost:8080/oauth2/v3/
polls";

    public Poll getPollById(Long pollId) {
        OAuth2RestTemplate restTemplate = restTemplate();
        return restTemplate.getForObject(QUICK_POLL_URI_V3 +("/{pollId}", Poll.
class, pollId);
    }
}

```

Testing REST Services

Testing is an important aspect of every software development process. Testing comes in different flavors and in this chapter we will focus on unit and integration testing. Unit testing verifies that individual, isolated **units** of code are working as expected. It is the most common type of testing that developers typically perform. Integration testing typically follows unit testing and focuses on the interaction between previously tested units.

The Java ecosystem is filled with frameworks that ease unit and integration testing. JUnit and TestNG have become the de facto standard test frameworks and provide foundation/integration to most other testing frameworks. Although Spring supports both frameworks, we will be using JUnit in this book, as it is familiar to most readers.

Spring Test

The Spring framework provides the `spring-test` module that allows you to integrate Spring into tests. The module provides a rich set of annotations, utility classes, and mock objects for environment JNDI, Servlet, and Portlet API. The framework also provides capabilities to cache application context across test executions to improve performance. Using this infrastructure, you can easily inject Spring beans and test fixtures into tests. To use the `spring-test` module in a non-Spring Boot project, you need to include the Maven dependency as shown in Listing 9-16.

Listing 9-16. `spring-test` dependency

```

<dependency>
    <groupId>org.springframework</groupId>
    <artifactId>spring-test</artifactId>
    <version>4.1.6.RELEASE</version>
    <scope>test</scope>
</dependency>

```

Spring Boot provides a starter POM named `spring-boot-starter-test` that automatically adds the `spring-test` module to a Boot application. Additionally, the starter POM brings in JUnit, Mockito, and Hamcrest libraries:

- Mockito is a popular mocking framework for Java. It provides a simple and easy to use API to create and configure mocks. More details about Mockito can be found at <http://mockito.org/>.
- Hamcrest is a framework that provides a powerful vocabulary for creating matchers. To put it simply, a matcher allows you to match an object against a set of expectations. Matchers improve the way that we write assertions by making them more human-readable. They also generate meaningful failure messages when assertions are not met during testing. You can learn more about Hamcrest at <http://hamcrest.org/>.

To understand the `spring-test` module, let's examine a typical test case. Listing 9-17 shows a sample test built using JUnit and `spring-test` infrastructure.

Listing 9-17. Sample JUnit Test

```
@RunWith(SpringJUnit4ClassRunner.class)
@SpringApplicationConfiguration(classes = QuickPollApplication.class)
@WebAppConfiguration
public class ExampleTest {
    @Before
    public void setup() { }

    @Test
    public void testSomething() {}

    @After
    public void teardown() { }
}
```

Our example test contains three methods—`setup`, `testSomething`, and `teardown` each annotated with a JUnit annotation. The `@Test` annotation denotes the `testSomething` as a JUnit test method. This method will contain code that ensures that our production code works as expected. The `@Before` annotation instructs JUnit to run the `setup` method prior to any test method execution. Methods annotated with `@Before` can be used for setting up test fixtures and test data. Similarly, the `@After` annotation instructs JUnit to run the `teardown` method after any test method execution. Methods annotated with `@After` are typically used to tear down test fixtures and perform cleanup operations.

JUnit uses the notion of test runner to perform test execution. By default, JUnit uses the `BlockJUnit4ClassRunner` test runner to execute test methods and associated life cycle (`@Before` or `@After` etc.) methods. The `@RunWith` annotation allows you to alter this behavior. In our example, using the `@RunWith` annotation, we are instructing JUnit to use the `SpringJUnit4ClassRunner` class to run the test cases.

The `SpringJUnit4ClassRunner` adds Spring integration by performing activities such as loading application context, injecting autowired dependencies, and running specified test execution listeners. For Spring to load and configure an application context, it needs the locations of the XML context files or the names of the Java configuration classes. We typically use the `@ContextConfiguration` annotation to provide this information to the `SpringJUnit4ClassRunner` class.

In our example, however, we use the `SpringApplicationConfiguration`, a specialized version of the standard `ContextConfiguration` that provides additional Spring Boot features. Finally, the `@WebAppConfiguration` annotation instructs Spring to create the Web version of the application context, namely, `WebApplicationContext`.

Unit Testing REST Controllers

Spring's dependency injection makes unit testing easier. Dependencies can be easily mocked or simulated with predefined behavior, thereby allowing us to zoom in and test code in isolation. Traditionally, unit testing Spring MVC controllers followed this paradigm. For example, Listing 9-18 shows the code unit testing `PollController`'s `getAllPolls` method.

Listing 9-18. Unit testing `PollController` with mocks

```
import static org.junit.Assert.assertEquals;
import static org.mockito.Mockito.when;
import static org.mockito.Mockito.times;
import static org.mockito.Mockito.verify;
import java.util.ArrayList;
import com.google.common.collect.Lists;
import org.junit.Before;
import org.junit.Test;
import org.mockito.Mock;
import org.mockito.MockitoAnnotations;
import org.springframework.http.HttpStatus;
import org.springframework.http.ResponseEntity;
import org.springframework.test.util.ReflectionTestUtils;

public class PollControllerTestMock {
    @Mock
    private PollRepository pollRepository;

    @Before
    public void setUp() throws Exception {
        MockitoAnnotations.initMocks(this);
    }

    @Test
    public void testGetAllPolls() {
        PollController pollController = new PollController();
        ReflectionTestUtils.setField(pollController, "pollRepository",
            pollRepository);

        when(pollRepository.findAll()).thenReturn(new ArrayList<Poll>());
        ResponseEntity<Iterable<Poll>> allPollsEntity = pollController.
            getAllPolls();
        verify(pollRepository, times(1)).findAll();
        assertEquals(HttpStatus.OK, allPollsEntity.getStatusCode());
        assertEquals(0, Lists.newArrayList(allPollsEntity.getBody()).size());
    }
}
```

The `PollControllerTestMock` implementation uses the Mockito's `@Mock` annotation to mock `PollController`'s only dependency: `PollRepository`. For Mockito to properly initialize the annotated `pollRepository` property, we either need to run the test using the `MockitoJUnitRunner` test runner or invoke the `initMocks` method in the `MockitoAnnotations`. In our test, we choose the latter approach and call the `initMocks` in the `@Before` method.

In the `testGetAllPolls` method, we create an instance of `PollController` and inject the mock `PollRepository` using Spring's `ReflectionTestUtils` utility class. Then we use Mockito's `when` and `thenReturn` methods to set the `PollRepository` mock's behavior. Here we are indicating that when the `PollRepository`'s `findAll()` method is invoked, an empty collection should be returned. Finally, we invoke the `getAllPolls` method and verify `findAll()` method's invocation and assert controller's return value.

In this strategy, we treat the `PollController` as a POJO, and hence don't test the controller's request mappings, validations, data bindings, and any associated exception handlers. Starting from version 3.2, `spring-test` module includes a Spring MVC Test framework that allows us to test a controller as a controller. This test framework will load the `DispatcherServlet` and associated Web components such as controllers and view resolvers into test context. It then uses the `DispatcherServlet` to process all the requests and generates responses as if it is running in a Web container without actually starting up a Web server. This allows us to perform a more thorough testing of Spring MVC applications.

Spring MVC Test framework Basics

To gain a better understanding of the Spring MVC Test framework, we explore its four important classes: `MockMvc`, `MockMvcRequestBuilders`, `MockMvcResultMatchers`, and `MockMvcBuilders`. As evident from the class names, the Spring MVC Test framework makes heavy use of Builder Pattern.²

Central to the test framework is the `org.springframework.test.web.servlet.MockMvc` class, which can be used to perform HTTP requests. It contains only one method named `perform` and has the following API signature:

```
public ResultActions perform(RequestBuilder requestBuilder) throws java.lang.Exception
```

The `RequestBuilder` parameter provides an abstraction to create the request (GET, POST, etc.) to be executed. To simplify request construction, the framework provides an `org.springframework.test.web.servlet.request.MockMvcHttpServletRequestRequestBuilder` implementation and a set of helper static methods in the `org.springframework.test.web.servlet.request.MockMvcRequestBuilders` class. Listing 9-19 gives an example of a POST HTTP request constructed using the previously mentioned classes.

Listing 9-19. POST HTTP request

```
post("/test_uri")
    .param("admin", "false")
    .accept(MediaType.APPLICATION_JSON)
    .content("{JSON_DATA}");
```

The `post` method is part of the `MockMvcRequestBuilders` class and is used to create a POST request. The `MockMvcRequestBuilders` also provides additional methods such as `get`, `delete`, and `put` to create corresponding HTTP requests. The `param` method is part of the `MockHttpServletRequestRequestBuilder` class and is used to add a parameter to the request. The `MockHttpServletRequestRequestBuilder` provides additional methods such as `accept`, `content`, `cookie`, and `header` to add data and metadata to the request being constructed.

The `perform` method returns an `org.springframework.test.web.servlet.ResultActions` instance that can be used to apply assertions/expectations on the executed response. Listing 9-20 shows three assertions applied to the response of a sample POST request using `ResultActions`'s `andExpect` method.

²http://en.wikipedia.org/wiki/Builder_pattern.

The `status` is a static method in `org.springframework.test.web.servlet.result.MockMvcResultMatchers` that allows you to apply assertions on response status. Its `isOk` method asserts that the status code is 200 (`HTTPStatus.OK`). Similarly, the `content` method in `MockMvcResultMatchers` provides methods to assert response body. Here we are asserting that the response content type is of type “application/json” and matches an expected string “JSON_DATA”.

Listing 9-20. ResultActions

```
mockMvc.perform(post("/test_uri")
    .andExpect(status().isOk())
    .andExpect(content().contentType(MediaType.APPLICATION_JSON))
    .andExpect(content().string("{JSON_DATA}"));
```

So far we have looked at using `MockMvc` to perform requests and assert the response. Before we can use `MockMvc`, we need to initialize it. The `MockMvcBuilders` class provides the following two methods to build a `MockMvc` instance:

- `webApplicationContextSetup`—Builds a `MockMvc` instance using a fully initialized `WebApplicationContext`. The entire Spring configuration associated with the context is loaded before `MockMvc` instance is created. This technique is used for end-to-end testing.
- `standaloneSetup`—Builds a `MockMvc` without loading any Spring configuration. Only the basic MVC infrastructure is loaded for testing controllers. This technique is used for unit testing.

Unit Testing Using Spring MVC Test Framework

Now that we have reviewed the Spring MVC Test framework, let’s look at using it to test REST controllers. The `PollControllerTest` class in Listing 9-21 demonstrates testing the `getPolls` method. To the `@ContextConfiguration` annotation we pass in a `MockServletContext` class instructing Spring to set up an empty `WebApplicationContext`. An empty `WebApplicationContext` allows us to instantiate and initialize the one controller that we want to test without loading up the entire application context. It also allows us to mock the dependencies that the controller requires.

Listing 9-21. Unit testing with Spring MVC test

```
package com.apress.unit;

import static org.mockito.Mockito.when;
import static org.springframework.test.web.servlet.request.MockMvcRequestBuilders.get;
import static org.springframework.test.web.servlet.result.MockMvcResultMatchers.content;
import static org.springframework.test.web.servlet.result.MockMvcResultMatchers.status;
import static org.springframework.test.web.servlet.setup.MockMvcBuilders.standaloneSetup;
import org.mockito.InjectMocks;
import org.mockito.Mock;
import org.mockito.MockitoAnnotations;
import org.springframework.boot.test.SpringApplicationConfiguration;
import org.springframework.mock.web.MockServletContext;
import org.springframework.test.web.servlet.MockMvc;

@RunWith(SpringJUnit4ClassRunner.class)
@SpringApplicationConfiguration(classes = QuickPollApplication.class)
@ContextConfiguration(classes = MockServletContext.class)
@WebAppConfiguration
```

```

public class PollControllerTest {

    @InjectMocks
    PollController pollController;

    @Mock
    private PollRepository pollRepository;

    private MockMvc mockMvc;

    @Before
    public void setUp() throws Exception {
        MockitoAnnotations.initMocks(this);
        mockMvc = standaloneSetup(pollController).build();
    }

    @Test
    public void testGetAllPolls() throws Exception {
        when(pollRepository.findAll()).thenReturn(new ArrayList<Poll>());
        mockMvc.perform(get("/v1/polls"))
            .andExpect(status().isOk())
            .andExpect(content().string("[]"));
    }
}

```

In this case, we want to test version one of our `PollController` API. So we declare a `pollController` property and annotate it with `@InjectMocks`. During runtime, Mockito sees the `@InjectMocks` annotation and will create an instance of the `import com.apress.v1.controller.PollController.PollController`. It then injects it with any mocks declared in the `PollControllerTest` class using constructor/field or setter injection. The only mock we have in the class is the `PollRepository`.

In the `@Before` annotated method, we use the `MockMvcBuilders`'s `standaloneSetup()` method to register the `pollController` instance. The `standaloneSetup()` automatically creates the minimum infrastructure required by the `DispatcherServlet` to serve requests associated with the registered controllers. The `MockMvc` instance built by `standaloneSetup` is stored in a class-level variable and made available to tests.

In the `testGetAllPolls` method, we use Mockito to program the `PollRepository` mock's behavior. Then we perform a GET request on the `/v1/polls` URI and use the `status` and `content` assertions to ensure that an empty JSON array is returned. This is the biggest difference from the version that we saw in Listing 9-18. There we were testing the result of a Java method invocation. Here we are testing the HTTP response that the API generates.

Integration Testing REST Controllers

In the previous section, we looked at unit testing a controller and its associated configuration. However, this testing is limited to a Web layer. There are times when we want to test all of the layers of an application from controllers to the persistent store. In the past, writing such tests required launching the application in an embedded Tomcat or Jetty server and use a framework such as `HtmlUnit` or `RestTemplate` to trigger HTTP requests. Depending on an external servlet container can be cumbersome and often slows down testing.

The Spring MVC Test framework provides a lightweight, out of the container alternative for integration testing MVC applications. In this approach, the entire Spring application context along with the `DispatcherServlet` and associated MVC infrastructure gets loaded. A mocked MVC container is made

available to receive and execute HTTP requests. We interact with real controllers and these controllers work with real collaborators. To speed up integration testing complex services are sometimes mocked. Additionally, the context is usually configured such that the DAO/repository layer interacts with an in-memory database.

This approach is similar to the approach we used for unit testing controllers, except for these three differences:

- The entire Spring context gets loaded as opposed to an empty context in the unit testing case
- All REST endpoints are available as opposed to the ones configured via `standaloneSetup`
- Tests are performed using real collaborators against in-memory database as opposed to mocking dependency's behavior

An integration test for the `PollController`'s `getAllPolls` method is shown in Listing 9-22. The `PollControllerIT` class is similar to the `PollControllerTest` that we looked at earlier. A fully configured instance of `WebApplicationContext` is injected into the test. In the `@Before` method we use this `WebApplicationContext` instance to build a `MockMvc` instance using `MockMvcBuilders`'s `webAppContextSetup`.

Listing 9-22. Integration testing with Spring MVC Test

```
package com.apress.it;

import static org.hamcrest.Matchers.hasSize;
import static org.springframework.test.web.servlet.request.MockMvcRequestBuilders.get;
import static org.springframework.test.web.servlet.result.MockMvcResultMatchers.jsonPath;
import static org.springframework.test.web.servlet.result.MockMvcResultMatchers.status;
import static org.springframework.test.web.servlet.setup.MockMvcBuilders.webAppContextSetup;
import org.springframework.boot.test.SpringApplicationConfiguration;
import org.springframework.test.context.junit4.SpringJUnit4ClassRunner;
import org.springframework.test.context.web.WebAppConfiguration;
import org.springframework.test.web.servlet.MockMvc;
import org.springframework.web.context.WebApplicationContext;
import com.apress.QuickPollApplication;

@RunWith(SpringJUnit4ClassRunner.class)
@SpringApplicationConfiguration(classes = QuickPollApplication.class)
@WebAppConfiguration
public class PollControllerIT {

    @Inject
    private WebApplicationContext webApplicationContext;

    private MockMvc mockMvc;

    @Before
    public void setup() {
        mockMvc = webAppContextSetup(webApplicationContext).build();
    }
}
```

```

@Test
public void testGetAllPolls() throws Exception {
    mockMvc.perform(get("/v1/polls"))
        .andExpect(status().isOk())
        .andExpect(jsonPath("$", hasSize(20)));
}
}

```

The `testGetAllPolls` method implementation uses the `MockMvc` instance to perform a GET request on the `/v1/polls` endpoint. We use two assertions to ensure that the result is what we expect:

- The `isOk` assertion ensures that we get a status code 200.
- The `jsonPath` method allows us to write assertions against response body using `JsonPath` expression. The `JsonPath` (<http://goessner.net/articles/JsonPath/>) provides a convenient way to extract parts of a JSON document. To put it simply, `JsonPath` is to JSON is what `XPath` is to XML.

In our test case, we use the Hamcrest's `hasSize` matcher to assert that the returned JSON contains 20 polls. The `import.sql` script used to populate the in-memory database contains 20 poll entries. Hence, our assertion uses the magic number 20 for comparison.

Summary

Spring provides powerful template and utility classes that simplify REST client development. In this chapter, we reviewed `RestTemplate` and used it to perform client operations such as GET, POST, PUT, and DELETE on resources. We also reviewed the Spring MVC Test framework and its core classes. Finally, we used the test framework to simplify unit and integration test creation.

CHAPTER 10



HATEOAS

In this chapter we will discuss

- HATEOAS
- JSON hypermedia types
- QuickPoll HATEOAS implementation

Consider any interaction with an e-commerce website such as Amazon.com. You typically begin your interaction by visiting the site's home page. The home page might contain text, images, and videos describing different products and promotions. The page also contains hyperlinks that allow you to navigate from one page to another allowing you to read product details, reviews, and add products to shopping carts. These hyperlinks along with other controls such as buttons and input fields also guide you through workflows such as checking out an order.

Each Web page in the workflow presents you with controls to go to the next step or go back to a previous step or even completely exit the workflow. This is a very powerful feature of the Web—you as a consumer use links to navigate through resources finding what you need without having to remember all of their corresponding URIs. You just needed to know the initial URI: <http://www.amazon.com>. If Amazon were to go through a rebranding exercise and change its URIs for products or add new steps in its checkout workflow, you will still be able to discover and perform all the operations.

In this chapter we will review HATEOAS, a constraint that allows us to build resilient REST services that function like a website.

HATEOAS

The **Hypermedia As The Engine Of Application State**, or HATEOAS, is a key constraint of REST architecture. The term “hypermedia” refers to any content that contains links to other forms of media such as images, movies, and text. As you have experienced, the Web is a classic example of hypermedia. The idea behind HATEOAS is simple—a response would include links to other resources. Clients would use these links to interact with the server and these interactions could result in possible state changes.

Similar to a human's interaction with a website, a REST client hits an initial API URI and uses the server-provided links to dynamically discover available actions and access the resources it needs. The client need not have prior knowledge of the service or the different steps involved in a workflow. Additionally, the clients no longer have to hard code the URI structures for different resources. This allows the server to make URI changes as the API evolves without breaking the clients.

To better understand HATEOAS, consider REST API for a hypothetical blog application. An example request to retrieve a blog post resource with identifier 1 and the associated response in JSON format is shown here:

GET /posts/1 HTTP/1.1

Connection: keep-alive

Host: blog.example.com

```
{
  "id" : 1,
  "body" : "My first blog post",
  "postdate" : "2015-05-30T21:41:12.650Z"
}
```

As we would expect, the generated response from the server contains the data associated with the blog post resource. When a HATEOAS constraint is applied to this REST service, the generated response has links embedded in it. Listing 10-1 shows an example response with links.

Listing 10-1. Blog post with links

```
{
  "id" : 1,
  "body" : "My first blog post",
  "postdate" : "2015-05-30T21:41:12.650Z",
  "links" : [
    {
      "rel" : "self",
      "href" : http://blog.example.com/posts/1,
      "method" : "GET"
    }
  ]
}
```

In this response, each link in the `links` array contains three parts:

1. `href`—Contains the URI that you can use to retrieve a resource or change the state of the application
2. `rel`—Describes the relationship that the `href` link has with the current resource
3. `method`—Indicates the HTTP method required to interact with the URI

From the link's `href` value, you can see that this is a self-referencing link. The `rel` element can contain arbitrary string values, and in this case it has a value "self" to indicate this self-relationship. As discussed in Chapter 1, a resource can be identified by multiple URIs. In those situations, a self-link can be helpful to highlight the preferred canonical URI. In scenarios in which partial resource representations are returned (as part of a collection, for example), including a self-link would allow a client to retrieve a full representation of the resource.

We can expand the blog post response to include other relationships. For example, each blog post has an author, the user that created the post. Each blog post also contains a set of related comments and tags. Listing 10-2 shows an example of a blog post representation with these additional link relationships.

Listing 10-2. Blog post with additional relationships

```
{
  "id" : 1,
  "body" : "My first blog post",
  "postdate" : "2015-05-30T21:41:12.650Z",
  "self" : "http://blog.example.com/posts/1",
  "author" : "http://blog.example.com/profile/12345",
  "comments" : "http://blog.example.com/posts/1/comments",
  "tags" : "http://blog.example.com/posts/1/tags"
}
```

The resource representation in Listing 10-2 takes a different approach and doesn't use a links array. Instead, links to related resources are represented as JSON object properties. For example, the property with key `author` links the blog post with its creator. Similarly, the properties with keys `comments` and `tags` link the post with related comments and tags collection resources.

We used two different approaches for embedding HATEOAS links in a representation to highlight a lack of standardized linking within a JSON document. In both scenarios, the consuming clients can use the `rel` value to identify and navigate to the related resources. As long as the `rel` value doesn't change, the server can release new versions of the URI without breaking the client. It also makes it easy for consuming developers to explore the API without relying on heavy documentation.

HATEOAS DEBATE

The REST API for the QuickPoll application that we worked on so far doesn't follow the HATEOAS principles. The same applies to many public/open-source REST APIs being consumed today. In 2008, Roy Fielding expressed frustration in his blog (<http://roy.gbiv.com/untangled/2008/rest-apis-must-be-hypertext-driven>) at such APIs being called RESTful but that are not hypermedia-driven:

"What needs to be done to make the REST architectural style clear on the notion that hypertext is a constraint? In other words, if the engine of application state (and hence the API) is not being driven by hypertext, then it cannot be RESTful and cannot be a REST API. Period. Is there some broken manual somewhere that needs to be fixed?"

Seven years later, the debate around hypermedia's role and what is considered RESTful still continues. The blogosphere is filled with mixed opinions and people taking passionate stances on both sides. The so-called hypermedia sceptics feel that hypermedia is too academic and feel that adding extra links would bloat the payload, and adds unnecessary complexity to support clients that really don't exist.

Kin Lane provides a good summary of the hypermedia debate in his blog post (<http://apievangelist.com/2014/08/05/the-hypermedia-api-debate-sorry-reasonable-just-does-not-sell/>).

JSON Hypermedia Types

To put it simply, a hypermedia type is a media type that contains well-defined semantics for linking resources. The HTML media type is a popular example of a hypermedia type. The JSON media type however doesn't provide native hyperlinking semantics and therefore is not considered to be a hypermedia type. This has resulted in a variety of custom implementations for embedding links in a JSON document. We have seen two approaches in the previous section.

■ **Note** REST services that produce XML responses often use an Atom/AtomPub ([http://en.wikipedia.org/wiki/Atom_\(standard\)](http://en.wikipedia.org/wiki/Atom_(standard))) format for structuring HATEOAS links.

JSON Hypermedia Types

To address this issue and provide hyperlinking semantics within JSON documents, several JSON hypermedia types have been created:

- HAL—http://stateless.co/hal_specification.html
- JSON-LD—<http://json-ld.org>
- Collection+JSON—<http://amundsen.com/media-types/collection/>
- JSON API—<http://jsonapi.org/>
- Siren—<https://github.com/kevinswiber/siren>

HAL is one of the most popular hypermedia types and is supported by the Spring Framework. In the next section, we will cover the basics of HAL.

HAL

The **H**yper**t**ext **A**pplication **L**anguage, or HAL, is a lean hypermedia type created by Mike Kelly in 2011. This specification supports both XML (`application/hal+xml`) and JSON (`application/hal+json`) formats.

The HAL media type defines a resource as a container of state, a collection of links, and a set of embedded resources. Figure 10-1 shows the HAL resource structure.

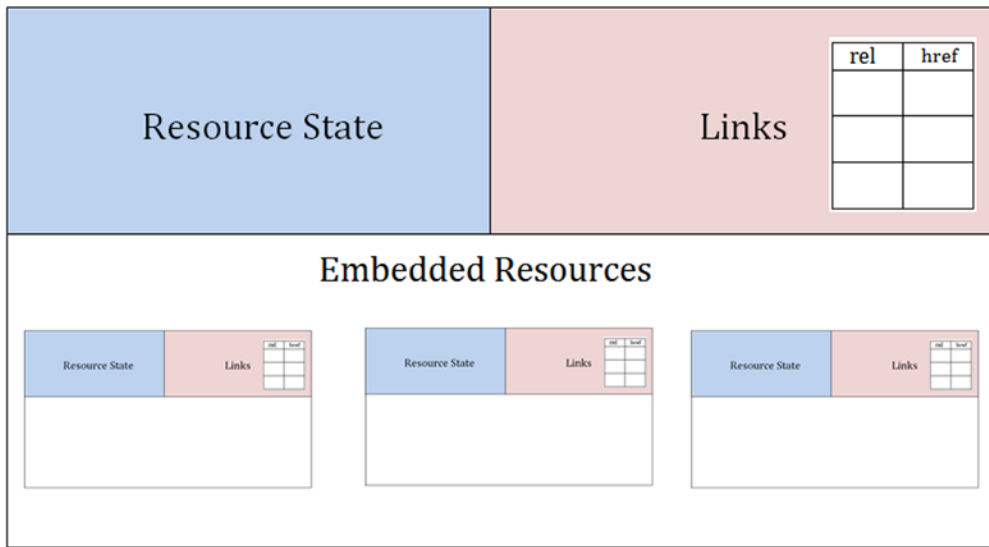


Figure 10-1. HAL resource structure

The resource state is expressed using JSON properties or key/value pairs. Listing 10-3 shows the state of a blog post resource.

Listing 10-3. Blog post resource state in HAL

```
{
  "id" : 1,
  "body" : "My first blog post",
  "postdate" : "2015-05-30T21:41:12.650Z"
}
```

The specification uses a reserved `_links` property to provide linking capabilities. The `_links` property is a JSON object that contains all of the links. Each link inside `_links` is keyed by their link relation with the value containing the URI and a set of optional properties. Listing 10-4 shows the blog post resource augmented with the `_links` property. Notice the usage of an additional property `totalcount` inside the `comments` link value.

Listing 10-4. Blog post resource with links in HAL

```
{
  "id" : 1,
  "body" : "My first blog post",
  "postdate" : "2015-05-30T21:41:12.650Z",
  "_links" : {
    "self": { "href": "http://blog.example.com/posts/1" },
    "comments": { "href": "http://blog.example.com/posts/1/comments",
                  "totalcount" : 20 },
    "tags": { "href": "http://blog.example.com/posts/1/tags" }
  }
}
```

There are situations in which it is more efficient to embed a resource than link to it. This would prevent the client from taking an extra round trip, allowing it to access the embedded resource directly. HAL uses a reserved `_embedded` property to embed resources. Each embedded resource is keyed by their link relation to the value containing the resource object. Listing 10-5 shows the blog post resource with an embedded author resource.

Listing 10-5. Blog post resource with embedded resource in HAL

```
{
  "id" : 1,
  "body" : "My first blog post",
  "postdate" : "2015-05-30T21:41:12.650Z",
  "_links" : {
    "self": { "href": "http://blog.example.com/posts/1" },
    "comments": { "href": "http://blog.example.com/posts/1/comments",
    "totalCount" : 20 },
    "tags": { "href": "http://blog.example.com/posts/1/tags" }
  },
  "_embedded" : {
    "author" : {
      "_links" : {
        "self": { "href": "http://blog.example.com/profile/12345" }
      },
      "id" : 12345,
      "name" : "John Doe",
      "displayName" : "JDoe"
    }
  }
}
```

HATEOAS in QuickPoll

The Spring Framework provides a Spring HATEOAS library that simplifies the creation of REST representations adhering to HATEOAS principles. Spring HATEOAS provides an API for creating links and assembling representations. In this section, we will use Spring HATEOAS to enrich the poll representation with the following three links:

- Self-referencing link
- Link to the votes collection resource
- Link to the ComputeResult resource

■ **Note** The QuickPoll project that we are using in this chapter is available in the `Chapter10\starter` folder of the downloaded source code. To follow the instructions in this section, import the starter project into your IDE. The completed solution is available in the `Chapter10\final` folder. Please refer to that solution for complete code listings.

We begin the Spring HATEOAS integration by adding the Maven dependency shown in Listing 10-6 to the QuickPoll project's `pom.xml` file.

Listing 10-6. Spring HATEOAS dependency

```
<dependency>
  <groupId>org.springframework.hateoas</groupId>
  <artifactId>spring-hateoas</artifactId>
  <version>0.17.0.RELEASE</version>
</dependency>
```

The next step is to modify the `Poll` Java class such that the generated representation has associated link information. To simplify embedding of hypermedia links, Spring HATEOAS provides an `org.springframework.hateoas.ResourceSupport` class that resource classes can extend. The `ResourceSupport` class contains several overloaded methods for adding and removing links. It also contains a `getId` method that returns the URI associated with the resource. The `getId` implementation adheres to the REST principle: the ID of a resource is its URI.

Listing 10-7 shows the modified `Poll` class extending `ResourceSupport`. If you remember, the `Poll` class already contains a `getId` method that returns the primary key associated with the corresponding database record. To accommodate the `getId` method introduced by the `ResourceSupport` base class, we refactored the `getId` and `setId` `Poll` class methods to `getPollId` and `setPollId`.

Listing 10-7. Modified poll class

```
package com.apress.domain;

import org.springframework.hateoas.ResourceSupport;

@Entity
public class Poll extends ResourceSupport {

    @Id
    @GeneratedValue
    @Column(name="POLL_ID")
    private Long id;

    @Column(name="QUESTION")
    private String question;

    @OneToMany(cascade=CascadeType.ALL)
    @JoinColumn(name="POLL_ID")
    @OrderBy
    private Set<Option> options;

    public Long getPollId() {
        return id;
    }
    public void setPollId(Long id) {
        this.id = id;
    }
    // Other Getters and Setter removed
}
```

In Chapter 4, we implemented `PollController`'s `createPoll` method so that it constructed a URI of the newly created `Poll` resource using the `getId` method. The `getId` to `getPollId` refactoring just described requires us to update the `createPoll` method. Listing 10-8 shows the modified `createPoll` method using the `getPollId` method.

Listing 10-8. Modified `createPoll()` method

```
@RequestMapping(value="/polls", method=RequestMethod.POST)
public ResponseEntity<?> createPoll(@RequestBody Poll poll) {
    poll = pollRepository.save(poll);

    // Set the location header for the newly created resource
    HttpHeaders responseHeaders = new HttpHeaders();
    URI newPollUri = ServletUriComponentsBuilder.fromCurrentRequest().path("/{id}").buildAndExpand(poll.getPollId()).toUri();
    responseHeaders.setLocation(newPollUri);

    return new ResponseEntity<>(null, responseHeaders, HttpStatus.CREATED);
}
```

■ **Note** We modified our domain `Poll` class and had it extend the `ResourceSupport` class. An alternative to this approach is to create a new `PollResource` class to hold the `Poll`'s representation and have it extend the `ResourceSupport` class. With this approach, the `Poll` Java class remains untouched. However, we need to modify the `PollController` so that it copies the representation from each `Poll` to a `PollResource` and returns the `PollResource` instances.

The final step in the Spring HATEOAS integration is to modify `PollController` endpoints so that we can build links and inject them into responses. Listing 10-9 shows the modified portions of the `PollController`.

Listing 10-9. `PollController` modifications

```
package com.apress.controller;

import static org.springframework.hateoas.mvc.ControllerLinkBuilder.linkTo;
import static org.springframework.hateoas.mvc.ControllerLinkBuilder.methodOn;

@RestController
public class PollController {
    @RequestMapping(value="/polls", method=RequestMethod.GET)
    public ResponseEntity<Iterable<Poll>> getAllPolls() {
        Iterable<Poll> allPolls = pollRepository.findAll();
        for(Poll p : allPolls) {
            updatePollResourceWithLinks(p);
        }
        return new ResponseEntity<>(allPolls, HttpStatus.OK);
    }
}
```

```

@RequestMapping(value="/polls/{pollId}", method=RequestMethod.GET)
public ResponseEntity<?> getPoll(@PathVariable Long pollId) {
    Poll p = pollRepository.findOne(pollId);
    updatePollResourceWithLinks(p);
    return new ResponseEntity<> (p, HttpStatus.OK);
}

private void updatePollResourceWithLinks(Poll poll) {
    poll.add(linkTo(methodOn(PollController.class).getAllPolls()).slash(poll.
        getPollId()).withSelfRel());
    poll.add(linkTo(methodOn(VoteController.class).getAllVotes(poll.getPollId())).
        withRel("votes"));
    poll.add(linkTo(methodOn(ComputeResultController.class).computeResult(poll.
        getPollId())).withRel("compute-result"));
}
}

```

Because links need to be generated and injected in multiple places, we created a `updatePollResourceWithLinks` method to hold the common code. Spring HATEOAS provides a convenient `ControllerLinkBuilder` class that can build links pointing to Spring MVC controllers. The `updatePollResourceWithLinks` method implementation uses the `linkTo`, `methodOn` and `slash` utility methods. These methods are part of the Spring HATEOAS `ControllerLinkBuilder` class and can generate links pointing to Spring MVC controllers. The generated links are absolute URIs to resources. This relieves developers from having to look up server information such as protocol, hostname, port number, and so on, duplicating URI path strings (`/polls`) all over the place. To better understand these methods, let's dissect this code:

```

linkTo(
    methodOn(PollController.class).getAllPolls()
)
    .slash(poll.getPollId())
    .withSelfRel()

```

The `linkTo` method can take a Spring MVC controller class or one of its methods as an argument. It then inspects the class or method for the `@RequestMapping` annotation and retrieves the path value to build the link. The `methodOn` method creates a dynamic proxy of the passed in `PollController` class. When the `getAllPolls` method is invoked on the dynamic proxy, its `@RequestMapping` information is inspected and the value `/polls` is extracted. For methods such as `getAllVotes` that expect a parameter, we can pass in a null. However, if the parameter is used to build the URI, then a real value should be passed in.

The `slash` method, as the name suggests, appends the Poll's ID as a subresource to the current URI. Finally, the `withSelfRel` method instructs that the generated link should have a `rel` with value `self`. Under the hood, the `ControllerLinkBuilder` class uses the `ServletUriComponentsBuilder` to obtain basic URI information such as hostname and builds the final URI. Hence, for a poll with ID 1, this code will generate the URI: `http://localhost:8080/polls/1`.

With these changes in place, run the `QuickPoll` application and, using Postman, perform a GET request on the `http://localhost:8080/polls` URI. You will see that the generated response includes three links for each poll. Figure 10-2 shows the Postman request and partial response.

Get All Polls

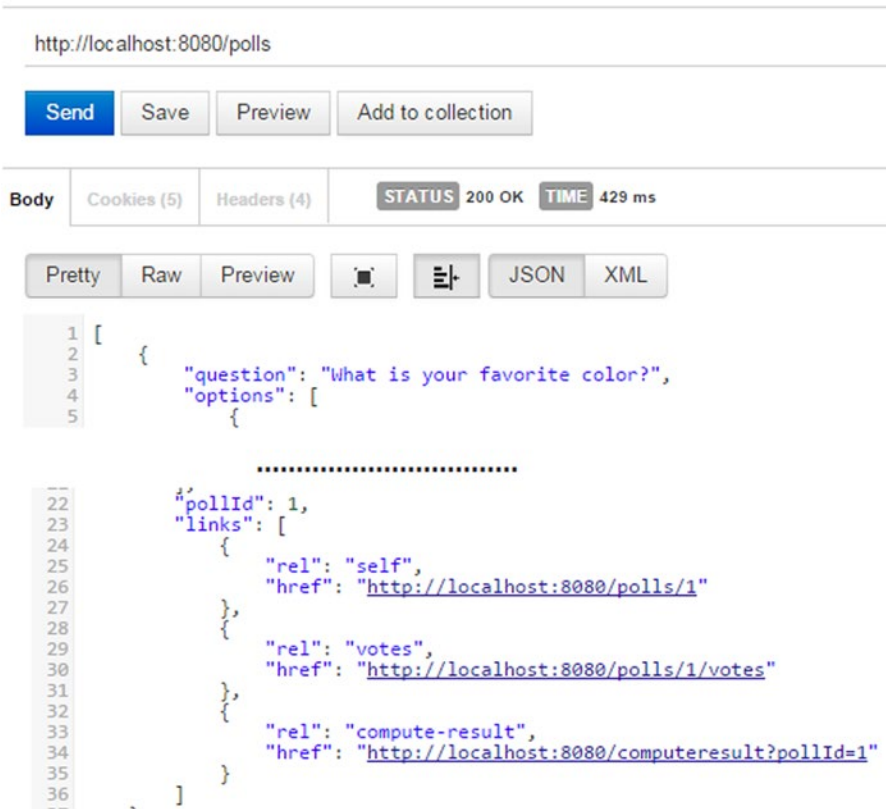


Figure 10-2. Postman response with links

Summary

In this chapter, we reviewed the HATEOAS constraint that enables developers to build flexible and loosely coupled APIs. We scratched the surface and used Spring HATEOAS to create QuickPoll REST representations that adhere to HATEOAS principles.

This brings us to the end of our journey. Throughout this book, you have learned the key features of REST and Spring technologies that simplify REST development. With this knowledge, you should be ready to start developing your own RESTful services. Happy coding!

APPENDIX A



Installing cURL on Windows

The cURL command-line tool comes installed in most operating system distributions. The Mac OS Terminal has out-of-the-box support for cURL. However, you need to set up cURL on a Windows machine. Here are the steps required:

1. The easiest way to install cURL on Windows is to use cURL's Download Wizard. To access the wizard, go to the <http://curl.haxx.se/dlwiz/> in your favorite browser.
2. On the following page, click the “curl executable” link.

The screenshot shows the 'curl Download Wizard' interface. At the top, there is a breadcrumb trail: 'cURL > Download > Download Wizard'. Below this is a blue header box with the text 'curl Download Wizard'. The main content area starts with a welcome message: 'Welcome to the download wizard. This helps you'. Below the welcome message is another breadcrumb trail: 'Package Type > OS > Flavour > OS Version > CP'. The main heading is 'Select Type of Package'. Below the heading, it says 'We provide packages of different types. Select on'. There is a list of options, with 'curl executable' selected and highlighted in blue. The description for 'curl executable' is: 'You will get a pre-built 'curl installed as a shared library/DLL.

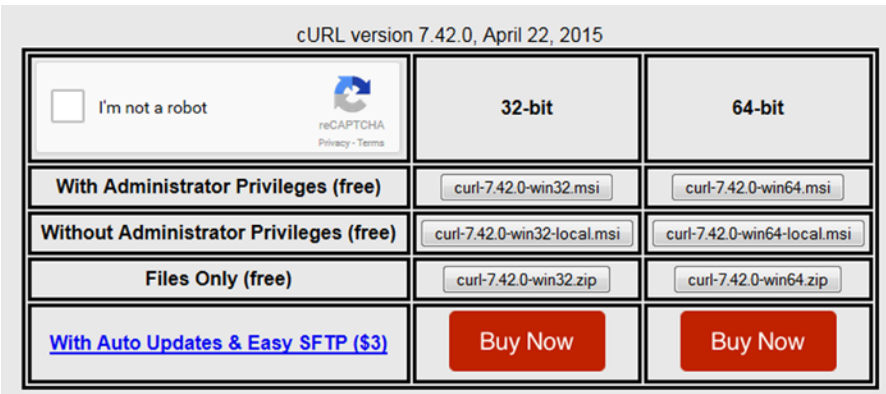
3. On the “Select Operating System” page, select Win 64.
4. On the “Select for What Flavor” pages, choose the “Generic” package and hit Select.
5. On the “Select which Win64 Version” select “Any” and hit Select.

The screenshot shows the 'curl Download Wizard' interface at the 'Select which Win64 Version' step. At the top, there is a breadcrumb trail: 'cURL > Download > Download Wizard'. Below this is a blue header box with the text 'curl Download Wizard'. The main content area starts with a welcome message: 'Welcome to the download wizard. This helps you figure out what p'. Below the welcome message is a link: 'Restart the Download Wizard!'. Below that is another breadcrumb trail: 'curl executable > Win64 > Generic > OS Version > CPU'. The main heading is 'Select which Win64 Version'. Below the heading, it says 'We have packages listed for 2 different versions of Win64.'. At the bottom, there is a form: 'Show package for Win64 version: Any' followed by a dropdown menu and a 'Select' button.

- On the “Select for What CPU” page, select x86_64 and hit Select.



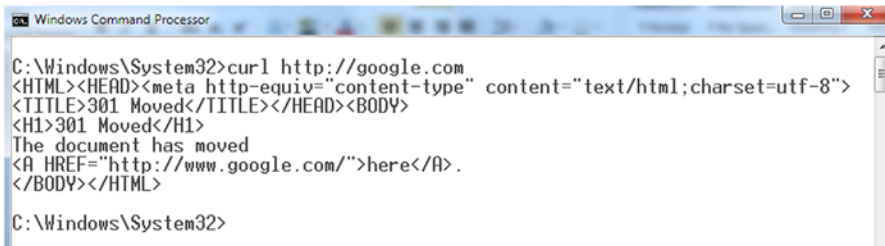
- On the following page, click the “Download” button. You will be redirected to <http://www.confusedbycode.com/curl/>.
- Once the website loads, perform the verification for "I'm not a robot" and then download the “With Administrator Privileges (free)” 64-bit version of the MSI file.



- Once the MSI file is downloaded, launch the MSI installer and follow the instructions for installation.
- Once the installation is complete, the cURL executable will be added to your classpath and is ready for use. To test the installation, open a command line and type:

```
curl http://google.com
```

You will see the output similar to this image, indicating that the cURL command-line tool is successfully installed.

A screenshot of a Windows Command Processor window. The title bar reads "Windows Command Processor". The command prompt shows the following text:

```
C:\Windows\System32>curl http://google.com
<HTML><HEAD><meta http-equiv="content-type" content="text/html; charset=utf-8">
<TITLE>301 Moved</TITLE></HEAD><BODY>
<H1>301 Moved</H1>
The document has moved
<A HREF="http://www.google.com/">here</A>.
</BODY></HTML>
C:\Windows\System32>
```

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Spring REST



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Spring REST

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To Our Family

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